# UNIVERSITY OF MIAMI MILLER SCHOOL of MEDICINE



# Risk assessment for Physics Plan Review – Update from TG275

Perry Johnson, et al.

March 19, 2017

### **Task Group Members**





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East Orange VA



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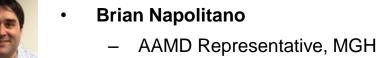
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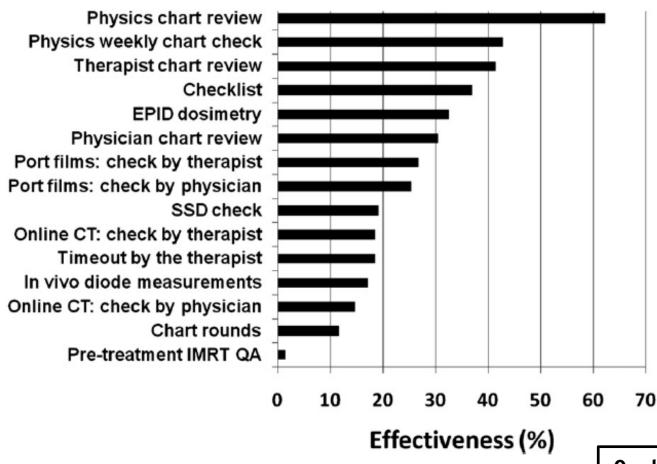


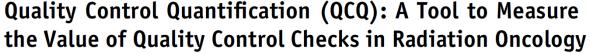
#### **Ellen Yorke**

Memorial Sloan-Kettering



### Why is this important?





Eric C. Ford, PhD,\* Stephanie Terezakis, MD,\* Annette Souranis,\* Kendra Harris, MD,\* Hiram Gay, MD,† and Sasa Mutic, PhD†

Int J Radiation Oncol Biol Phys, Vol. 84, No. 3, pp. e263–e269, 2012



# Why is this important?

### **Society level recommendations**

- ACR technical standard for the performance of radiation oncology physics for external beam therapy
- ACR-ASTRO practice parameter for radiation oncology
- AAPM Task groups 11, 40, 59

### **Continuing medical physics consultation**

- Billing code CPT 77336
- Assessment of treatment parameters, QA of dose delivery, and review of patient documentation reported per week of therapy.





# Why is this important?

### Task Group 40

- Procedure for checking of technical parameters.
  - Daily dose, total dose, and fractionation scheme.
  - Machine, mode, and energy.
  - Fields parameters, algorithm, etc.
- Is prescription "reasonable"?
- Request, i.e. special physics consult, in-vivo dosimetry, etc.
- Proper documentation
- "Consistency from rx to plan to sim sheet to MU calculation to daily record."



# Addressing the knowledge gap

- 1. Review existing data and recommendations
- 2. Survey information on current practices
- 3. Provide risk-based recommendations
- 4. Provide recommendations to software vendors





### **Guidelines – the basics**

### **ACR technical standards**

- Medical physicist must develop a chart review protocol.
- Should review new or modified treatments.
- Assess accuracy of information as well as completeness and clarity of record.
- Physics chart review must be conducted at least weekly.
- EOT check must be performed within 1 week of EOT.





# Aspects of chart/plan review

- 1. Technical parameters
  - Dose grid, density overrides, couch tolerance table, isocenter consistency, etc.
- 2. Data transfer/plan consistency
  - Agreement from RX to TPS to R&V to delivery
- 3. Documentation/communication
  - Setup photos/instructions, document approvals, special requests, etc.
- 4. Plan quality
  - Target coverage, DVH parameters, etc.
- 5. Clinical decision making
  - Contouring, image registration, treatment approach, etc.
    - 1) Native to current systems 2) Custom solutions 3) Vendor solutions

