


INDIANA UNIVERSITY
 SCHOOL OF MEDICINE

Imaging For Clinical Trials and Adaptive Radiation Therapy (ART) Clinical Trials: A Physician's Perspective

Feng-Ming (Spring) Kong, MD, PhD, FACR
 Professor of Radiation Oncology and Medical & Molecular Genetics
 Director of Clinical Research, Department of Radiation Oncology
 Co-leader of Thoracic Oncology Program
 Indiana University School of Medicine

AAPM Annual Meeting, Denver, 8-2-2017

Imaging for clinical trial and ART, Kong AAPM 2017

Outline

-  Overview imaging in clinical trial
 - > Clinical trial decision
 - > Post-treatment response assessment
 - > As a biomarker to predict long-term outcome
-  Imaging for adaptive RT trial
 - > Motivation of ART: during-RT changes in tumor and normal tissue
 - > Process of ART and Imaging for ART
 - > A clinical trial of Biological imaging guided ART (BigART)


INDIANA UNIVERSITY
 SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

Outline

-  Overview imaging in clinical trial
 - > Clinical trial decision
 - > **Post-treatment response assessment**
 - > **As a biomarker to predict long-term outcome**
-  Imaging for adaptive RT trial
 - > Motivation of ART: during-RT changes in tumor and normal tissue
 - > Process of ART and Imaging for ART
 - > A clinical trial of Biological imaging guided ART (BigART)


INDIANA UNIVERSITY
 SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Imaging for Clinical Trial Decision

- Imaging is essential for almost all diseases in oncology
- Advanced imaging like PET functional imaging has been used as the state of art modality for cancer diagnosis and management
- Imaging is needed for work-up or care for almost all solid tumors enrolling in clinical trial decision

Imaging for Clinical Trial Conduct

- Imaging are study variables associated with trial outcome
 - Disease, tumor location, size, stage and comorbid considerations
- Imaging for trial endpoint assessment
 - Treatment response
 - Local tumor control and distant disease spread

Imaging Modality for Response Assessment

- 2D X-ray, ultrasounds...
- CT is applicable for most conditions
- MRI or PET functional imaging depending on the organs of origin and tumor types
 - MRI for brain, liver, pancreas
 - PET for head and neck, cervical cancer, lymphoma... lung

2-26-2010 Kong

CT Imaging Response Assessment

WHO Criteria: $A \times B$ cm²



The WHO criteria were introduced in 1979 and use bidimensional measurements of target lesions

RECIST, introduced in 2000 and revised in 2009, use unidimensional measurements of the longest diameters of target lesions

Response Evaluation Criteria in Solid Tumors (RECIST) Criteria:
A cm only

Nichino M et al, Academic Radiology, 2011

Assessing Tumor Response

World Health Organization (WHO)

- Partial Response (PR) > 50% decrease in sum of products
- Progressive Disease (PD) > 25% increase

Response Evaluation Criteria in Solid Tumors (RECIST)

- Partial Response (PR) > 30% decrease in longest diameter
- Progressive Disease (PD) > 20% increase in diameter

From Wahl, RSNA

Assessing Tumor Response

RECIST 1.1

- Progressive Disease > 20% increase in diameter but also a 5 mm absolute increase now required
- Maximum lesions to determine response reduced to 5
- Maximum lesions per organ reduced to 2
- Assessment of lymph nodes now incorporated: nodes with short axis > 15 mm can be target lesions
- Interpretation of FDG-PET assessment now included

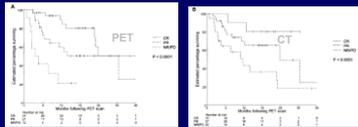
Eisenhauer, Therasse, et al. New response evaluation criteria in solid tumors: Revised RECIST guideline. *Eur J of Cancer*, 2009;45:258-267.

