# Real World Experience: Developing Dose and Protocol Monitoring from Scratch

Ingrid Reiser, PhD DABR Department of Radiology The University of Chicago

## Outline

- CT protocol monitoring
  - Let's build a protocol book!
- CT dose monitoring

#### From scratch: status as of ~2015

- Fleet of 8-9 Philips CT scanners, mix of inpatient, outpatient, dedicated pediatric
- Radimetrics installed, about 60% of CT studies recorded
- Events:
  - Major software upgrade on some scanners (Philips iPatient)
  - 3 scanners replaced, which added GE
  - Opening of remote facility
- Personnel transitions (CT manager)
- No dedicated CT physicist

### **CT** Protocol Monitoring and Optimization

• Joint Commission Standard PC.01.03.01: The [critical access] hospital plans the patient's care.

A 25. The [critical access] hospital establishes or adopts diagnostic computed tomography (CT) imaging protocols based on current standards of practice, which address key criteria including clinical indication, contrast administration, age (to indicate whether the patient is pediatric or an adult), patient size and body habitus, and the expected radiation dose A 26. Diagnostic computed tomography (CT) imaging protocols

**are reviewed and kept current** with input from **an interpreting radiologist,** medical physicist, and **lead imaging technologist** to make certain that they adhere to current standards of practice and account for changes in CT imaging equipment. These reviews are conducted at time frames identified by the [critical access] hospital.

#### JC requirements

- CT Quality Policy was established
  - CT Quality Core Team created, tasked with protocol and dose review

#### **CT Protocol Review and Optimization**

James M. Kofler, PhD<sup>a</sup>, Dianna D. Cody, PhD<sup>b</sup>, Richard L. Morin, PhD<sup>c</sup>

To reduce the radiation dose associated with CT scans, much attention is focused on CT protocol review and improvement. In fact, annual protocol reviews will soon be required for ACR CT accreditation. A major challenge in the protocol review process is determining whether a current protocol is optimal and deciding what steps to take to improve it. In this paper, the authors describe methods for pinpointing deficiencies in CT protocols and provide a systematic approach for optimizing them. Emphasis is placed on a team approach, with a team consisting of at least one radiologist, one physicist, and one technologist. This core team completes a critical review of all aspects of a CT protocol and carefully evaluates proposed improvements. Changes to protocols are implemented only with consensus of the core team, with consideration of all aspects of the CT examination, including image quality, radiation dose, patient care and safety, and workflow.

Key Words: CT, protocol, radiation dose

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#### The protocols

"Routine critical and systematic review of protocols are necessary not only to ensure that appropriate radiation dose levels are being used but also to verify that other aspects of are acceptable and consistent with best practice standards."

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- Typically > 200 imaging protocols per scanner
- Need the actual CT protocols



#### The protocols

"Routine critical and systematic review of protocols are necessary not only to ensure that appropriate radiation dose levels are being used but also to verify that other aspects of are acceptable and consistent with best practice standards."

- Typically > 200 protocols per scanner
- Need the actual CT protocols
- Not all vendors/models produce a COMPLETE human readable listing of protocol parameters

#### Our solution: getting scanner protocols

- Have service engineer retrieve protocol backup files (Philips)
- Philips iPatient software produces a text-file (html format)
- GE Revolution CT series produce csv files
  - incomplete
    - no series labels
    - Revolution CT: No pitch factor, protocol numbering omitted

#### Using the protocols (i.e., review logistics)

- Text protocol formatting may not be amenable for review
- Radimetrics has a protocol editor
  - May or may not import your protocol parameters
- Our solution:
  - Parse protocols using a scripting language (python, matlab, etc)
  - Generate excel master protocol for each scanner