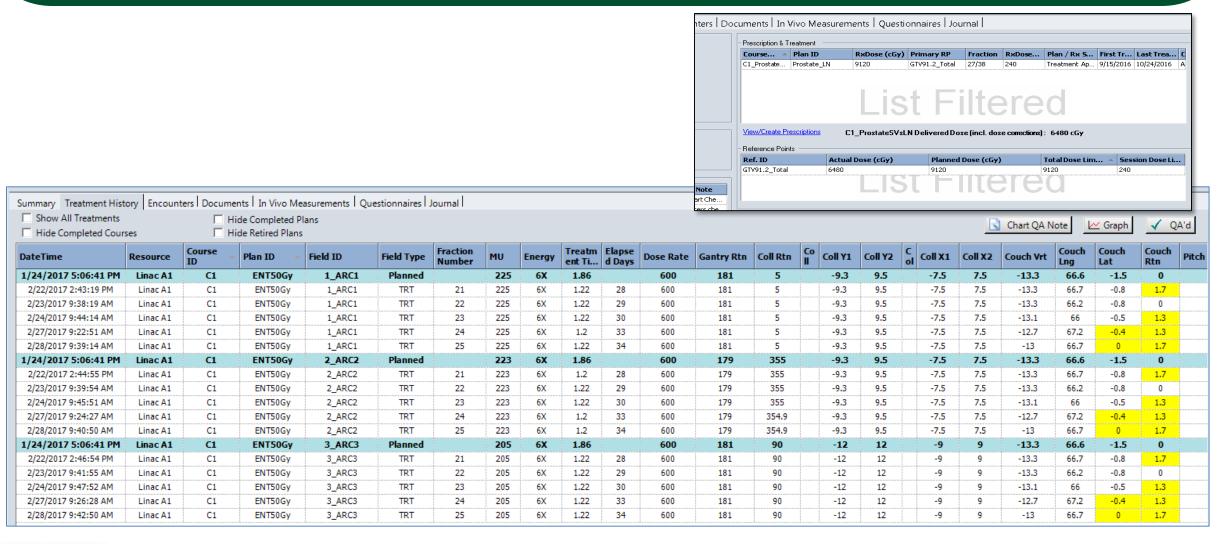




# How do we check "charts"?

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### **EMR** and treatment management software