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Post-RT PET Response and Overall Survival

73 pts, PET and CT performed at 70 days post 60 Gy RT

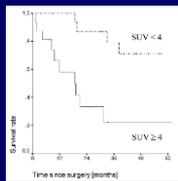


PET and CT responses were identical in only 40% patients (weighted Kappa of 0.35)

Mac Manus et al, JCO, 2003

Mac Manus et al, JCO, 2003

PET after ChemoRT to Predict Survival

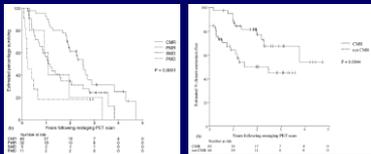


- 34 patients, 33 had both chemo and RT
- RT=45-68 Gy, 1-1.6 Gy/d, in 19 patients; 32 Gy, 2-2 Gy/d, in 14 patients). One patient with initial stage T3 pN0 M0 disease received preoperative irradiation without chemotherapy (48.6 Gy)

Hellwig et al, 2004

Post ChemoRT PET Predicts Survival

88 patients, 73 with chemoRT, 15 with RT alone

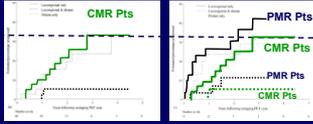


Mac Manus et al, 2005

2-26-2010 Kong

Post-RT PET Response Predict Failure Pattern

HR of local failure for PMR pts 2.15, P=0.009
 HR of distant failure for PMR pts is 2.05, P=0.041



CMR (complete metabolic response) had lower local and distant failures.

Mac Manus et al, Lung Cancer, 2005

PERCIST

Complete metabolic response	SUL normalization of all lesions to less than the mean liver SUV and equal to normal surrounding tissue
Partial metabolic response	≥30% decrease in the SUL peak Verification with follow-up study if anatomic criteria indicate disease progression
Progressive metabolic disease	>30% increase in the SUL peak 75% increase in TLG of the 5 most active lesions Visible increase in extent of FDG uptake New lesions Verification with follow up study if anatomic criteria indicate complete or partial response
Stable metabolic disease	Neither partial nor progressive disease

Wahl RL et al. From RECIST to PERCIST: evolving considerations for PET response criteria in solid tumors. *J Nucl Med*. 2009;40(Suppl 1):125S-39S.

FDG-PET

As a Biomarker for Cancer Treatment

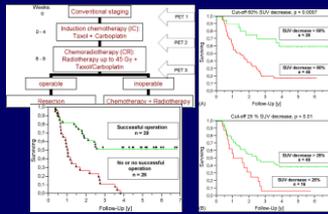
PET and Assessment of Cancer Therapy

Cancer Type	Timing of PET/CT with RT	Different Contributions of PET
Non-small cell lung cancer ^{1,2,3}	2-4 Mo after completion of chemoradiotherapy 1-2 mo after surgery	Differentiation between persistent or recurrent tumor and fibrosis in patients with residual chest radiographic abnormalities Selection of biopsy sites for confirmation of suspected recurrence Determination of actual extent of recurrence (recurrent and distant)

Juwelid and Cheson et al, Review, NEJM, 2006

2-26-2010 Kong

FDG Activity Change After Chemo



Eschmann et al, 2007
PET1 vs PET2

FDG Uptake May Be A Surrogate for EGFR Mutation

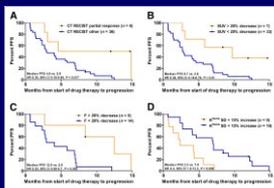
Table 4 – Relationship between EGFR mutation and ¹⁸F-FDG uptake in adenocarcinoma

¹⁸ F-FDG uptake	Preoperative primary site		p-Value
	High (n=14)	Low (n=21)	
EGFR Mutant	2	14	0.015
Wild-type	12	9	

Abbreviation: EGFR, epidermal growth receptor factor.

K Kaira et al, Respiratory Investigation, 2014, 52 (2) 121-126.

Tumor Responses on CT, PET (FDG), PET (H20) and MRI



PFS stratified for response after 2 wk of treatment according to CT (A), ¹⁸F-FDG PET (B), ¹⁵O-H₂O PET (C), and MRI (D). Definitions: p, 0.05; Log-rank test. P, 0.001; Strat P, 0.0005.