# Acquisition parameters

| Protocol Name      | Anatomy | acqNum | kV<br>▼ | mAs<br>v | Patient<br>Size | Pitch<br>• | Rotation<br>Time | Collimation | DoseRight<br>Index | Z-<br>Modulation | D<br>Modulation | Absolute<br>Min mAs | Liver Area<br>DoseRight<br>Index | CTDIvol | DLP | Dose<br>Not.<br>Value<br>CTDIvo 🔻 | Dose<br>Not.<br>Value<br>DLP |
|--------------------|---------|--------|---------|----------|-----------------|------------|------------------|-------------|--------------------|------------------|-----------------|---------------------|----------------------------------|---------|-----|-----------------------------------|------------------------------|
| ABD/PEL W          | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.5              | 128x0.625   | *0                 | Yes              | Yes             | 100                 | 3                                | *3      | *0  | 50                                | None                         |
| ABD/PEL W          | Abdomen | 2      | 120     | 128      | 90-120kg        | 0.976      | 0.5              | 128x0.625   | 19                 | Yes              | Yes             | 100                 | 3                                |         | 15  | 50                                | None                         |
| ABD/PEL W/O        | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 20                 | Yes              | Yes             | 100                 | 3                                | 20      | 20  | 50                                | None                         |
| CHEST/ABD/PEL W    | Abdomen | 1      | 120     | 81       | 50-90kg         | 0.976      | 0.5              | 128x0.625   | 10                 | Yes              | Yes             | 100                 | 3                                | 10      | 16  | 50                                | None                         |
| CHEST/ABD/PEL W    | Abdomen | 2      | 120     | 81       | 50-90kg         | 0.993      | 1                | 128x0.625   | 26                 | Yes              | Yes             | None                | 5                                | 26      |     | 50                                | None                         |
| CHEST/ABD/PEL WO   | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.5              | 128x0.625   | 10                 | Yes              | Yes             | None                | 5                                | 25      | 1   | 50                                | None                         |
| DEDICATED ADRENAL  | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   |                    | Yes              | Yes             | 100                 | 3                                | -       |     | 50                                | None                         |
| DEDICATED ADRENAL  | Abdomen | 2      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 25                 | Yes              | Yes             | 100                 | 3                                | 25      | 25  | 50                                | None                         |
| DEDICATED ADRENAL  | Abdomen | 3      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 10                 | Yes              | Yes             | 100                 | 3                                | 15      | 1   | 50                                | None                         |
| DEDICATED KIDNEY   | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 10                 | Yes              | Yes             | 100                 | 3                                | 10      | 10  | 50                                | None                         |
| DEDICATED KIDNEY   | Abdomen | 2      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 10                 | Yes              | Yes             | 100                 | 3                                | 10      | 10  | 50                                | None                         |
| DEDICATED KIDNEY   | Abdomen | 3      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 30                 | Yes              | Yes             | 100                 | 3                                | 20      | 30  | 50                                | None                         |
| DEDICATED LIVER    | Abdomen | 1      | 120     | 161      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 28                 | Yes              | Yes             | 100                 | 0                                | 18      | 38  | 50                                | None                         |
| DEDICATED LIVER    | Abdomen | 2      | 120     | 161      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 16                 | Yes              | Yes             | 100                 | 0                                | 16      | 16  | 50                                | None                         |
| DEDICATED LIVER    | Abdomen | 3      | 120     | 161      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 13                 | Yes              | Yes             | 100                 | 0                                | 13      | 16  | 50                                | None                         |
| DEDICATED LIVER    | Abdomen | 4      | 120     | 161      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 16                 | Yes              | Yes             | 100                 | 0                                | 16      | 16  | 50                                | None                         |
| DEDICATED PANCREAS | Abdomen | 1      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 16                 | Yes              | Yes             | 100                 | 3                                | 16      | 16  | 50                                | None                         |
| DEDICATED PANCREAS | Abdomen | 2      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 25                 | Yes              | Yes             | 100                 | 3                                | 25      | 25  | 50                                | None                         |
| DEDICATED PANCREAS | Abdomen | 3      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 15                 | Yes              | Yes             | 100                 | 3                                | 15      | 15  | 50                                | None                         |
| DEDICATED PANCREAS | Abdomen | 4      | 120     | 128      | 90-120kg        | 0.976      | 0.75             | 128x0.625   | 20                 | Yes              | Yes             | 100                 | 0                                | 20      | 20  | 50                                | None                         |