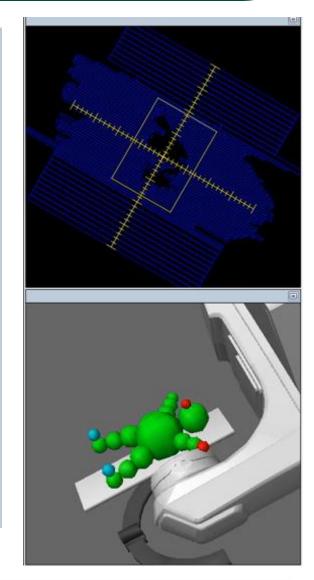






# **EMR** and treatment management software

☐ Hide Compl	eted Courses		Hide Retired Plans															Chart QA Not	e	ph QA'c
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eck_Mediast	1 ARC1	Planned		270	6X	1.49		600	179	330		-9.2	10.7	-6.5	6.5	-11.5	120.8	-0.1	0	
leck_Mediast	1 ARC1	TRT	1	270.1	6X	0.45	0	600	179	330		-8.3	9.3	-6.5	6.5	-12.3	123	-1.2	2	1.21669995784
leck_Mediast	1 ARC1	TRT	2	270.1	6X	0.45	1	600	179	330		-8.3	9.3	-6.5	6.5	-13.6	122.7	-1.7	2.1	0.60680001974
leck_Mediast	1 ARC1	TRT	3	270.1	6X	0.45	2	600	179	330		-8.3	9.3	-6.5	6.5	-10.4	123.2	-1.9	2.1	2.0411000251
leck_Mediast	1 ARC1	TRT	4	270.1	6X	0.45	3	599	179	330		-8.3	9.3	-6.5	6.5	-17.1	121.9	-1.3	1.8	359.0698852539
leck_Mediast	1 ARC1	TRT	5	270.1	6X	0.45	4	600	179	330		-8.3	9.3	-6.5	6.5	-15.2	122.1	-1	0	359.999694824
leck_Mediast	1 ARC1	TRT	6	270.1	6X	0.45	7	600	179	330		-8.3	9.3	-6.5	6.5	-13.1	122.5	-1.5	1.5	0.97329998016
leck_Mediast	1 ARC1	TRT	7	270.1	6X	0.45	8	600	179	330		-8.3	9.3	-6.5	6.5	-13.5	122.2	-2	2.3	0.78990000486
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leck_Mediast	1 ARC1	TRT	11	270.1	6X	0.45	14	600	179	330		-8.3	9.3	-6.5	6.5	-12.3	122.2	-1.4	359.3	1.30569994449
eck_Mediast	1 ARC1	TRT	12	270.1	6X	0.45	15	600	179	330		-8.3	9.3	-6.5	6.5	-8.7	123.1	-1.5	0.6	2.82399988174
eck_Mediast	1 ARC1	TRT	13	270.1	6X	0.45	16	600	179	330		-8.3	9.3	-6.5	6.5	-8.9	123	-2	1.5	2.84990000724
eck_Mediast	1 ARC1	TRT	14	270.1	6X	0.45	17	600	179	330		-8.3	9.3	-6.5	6.5	-10.8	122.7	-0.9	0.7	1.98989999294
eck_Mediast	1 ARC1	TRT	15	270.1	6X	0.45	18	599	179	330		-8.3	9.3	-6.5	6.5	-12.1	122.7	-1.8	0.7	1.28470003604
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#### UNIVERSITY OF MIAMI



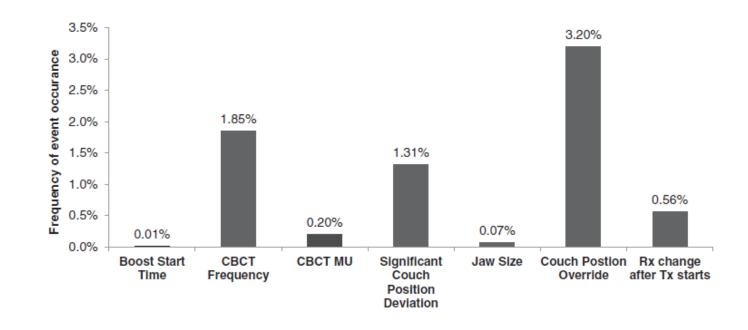
# Custom automation (CATERS – Univ. of Iowa)

A computer aided treatment event recognition system in radiation therapy

Junyi Xia, Christopher Mart, and John Bayouth

Citation: Medical Physics 41, 011713 (2014); doi: 10.1118/1.4852895

- Designed/improved using incident learning
- Checks:
  - Inconsistency in delivery
  - Overrides
  - Documentation
- Pre-screening of chart checks
- "Spend more time investigating and less time searching"







# **Custom automation (PCT – Univ. of Mich)**

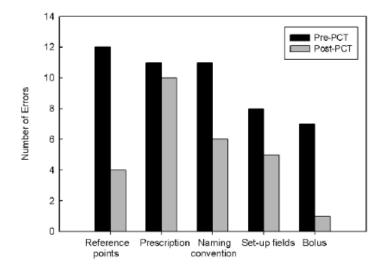
JOURNAL OF APPLIED CLINICAL MEDICAL PHYSICS, VOLUME 17, NUMBER 6, 2016

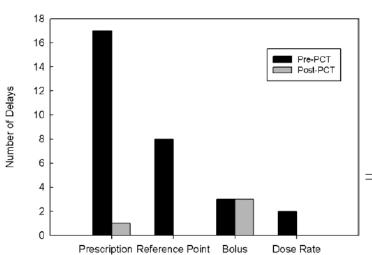
#### Improving treatment plan evaluation with automation