2-26-2010 Kong

Outline

- Overview imaging in clinical trial
 - Clinical trial decision
 - Post-treatment response assessment
 - As a biomarker to predict long-term outcome
- Imaging for adaptive RT trial
 - Motivation of ART: during-RT changes in tumor and normal tissue
 - Process of ART and Imaging for ART
 - A clinical trial of Biological imaging guided ART (BigART)

The Greater Role of Imaging in RT Trial

More than RT decision post RT monitoring

- RT planning: simulation, target definition, conform radiation to the target and normal tissue sparing
- RT delivery: position/localization the patient, and monitor the changes in anatomy, density and Biology during the course of RT

Why ART?

Motivation of ART: during-RT changes in tumor and normal tissue

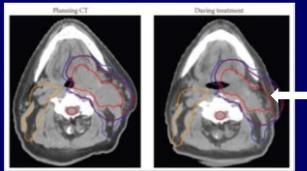
2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

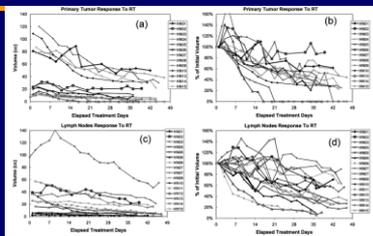
Changes During RT

- Patient changes: weight, shape, thickness
- Tumor changes: size, shape, texture, function...
- Organs at risk: organ fullness (stomach), function (atelectasis), fluid collection (pleural effusion)...
- Location and spacial relationships between tumor and normal tissue

Changes in Head and Neck Cancer on CT



Changes in CT Tumor Volumes in H&N



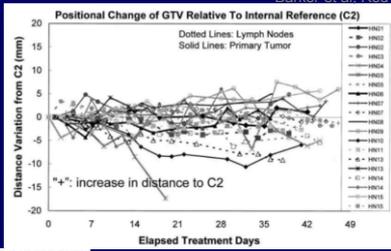
Gross tumor volumes decreased at a median rate of 0.2 cm³ or 1.8% of initial volume/treatment day.

Barker et al,
Red Journal,
2004

2-26-2010 Kong

Changes in Tumor Location in H&N

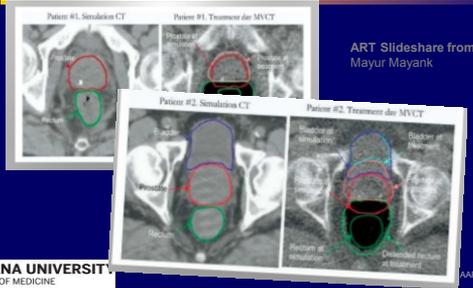
Barker et al. Red Journal, 2004



INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

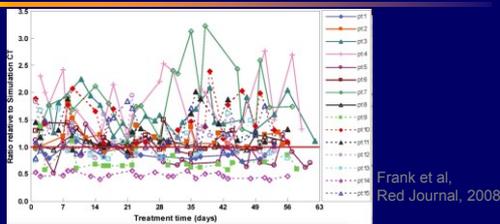
Changes of Rectum in Prostate RT



INDIANA UNIVERSITY
SCHOOL OF MEDICINE

AAPM 2017

Changes of Rectal Volume During RT



Ratio of rectal volume during radiotherapy course over rectal volume on simulation CT scan for during RT course.

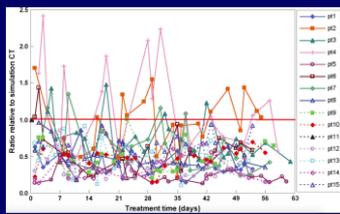
INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Changes of Bladder Volume During RT



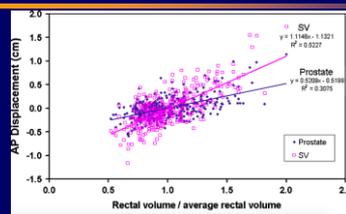
Frank et al, Red Journal, 2007

Ratio of bladder volume during radiotherapy course over bladder volume on simulation CT scan for during RT course.



Imaging for clinical trial and ART, Kong AAPM 2017

Changes of Prostate and SV During RT



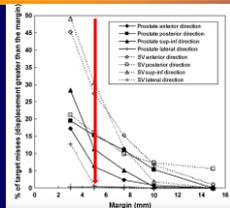
Frank et al, Red Journal, 2007

Prostate and seminal vesicle (SV) anteroposterior (AP) random variability by change in rectal filling (data from all patients combined).