# **Reconstruction parameters**

| Protocol Name | Anatomy | acqNum<br>T | reconLabel<br>• | Orientation | Thickness<br>v | Increment<br>Ţ | Enhance<br>ment | Filter       | iDose<br>Level | Field Of<br>View<br>▼ | Window<br>Width | Window<br>Center<br>▼ |
|---------------|---------|-------------|-----------------|-------------|----------------|----------------|-----------------|--------------|----------------|-----------------------|-----------------|-----------------------|
| CHEST W       | Thorax  | 1           |                 |             | _              |                | _               |              |                |                       |                 |                       |
| CHEST W       | Thorax  | 1-recon     | 2.1 AXL         | Axial       | 3              | 3              | 0               | Standard (B) | 2              | 449                   | 400             | 40                    |
| CHEST W       | Thorax  | 1-recon     | 2.2 COR         | Coronal     | 4              | 4              | 0               | Standard (B) | 2              | 448                   | 400             | 40                    |
| CHEST W       | Thorax  | 1-recon     | 2.3 SAG         | Sagittal    | 4              | 4              | 0               | Standard (B) | 2              | 448                   | 400             | 40                    |
| CHEST W       | Thorax  | 1-recon     | 2.4 MIP         | Axial       | 10             | 5              | 0               | Standard (B) | 2              | 445                   | 2000            | -450                  |
| CHEST W       | Thorax  | 1-recon     | 2.5 MinIP       | Coronal     | 7.2            | 7.2            | 0               | Standard (B) | 2              | 436                   | 1200            | -450                  |
| CHEST W       | Thorax  | 1-recon     | 2.6 LUNGS       | Axial       | 3              | 3              | 0.5             | Detail (D)   | 2              | 449                   | 2000            | -450                  |
| CHEST W       | Thorax  | 1-recon     | 2.7 HI RES      | Axial       | 1              | 1              | 0.5             | Detail (D)   | 2              | 449                   | 2000            | -450                  |
| CHEST W       | Thorax  | 1-recon     | 2.8 AXL SOURCE  | Axial       | 0.9            | 0.9            | 0               | Standard (B) | 2              | 350                   | 400             | 40                    |
| CHEST WO      | Thorax  | 1           |                 |             |                |                |                 |              |                |                       |                 |                       |
| CHEST WO      | Thorax  | 1-recon     | 2.1 AXL         | Axial       | 3              | 3              | 0               | Standard (B) | 2              | 449                   | 400             | 40                    |
| CHEST WO      | Thorax  | 1-recon     | 2.2 COR         | Coronal     | 4              | 4              | 0               | Standard (B) | 2              | 448                   | 400             | 40                    |
| CHEST WO      | Thorax  | 1-recon     | 2.3 SAG         | Sagittal    | 4              | 4              | 0               | Standard (B) | 2              | 448                   | 400             | 40                    |
| CHEST WO      | Thorax  | 1-recon     | 2.4 MIP         | Axial       | 10             | 5              | 0               | Standard (B) | 2              | 445                   | 2000            | -450                  |
| CHEST WO      | Thorax  | 1-recon     | 2.5 MinIP       | Coronal     | 7.2            | 7.2            | 0               | Standard (B) | 2              | 436                   | 1200            | -450                  |
| CHEST WO      | Thorax  | 1-recon     | 2.6 LUNGS       | Axial       | 3              | 3              | 0.5             | Detail (D)   | 2              | 449                   | 2000            | -450                  |
| CHEST WO      | Thorax  | 1-recon     | 2.7 HI RES      | Axial       | 1              | 1              | 0.5             | Detail (D)   | 2              | 449                   | 2000            | -450                  |
| CHEST WO      | Thorax  | 1-recon     | 2.8 AXL SOURCE  | Axial       | 0.9            | 0.9            | 0               | Standard (B) | 2              | 350                   | 400             | 40                    |

#### Multiple vendors: Consistency of protocols

- Perfect parameter match not always possible
  - GE: 3.75mm slice interval
  - Philips: 4mm slice interval





#### The team

"Protocol review and improvement efforts should be undertaken by a core team consisting of at least one radiologist, one physicist, and one technologist." J Am Coll Radiol 2014;11:267-270.