Elizabeth L. Covington,<sup>1</sup> Xiaoping Chen,<sup>1</sup> Kelly C. Younge,<sup>1</sup> Choonik Lee,<sup>1</sup> Martha M. Matuszak,<sup>1</sup> Marc L. Kessler,<sup>1</sup> Wayne Keranen,<sup>2</sup> Eduardo Acosta,<sup>2</sup> Ashley M. Dougherty,<sup>1</sup> Stephanie E. Filpansick,<sup>1</sup> and Jean M. Moran<sup>1a</sup>

Department of Radiation Oncology, <sup>1</sup> University of Michigan, Ann Arbor, MI; Varian Medical Systems, <sup>2</sup> Palo Alto, CA, USA immoran@med.umich.edu

- Goal to reduce amount of time checking "mundane details" and more time dedicated to plan quality
- Designed w/ lean thinking





Type of Check

Item Checked

Automated

CT dataset name Course name

Number of courses created per day

Plan name

Plan normalization

Dose calculation model

Dose calculation settings

Prescription energy matches plan

Prescription dose and dose/fraction matches plan

Prescription and plan dose matches reference point dose

Dose limits match reference point dose

Bolus

Field dose rates

Plan approval status

DRRs created for all fields

DRRs have overlays and match anatomy

Plan labeling

Scheduled machine

Prescription linked to plan

Manual

Interpolation of structures
Presence of stray contouring points
Quality of image registration
Field names

Field name

Required documents present Fraction scheduling

Gantry clearance

User origin set correctly

Isocenter for imaging and treatment fields match

Beam energy/modality appropriate

Plan quality

Couch moves from the CT reference Plan exported to second check software

Check billing (Dosimetry only)





### Custom automation (EcCk – Wash Univ.)

TABLE I. List of patient chart checking tasks supported by EcCk.

	Tasks	Explanation						
1	On-demand physics initial chart checking	Check the treatment plan parameters against R&V system, the documents and their approvals, and the IMRT QA results prior to the physics approval of the treatment sessions.						
2	On-demand dosimetrist chart checking	After the approved treatment plans have been imported into the R&V, check the completeness, accuracy and consistency of treatment plan parameters and required documents.						
3	On-demand final chart checking	After a patient's treatment course has completed, check the completeness of treatment records and presence of all required documents, per ACR requirements						
4	Automatic physics daily/weekly checks	Check the treatment plan parameters to ensure consistency of treatments from fraction to fraction. Check weekly for changes in patient weight, documentation changes, setup parameters, rejection of portal images, and treatment couch position trends.						

- Technical vs clinical vs quality
- Many items difficult to check once sent to R&V

#### Automated radiotherapy treatment plan integrity verification

Deshan Yang and Kevin L. Moore Medical Physics **39**, 1542 (2012); doi: 10.1118/1.3683646

#### Technical Note: Electronic chart checks in a paperless radiation therapy clinic

Deshan Yang, Yu Wu, Ryan S. Brame, Sridhar Yaddanapudi, Dharanipathy Rangaraj, H. Harold Li, S. Murty Goddu, and Sasa Mutic

Citation: Medical Physics 39, 4726 (2012); doi: 10.1118/1.4736825

#### Automated radiation therapy treatment plan workflow using a commercial application programming interface



Lindsey A. Olsen MS <sup>a.\*</sup>, Clifford G. Robinson MD <sup>a</sup>, Guangrong R. He MS <sup>a</sup>, H. Omar Wooten PhD <sup>a</sup>, Sridhar Yaddanapudi MS <sup>a</sup>, Sasa Mutic PhD <sup>a</sup>, Deshan Yang PhD <sup>a</sup>, Kevin L. Moore PhD <sup>b</sup>