Imaging for clinical trial and ART, Kong AAPM 2017

Misses of Prostate and SV During RT



Frequency of prostate and seminal vesicle (SV) misses as function of margin size (internal organ variation only).

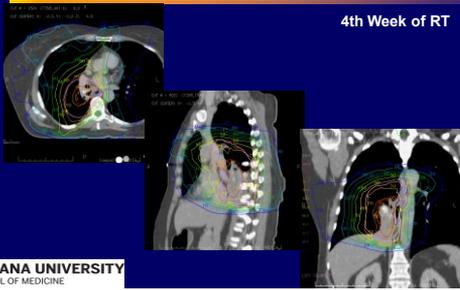
For 5 mm margin, 15% of times had posterior direction miss for both Prostate and SV, 30% of times SV will have misses at anterior and superior/inferior directions, without ART.



Frank et al, Red Journal, 2007

2-26-2010 Kong

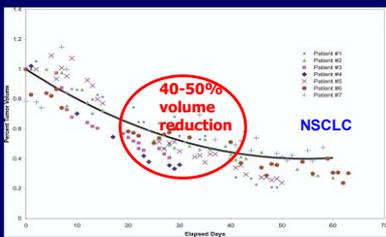
CBCT Tumor Changes During-RT



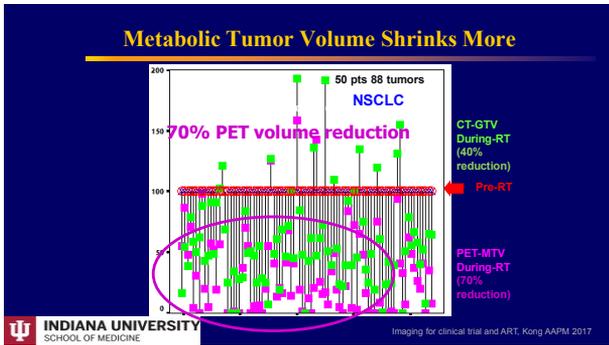
CBCT Tumor Changes During-RT



Tumor Shrinks During RT Measured by CBCT



2-26-2010 Kong



- ### Summary Changes During RT
- both tumor and normal tissues
- Set-up errors
 - Target and organ motion
 - Anatomic changes in location and size
 - ...
 - Biologic functional changes
- Without ART, one may miss the tumor or harm the patients by over dosing the normal tissues.
- INDIANA UNIVERSITY SCHOOL OF MEDICINE
Imaging for clinical trial and ART, Kong AAPM 2017

What is (ART)? Role of Advanced Imaging.

INDIANA UNIVERSITY SCHOOL OF MEDICINE
Imaging for clinical trial and ART, Kong AAPM 2017

2-26-2010 Kong

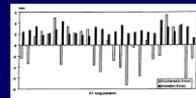
What Is ART?

- It is not just IGRT
- It uses IGRT for guidance and takes motion into consideration
- ART applies **adaptive plan** to patient-specific **changes** that are unaccounted for in initial plan.

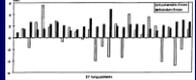
ART Original Concept (2D)

- Traditionally, "Adaptive radiation therapy is a closed-loop radiation treatment process where the treatment plan can be modified using a systematic feedback of measurements."... EPID
- By adjusting the patients' position and MLC shapes, the mean systematic error was 4 mm with a range of 2 to 7 mm before adjustment. It was reduced to 0.5 mm with a range of 0.2 to 1.4 mm after adjustment.
- By decreasing margin, dose may be escalated safely.

Systemic and random errors



Superior-inferior direction



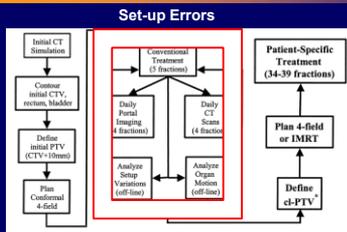
Lateral direction Yan et al, 1997 & 1998

Advanced Image Guided ART: Evolving ART Concept

- 2D-EPID guided ART to individually adapt the PTV margin
- 3D-CBCT, CT-on-rail, MVCT guided ART for offline, online replanning
- 3D, 4D online MRI guided ART for online/realtime adoption
- 5D: Biological, functional imaging guided ART~ BigART