- Radiologist can only oversee protocols relevant to their section
  - Generally cannot approve CT protocols for a different section (i.e., thoracic radiologist cannot approve neuro protocols)

#### The team

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• CT clinical manager(s) oversees CT

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"Protocol review and improvement efforts should be undertaken by a core team consisting of at least one radiologist, one physicist, and one technologist."

- CT clinical manager(s) oversees CT
  - Can get access to scanners (master of the schedule)
  - Knows the protocols
  - Controls how CT scanners are set up (i.e., password protection of protocol editor)

.. DO password protect your CT protocols - all changes are made in the best intent ..

#### Must-haves:

- Support from the administration:
  - Dedicated, protected technologists' time (\$\$)
- CT manager support critical
  - Appoints one dedicated technologist ("technical coordinator")
  - Scheduling: protected time **OFF THE FLOOR** to help with protocols
    - Difficult if there are staffing shortages
  - Controls CT schedule (block scanner time for protocol updates, notification settings)
- Lead technologist may not be ideal, as they already have many other responsibilities





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### A protocol book

- Protocol book:
  - Scan instructions: Technologist/radiologist
  - Scan parameters: Physicist
- Protocol book helps establish standard
  - Technologists' will change scan parameters on the fly because A radiologist requested scan A to be done in a certain way ..

| ADULT HEAD   |                         | CT Protocol   |
|--|-------------------------|---|
| 3-10-2017  |                         | Philips iCT   |
| HELICAL BRAIN  |                         |   |
| Indications:         Headache, CVA, Trauma         Oral Contrast: <ul> <li>Contrast:</li> <li>Omnipaque 350 100mL</li> <li>IV: 22-20 gauge optimal</li> <li>Rate: 2.0cc sec</li> <li>Delay: Immediate</li> <li>Saline: Optional</li> </ul> Scan Guidelines:         1. Patient supine and centered to the area of interest         2. Tilt Patient chin downward towards the chest with the scan plane parallel to the O | Icon<br>ML & Skull base | Dose Alert:<br>CTDIvol = 1000mGy<br>Scan overview:<br>1. Scout<br>2. Helical Scan<br>Order:<br>HEAD WO<br>HEAD W/WO<br>HEAD W |
| Paperwork, Scout, Axial ST, Axial Bone, Sag ST, Cor ST, Cor Bone, Cor ST   |                         |   |
| SURVIEW  |                         |   |

Angle: Lateral, Technique: 120 kV, 30 mA

47.6

928.1

SERIES 1

kV

CTDIvol DLP

Scan Length 160 CTDIvol NV 80

Scan parameters

#### **Reconstruction parameters**

| kV           | 120      |   | Name          | AXL ST           | COR ST           | SAG ST           | AXL BONE      | COR BONE      | SAG BONE      | THIN ST          | THIN BONE     |
|--------------|----------|---|---------------|------------------|------------------|------------------|---------------|---------------|---------------|------------------|---------------|
| mAs          | 350      |   | Orientation   | Axial            | Coronal          | Sagittal         | Axial         | Coronal       | Sagittal      | Axial            | Axial         |
| Resolution   | High     |   | Thickness     | 5                | 2.5              | 3                | 5             | 3             | 3             | 0.9              | 0.9           |
| Pitch        | 0.39     |   | Increment     | 5                | 2.5              | 3                | 5             | 3             | 3             | 0.45             | 0.45          |
| Rot. time    | 0.4      |   | Filter        | Brain Sharp (UC) | Brain Sharp (UC) | Brain Sharp (UC) | Y-Detail (YD) | Y-Detail (YD) | Y-Detail (YD) | Brain Sharp (UC) | Y-Detail (YD) |
| Auto Time    | No       |   | Enhancement   | 0                | 0                | 0                | 0             | 0             | 0             | 0                | 0             |
| Collimation  | 64x0.625 |   | iDose Level   | 2                | 2                | 2                | 2             | 2             | 2             | 2                | 2             |
| DRI          | 0        |   | FOV           | 249              | 247              | 249              | 249           | 249           | 249           | 249              | 249           |
| Z Modulation | No       |   | Window Center | 40               | 40               | 40               | 600           | 600           | 600           | 40               | 600           |
| Brain DRI    | 0        |   | Window Width  | 80               | 80               | 80               | 2400          | 2400          | 2400          | 80               | 2400          |
| min mAs      | None     |   |               |                  | 1                |                  |               |               |               |                  |               |
| max mAs      | None     | ] |               |                  |                  |                  |               |               |               |                  |               |