Practical Radiation Oncology: November-December 2014



### **Custom automation (planCheck – Mass Gen)**

### Automating checks of plan check automation

Tarek Halabi ⊠, Hsiao-Ming Lu

Journal of Applied Clinical Medical Physics, Vol. 15, No. 4, 2014

Automated survey of 8000 plan checks at eight facilities

Tarek Halabi, Hsiao-Ming Lu, Damian A. Bernard, James C. H. Chu, Michael C. Kirk, Russell J. Hamilton, Yu Lei, Joseph Driewer

Med. Phys. 43 (9), September 2016

#	Violation description	Frequency across facilities
1	Maximum dose carried in Record and Verify Treatment Calendar does not match plan	0
2	Beam's number of fractions in Record and Verify Treatment Calendar does not match plan	1 0
3	Dose carried for Rx site in Record and Verify Treatment Calendar does not match Rx dose in Record and Verify	0.5
4	Rx dose in Record and Verify System does not match plan	0.5

- PDF parser to compare documents with treatment management software
  - "Oncologist do not review or sign DICOM RT files"
- Versatility and ease of implementation





### **Custom solutions**

Detailed review and analysis of complex radiotherapy clinical trial planning data: Evaluation and initial experience with the SWAN software system

Martin A. Ebert<sup>a,b,\*</sup>, Annette Haworth<sup>c,d</sup>, Rachel Kearvell<sup>a</sup>, Ben Hooton<sup>a</sup>, Rhonda Coleman<sup>a</sup>, Nigel Spry<sup>a,e</sup>, Sean Bydder<sup>a,e</sup>, David Joseph<sup>a,f</sup>

Radiotherapy and Oncology 86 (2008) 200—210 www.thegreenjournal.com

Table 3
Items checked for compliance with the TROG 03.04 'RADAR' tria
involving 3DCRT for prostate cancer

Item	Verification
CT Slice Thickness.	Automatic
Immobilisation device used as planned	Manual
Planned dose compared to nominated dose	Automatic
DRR created for treatment position verification	Manual
Number of treatment fields	Automatic
Maximum dose for combined plan	Automatic
95% Coverage of PTV1	Automatic
95% Coverage of PTV2	Automatic
95% Coverage of PTV3	Automatic
CTV Contoured Appropriately	Manual
Margin of PTV1 appropriate	Automatic
Margin of PTV2 appropriate	Automatic
Margin of PTV3 appropriate	Automatic
Rectal wall contoured as recommended	Manual
Left femoral head contoured as recommended	Manual
Bladder contoured as recommended	Manual
Conformity index for PTV1	Automatic
Conformity index for PTV2	Automatic
Conformity index for PTV3	Automatic
DVH constraints on rectum	Automatic
DVH constraints on left femoral head	Automatic
DVH constraints on bladder	Automatic
Beam Energy	Automatic
Prescription point	Manual
Percentage isodose encompassing rectum	Manual
Dose per fraction	Automatic

Items are verified either automatically or manually using SWAN. Fig. 4 provides a sample report generated for these items.