2-26-2010 Kong

Earlier Process of Adaptive RT



Brabbins et al, Red Journal, 2005



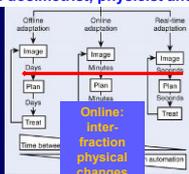
INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

Current ART Process

Online: physicist, therapist, physician
Real time: physicist, therapist, physician
Offline: dosimetrist, physicist and physician

Offline:
Suitable for progressive change such as tumor response to RT



Intra-fraction physical changes



INDIANA UNIVERSITY
SCHOOL OF MEDICINE

<http://clinicalgate.com/image-guided-adaptive-radiotherapy/>, 2015

Imaging for clinical trial and ART, Kong AAPM 2017

Essential Components for Modern ART

- Modern pretreatment imaging
- Real time imaging to detect the changes
- Evaluation the changes in tumor and OARs
- Precise image registration (deformable)
- Model based segmentation, automatic re-contouring (ideally)
- Accurate dose computation (deformable)
- Rapid automatic treatment planning (ideally)



INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

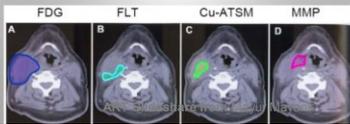
2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Biology Guided Adaptive Radiation Therapy (BigART)

- Adaptation of RT in time and space
- Based on biological and anatomic features
- Combined consideration of tumor and normal

Biological Target Volume



PET Guided BigART Improves Tumor Control

UMCC2007123

- ART escalated doses to 86Gy while kept lung NTCP at 17.2%
- 82% 2-year tumor control, versus
 - 34% historical control from UM
 - 65% from RTOG617

- Mature results also show a potential to improve survival

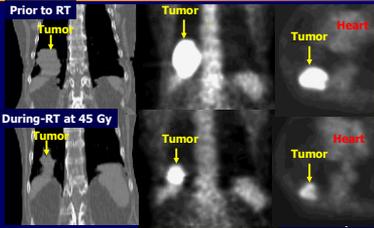
Kong et al, JAMA Oncology, 2017

An ART Clinical Trial in NSCLC: BigART

Learning from RTOG1106

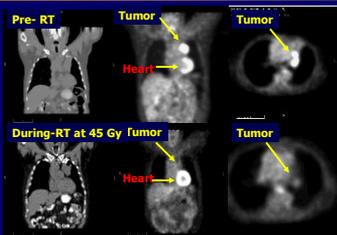
2-26-2010 Kong

Motivation of the Study: Tumor Changes on PET-CT



Kong et al, JCO, 2007

Example of Tumor Changes on PET-CT



Kong et al, JCO, 2007

Advantages of during-PET ART

- Tumor dose can be escalated by 19% more if the lung normal tissue complication probability (NTCP) is kept same
- Lung NTCP could be decreased by 18% if the tumor dose is unchanged
- Example:
 - Pt # Mr. B, keep lung NTCP unchanged (this case was 9%)
 - Re-simulation at 40 Gy, start boost RT at 50 Gy
 - GTV reduced by 50%
 - Total dose escalated by 11 Gy
 - Code dose decreased by 12 Gy

Feng (Kong), Red Journal, 2009

2-26-2010 Kong

UMCC 2007-123

Using FDG-PET Acquired During the Course of Radiation Therapy to Individualize Adaptive Radiation Dose Escalation in Patients with NSCLC