### Our approach to building a protocol book

- Goal: Build a protocol book that is flexible, editable, allowing for automated updating of scan parameters
- Requirements:
  - Easy exchange with technologists/clinicians (MS office)
  - Automated scan parameter update
- To disseminate:
  - Utilize MS sharepoint
    - Use .aspx extension to display html

| Software<br>tool                  | Data entry:<br>clinical<br>instructions   | Data entry:<br>scan<br>parameters                 | Data entry: Scan<br>parameter<br>update | Clarity of<br>layout                   | Access/<br>Publishing                                    | Version<br>control                   |
|-----------------------------------|---|---|---|--|--|--------------------------------------|
| MS Word                           | Good                                      | Manual or<br>linked fields                        | Manual<br>Linked                        | User defined                           | Upload<br>individually, open<br>in Word                  | Needs to be<br>maintained by<br>user |
| MS Excel                          | Can be<br>cumbersome<br>(cell layout)     | Manual or<br>linked cells                         | Manual<br>Linked                        | User defined                           | Upload<br>individually, open<br>in Excel                 | Needs to be<br>maintained by<br>user |
| HTML                              | Need special<br>editor, or<br>direct html | Via scripting                                     | Via scripting,                          | User defined                           | Via scripting,<br>displays as<br>website<br>(sharepoint) | Needs to be<br>maintained by<br>user |
| Radimetrics<br>protocol<br>editor | Good                                      | Automated<br>(depends on<br>CT manfr) /<br>Manual | Manual                                  | Not user<br>adjustable,<br>poor layout | Export to pdf and<br>upload<br>individually              | Tracks<br>protocol<br>version        |

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• Joint Commission Standard PC.01.03.01: The [critical access] hospital plans the patient's care.

A 25. The [critical access] hospital **establishes or adopts** diagnostic computed tomography (CT) imaging protocols based on current standards of practice, which address key criteria including **clinical indication, contrast administration, age** (to indicate whether the patient is **pediatric or an adult**), patient **size** and body habitus, and the **expected radiation dose index range**.

> A 26. Diagnostic computed tomography (CT) imaging protocols are reviewed and kept current with input from an interpreting radiologist, medical physicist, and lead imaging technologist to make certain that they adhere to current standards of practice and account for changes in CT imaging equipment. These reviews are conducted at time frames identified by the [critical access] hospital.

#### CT dose monitoring

- Order? Protocol? Study Description? Exam card?
- Terminology is not defined. Be careful when communicating.

#### Understand your workflow

Referring physician orders study

CT CHEST W

in structured dose report "order", "study description"

Radiology resident reviews order and clinical history, selects scan protocol

visible on ERM only "protocolling"

- Standard contrast-enhanced chest
- Interstitial lung disease

• ...

 "protocol", "exam card" retrieved from images
 -> requires images to be sent to monitoring software Technologist chooses size-specific protocol, or may adjust technique for patient size

- Standard Chest
- Interstitial lung disease

• ...

### Dose reporting

- By study description/order
  - Imaging protocol not known
  - Not as useful for scan parameter optimization
- By scan protocol
  - Same imaging protocol may be used for different orders
- DIR: by order

# CT dose monitoring

Where have all the studies gone ..

#### Understand your IT: What gets send where?

#### Initial setup:



#### IT needs to be part of the equation

#### New setup:



### Final words

- Establishing protocol/dose monitoring is not trivial
- Administration support (dedicated technologists' time costs \$\$)
- Know your systems, your workflow
- Build a **DEDICATED** team
  - Need to be on the same page with technologists/radiologists
  - Get someone from IT who CAN HELP
- Know your medical physics colleagues, reach out to someone with similar environment

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