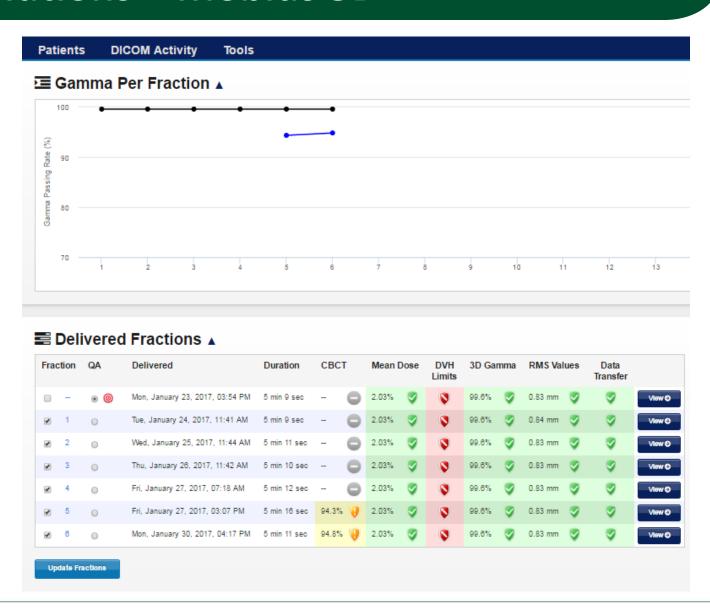




### Vendor solutions – Mobius 3D

#### Mobius3D Benefits

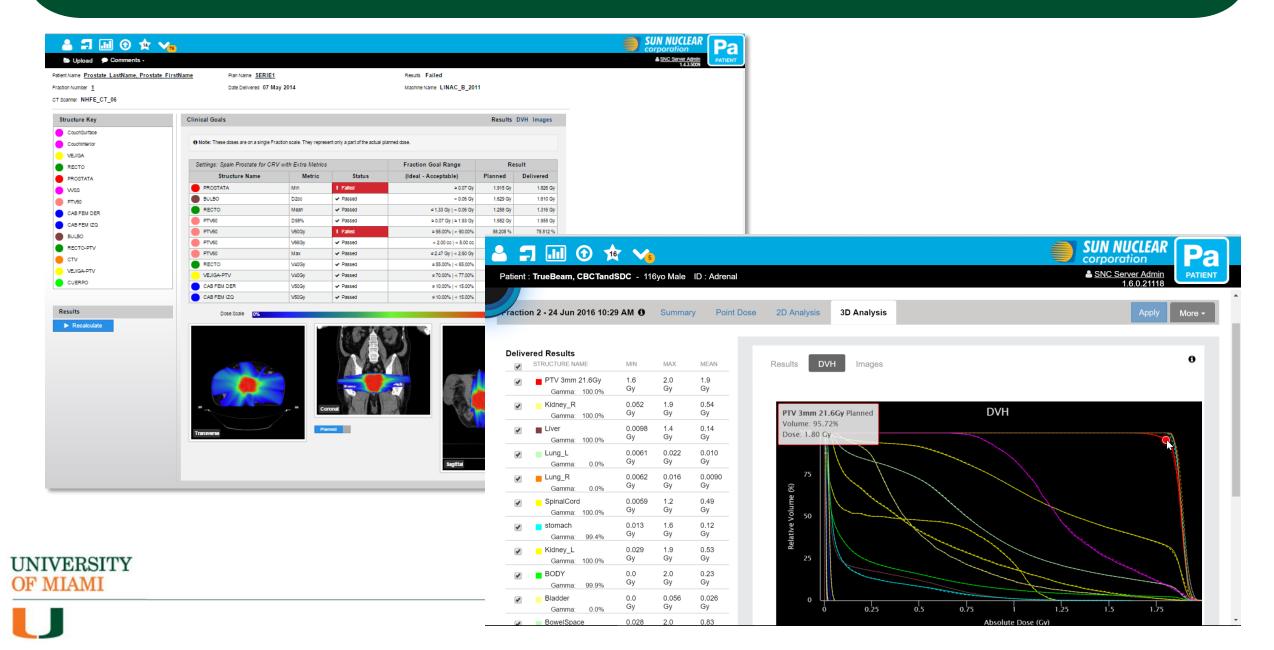
- Verifies dose throughout the patient treatment volume
- 3% accuracy for IMRT & VMAT plans with complex anatomy
- Patient heterogeneities are automatically handled
- Verifies DVH objectives are met by your plans
- RTOG and TG-101 DVH objectives are pre-loaded
- Validates beam model commissioning in your TPS
- Creates PDF reports for every patient's plan
- Billing code 77300 for secondary calculations
- Every modern treatment planning system is compatible
- Conventional linacs and TomoTherapy are compatible







### **Vendor solutions – Sun Nuclear Per Fraction**



### **New directions**

Vision 20/20: Automation and advanced computing in clinical radiation oncology

Kevin L. Moore, George C. Kagadis, Todd R. McNutt, Vitali Moiseenko, and Sasa Mutic Medical Physics **41**, 010901 (2014); doi: 10.1118/1.4842515