INDIANA UNIVERSITY SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

Original Dose In ART Arm

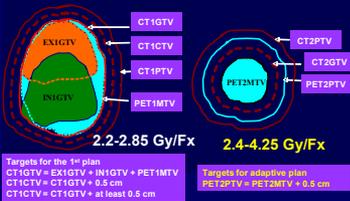
Mean Lung	Initial	# Fractions	Physical Dose at the point	Adaptive Phase	Adaptive Phase	# Fraction	Total
Dose for	Dose for -50 Gy		Dose at the point	Max Dose	Physical	for ART	Physical
74 Gy	per fx	EQD2	(Gy)	per fx	Max Dose		Max Dose
13.6	2.85	17	48.45	2.85	37.05	13	85.5
13.5	2.85	17	48.45	2.85	37.05	13	85.5
13.8	2.80	17	47.6	2.9	37.7	13	85.3
14.3	2.75	18	49.5	3	36	12	85.5
14.7	2.70	18	48.6	3.05	36.6	12	85.2
16.3	2.65	18	47.7	3.15	37.8	12	85.5
15.5	2.60	19	49.4	3.25	36.75	11	85.2
16.0	2.55	19	48.45	3.3	36.3	11	84.8
16.5	2.50	19	47.5	3.4	37.4	11	84.9
17.0	2.45	20	49	3.55	36.5	10	84.5
17.7	2.40	20	48	3.65	36.5	10	84.5
18.4	2.35	21	49.35	3.85	34.65	9	84.0
18.7	2.30	21	48.3	3.9	35.1	9	83.4
19.3	2.25	22	49.5	4.15	33.2	8	82.7
20.0	2.20	22	48.4	4.25	34	8	82.4
20	2.20	22	48.4	4.25	34	8	82.4

INDIANA UNIVERSITY SCHOOL OF MEDICINE

and ART, Kong AAPM 2017

Treatment Targets

30 daily fractions, 2.2-4.25 Gy daily fractions



Targets for the 1st plan
 CT1GTV = EX1GTV + IN1GTV + PET1MTV
 CT1PTV = CT1GTV + 0.5 cm
 CT1CTV = CT1GTV + at least 0.5 cm

Targets for adaptive plan
 PET2PTV = PET2MTV + 0.9 cm

INDIANA UNIVERSITY SCHOOL OF MEDICINE

Imaging for clinical trial and ART, Kong AAPM 2017

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Credentiaing Requirements

ACRIN credentialing

- > Institution
- > PET scanner

RTOG credentialing:

- > IGRT and imaging registration
- > IMRT if you would like to use
- > Motion management
- > Dry-run case for target, OARs, imaging registration and RT planning

<http://atc.wustl.edu/protocols/rtoq/1106/1106.html>

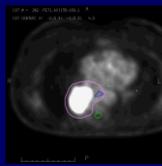
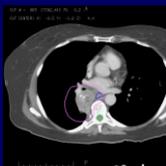
Can Everybody Do BigART?

Preparation of RTOG1106 ART Trial

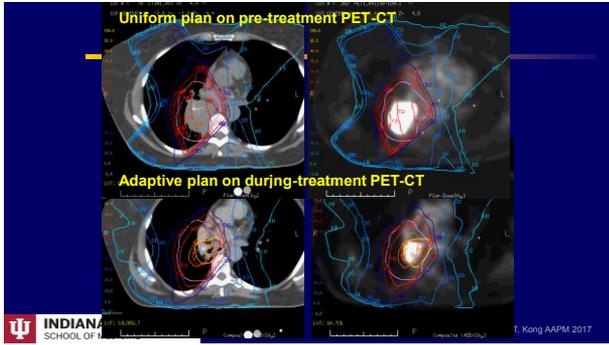
Three dry run planning studies were performed through 12-14 centers.

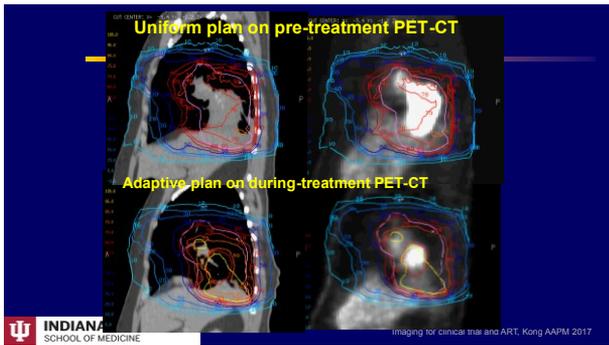
RTOG1106 Dry-Run Case #1

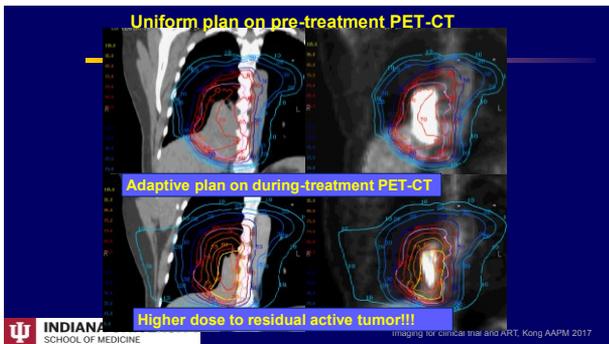
60 years old female with T4 N2 M0 stage IIIB NSCLC of the right lower/middle lobe, a patient treated at UMCC2007123