### **Automation**

- Cost reduction
- Productivity
- Availability
- Reliability
- Performance

### **Smart systems**

- Daily dashboards
- Local "red flags"

### **Big data**

- Statistical process control
- Bayesian modeling

### **Beyond the chart**

- Comprehensive checking
- Outside the bailiwick

JOURNAL OF APPLIED CLINICAL MEDICAL PHYSICS, VOLUME 10, NUMBER 1, WINTER 2009

#### Automating the initial physics chart-checking process

Eli E. Furhang, <sup>1,a</sup> James Dolan, <sup>1</sup> Jussi K. Sillanpaa, <sup>1</sup> Louis B. Harrison, <sup>1</sup> Department of Radiation Oncology, <sup>1</sup> Beth Israel Medical Center, 10 Union Square East, New York, NY, U.S.A. efurhang@chpnet.org

# Bayesian network models for error detection in radiotherapy plans

Alan M Kalet<sup>1,2</sup>, John H Gennari<sup>2</sup>, Eric C Ford<sup>1</sup> and Mark H Phillips <sup>1,2</sup>

Phys. Med. Biol. 60 (2015) 2735-2749





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# **Beyond the chart**

### ViewRay plan checks

- Deformable registration
- Contours
- Isocenter location
- Table placement
- Beam placement
- Rules for adaptation
- Etc...







# **Beyond the chart**

### **Contouring errors in lung SBRT**

- 25 of 472 (5%) had violation
  - PTV, n = 17
  - Ribs, n = 5
  - Spinal canal, n = 2
  - Heart, n = 1
- For 17 PTVs, V100<sub>mean</sub> = 90%
  - Range, 75 95%

#### TABLE 4. Reason for PTV Changes for the 17 PTV Violations

Reason for PTV Change	No. of Cases
ITV not contoured even though 4D-CT available	4
Inadequate coverage of GTV or ITV	3
Inadequate margin from ITV to PTV	1
Not specified	9

PTV, planning target volume; ITV, internal target volume; 4D-CT, four-dimensional computed tomography; GTV, gross tumor volume.

The Impact of Peer Review of Volume Delineation in Stereotactic Body Radiation Therapy Planning for Primary Lung Cancer: A Multicenter Quality Assurance Study

Andrea C. Lo, MD,\*† Mitchell Liu, MD, CM, FRCPC,\*† Elisa Chan, MD, FRCPC,\*†‡
Chad Lund, MD, FRCPC,†§ Pauline T. Truong, MD, CM, FRCPC,† Shaun Loewen, MD, FRCPC,\*†

Jeffrey Cao, MD, FRCPC,\*† Devin Schellenberg, MD, FRCPC,†§ Hannah Carolan, MD, FRCPC,\*†

Tanya Berrang, MD, FRCPC,† Jonn Wu, MD, FRCPC,\*† Eric Berthelet, MD, CM, FRCPC,\*†

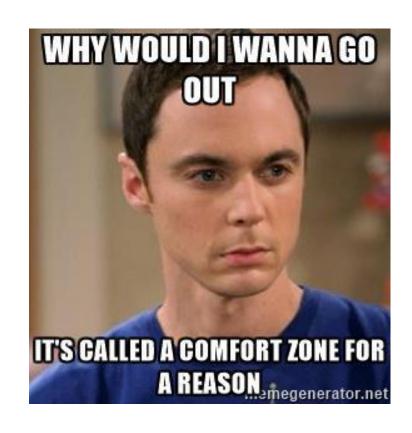
and Robert Olson, MD, FRCPC\*†#

Journal of Thoracic Oncology® • Volume 9, Number 4, April 2014



### Summary

- Physics plan/chart review = safety, quality, and value
- Evolving nature of the plan/chart review
- Automation will play a role
- Vendors are helping fill gaps
- Step outside our comfort zone







# **Questions?**