2-26-2010 Kong

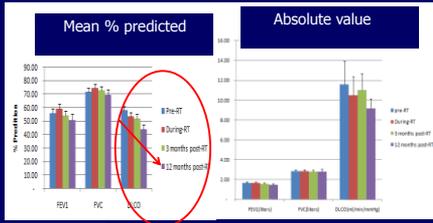






2-26-2010 Kong

Global Pulmonary Function During-RT

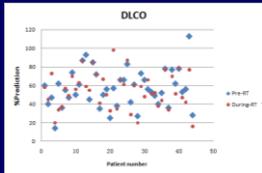


INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Yuan et al, JTO, 2011
Imaging for clinical trial and RT, Kong AAPM 2017

Radiation Induced Changes in Diffusion

Individual Differences in DLCO responding to RT

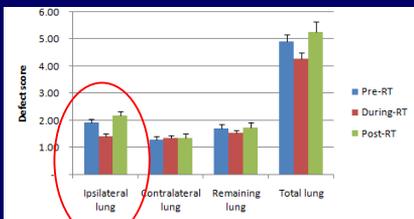


47% patients remain +10% of baseline level.
20% patients improved (more than 10% elevation).
30% patients decreased (more than 10% reduction).

INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Imaging for clinical trial and RT, Kong AAPM 2017

V-Defect Score During- & Post-RT

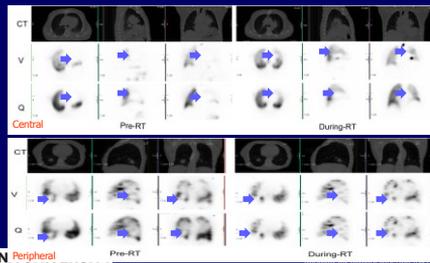


INDIANA UNIVERSITY
SCHOOL OF MEDICINE

Based on Gayed et al, JTO, 2008; 3(8):858-64
Imaging for clinical trial and RT, Kong AAPM 2017

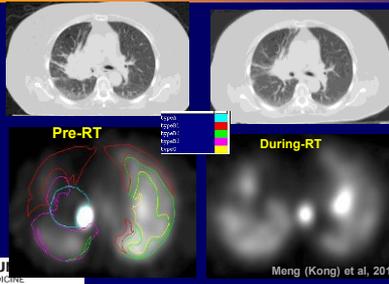
2-26-2010 Kong

V/Q SPECT of Central and Peripheral Tumors



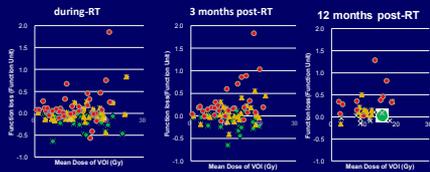
INDIAN PERIPHERAL SCHOOL OF MEDICINE Yuan (Kong) et al, JTO, 2011 Kong AAPM 2017

V/Q SPECT Lung Function Map



INDIANA UNIVERSITY SCHOOL OF MEDICINE Meng (Kong) et al, 2014; Kong AAPM 2017

Q Changes During- and Post-RT

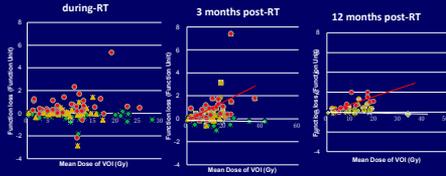


After 12 months, Q reduced in most cases for functioning lung (red), unchanged in defect lung (green).

INDIANA UNIVERSITY SCHOOL OF MEDICINE Imaging for clinical trial and ART, Kong AAPM 2017

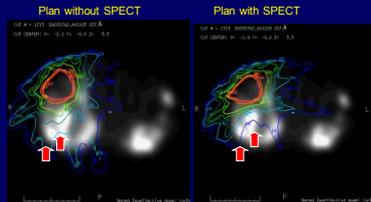
2-26-2010 Kong

V Changes During- and Post-RT



After 12 months, V reduced in most cases for functioning lung (red), unchanged in defect lung (green).

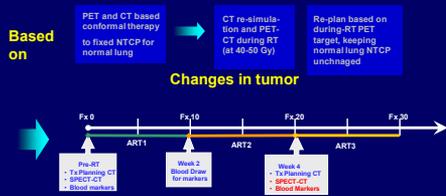
Lung V/Q SPECT to Guide Adaptive RT



The mean lung dose to the functioning lung reduced by 2 Gy.

Advanced Imaging in ART: BigART

Locally advanced NSCLC for Example



To normal tissue and host immune function based BigART

2-26-2010 Kong

Acknowledgement-1

- Medical physicists:
 - Randall Ten Haken
 - Martha Matusek
 - Timothy Ritter
 - Yue Cao
 - Scott Hadley
 - Jean Moran
 - Dick Fraass
- Medical dosimetrists:
 - Daniel Tatro
 - David Jerama
 - Cassie Brooks
 - Lon Marsh
- Physicians:
 - James Hayman
 - Avahram Eisbruch
 - Howard Sandler
 - Theodore Lawrence
- Statisticians:
 - Kerby Shedden
 - David Normolle
 - Schipper
- Fellows/postdocs/residents:
 - Shuanglin Yuan
 - Xueqi Cai
 - Jingbo Wang
 - Wei Wang
 - Pawinee Mahasittiwat
 - Xue Meng
 - Libin Sun
 - Bing Xia
 - Jun Liu
 - Congying Xie
 - Luying Xu
 - Xiangpeng Zheng
 - Yaping Xu
 - Chenbo Han
 - Jianxin Xue
 - Nan Bi
 - Jian Qin
 - Ling Li
 - Matthew Stenmark
 - Khawla Hunter
 - Paul Stanton

Acknowledgement-2

- Medical Oncologists:
 - Gregory Kalemkerian
 - Nithya Rammath
 - Khaled Hassan
 - Dean Brenner
 - Kemp Cease
- Pulmonologists:
 - Douglas Arenberg
 - Kevin Flaherty
 - Jeffrey Curtis
- Pathologists:
 - Jeffrey Myers
 - Lindsay Schmidt
- Lab Scientists:
 - David Lubman
 - David Beers
 - Yi Sun
- Radiologists:
 - Kirk Frey
 - Leslie Quint
 - Moran Piert
 - Milton Gross
 - Kakit Wong
 - Richard Brown
- Surgeons:
 - Mark Orringer
 - Andrew Chang
 - Rishi Reddy
 - Jules Lin
- Data management:
 - Judy Sharp
 - Debra Arnold
 - Michele Castle
 - Kathy Blanchard
 - Kate Krsting
 - Kate Hurffman

Thank you!!!

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems

Sam #1: Which of the following is true for FDG-PET during the course of fractionated radiotherapy?

- should not be performed as there will be radiation inflammation to cause confounding effects
- Can be performed during the course of RT, but with significant noise from normal lung
- Has limited role on adaptive treatment
- *Can guide adaptive treatment to escalate RT dose without increasing doses to normal tissue

Kong et al, JCO, 2017
Feng (Kong) et al, Red Journal, 2017



Imaging for clinical trial and ART, Kong AAPM 2017

Sam #2: Lung V/Q SPECT-CT

- ❖ V/Q SPECT can be used to map lung function during the course of fractionated radiation therapy. Which of the following is correct?
- A. Can be easily registered retrospectively without a CT scan
 - B. Changes little during the course of fractionated RT in vast majority of patients
 - *C. Changes on V/Q SPECT during the course of radiation may have significant impact on functional dosimetry
 - D. V/Q SPECT has not been done clinically during the course of radiation therapy, the changes are unknown to radiation oncology community

Meng (Kong) et al, Int J RadiatOncol Biol Phys, 2014
Yuan (Kong) et al., Int J Radiat Oncol Biol Phys, 2012



Imaging for clinical trial and ART, Kong AAPM 2017

2-26-2010 Kong

Department of Radiation Oncology • University of Michigan Health Systems