

**AAPM 2018** JUL 29 - AUG 2  
**BEYOND THE FUTURE!**  
60<sup>TH</sup> ANNUAL MEETING & EXHIBITION | NASHVILLE, TN

# Technology and Clinical Implementation of 4π Radiotherapy:

KE SHENG, PH.D., DABR, FAAPM  
UNIVERSITY OF CALIFORNIA, LOS ANGELES



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## Disclosure

I receive research grants from Varian Medical Systems and VisionRT  
I am a founder of Celestial Medical Inc.

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NIH U19AI067769  
NIH R43CA183390  
NIH R44CA183390  
DE-SC0017057  
DE-SC0017687  
NIH R01CA188300

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## Outline

- Technical development
- Clinical implementation
- Future directions

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### Promise and challenge of radiotherapy

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Clinical IMRT plan 50Gy

Further increase the dose?

Pretreatment 3 Months post 12 Months post 24 Months post

The majority of stage III lung cancer patients die from local in-field disease progression. Garg et al. *Pract Radiat Oncol*. 2013;3(4):269-75. doi:10.1016/j.prro.2013.04.004  
 High BED is essential to achieve tumor local control for large locally advanced lung cancer. Zhao et al. *JROBP* Volume 64 Issue 3, 1 May 2007, Pages 103-110

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### Non-coplanar radiotherapy is not new

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Coplanar beams  
 SINGLE PLANE OF RADIATION

Non-Coplanar beams  
 MULTIPLE PLANES OF RADIATION

Radwanik et al. *JROBP* 16 (4) 857-865

- Non-coplanar beams and arcs show definitely advantages for intracranial SRS and are ubiquitously used in these treatments
- Non-coplanar beams are less systematically used for extracranial treatment

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### Non-coplanar treatment on C-arm gantry

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Manually selecting non-coplanar beams and arcs becomes increasing difficult and inefficient

Automated integrated beam orientation and fluence optimization

4π radiotherapy in phase I and II

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### Phase I: Beam orientation and Fluence optimization

Pricing approach and column generation

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Candidate beam or beamlet

Fluence optimization is performed

Predict the value of the beam using KKT condition

Candidate pool (1162 beams)

471 solid angles

Selected beams  
Fluence optimization

HE Boerjays, SIAM Journal on Optimization, 2005  
D Nguyen, Med Phys, 2016

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### Phase II: Sparsity on the structured beamlets

Encouraging sparsity on the beamlets

Encouraging sparsity on the structured beams

Jaer et al. PNAS 2011

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### Solving the group sparsity optimization problem

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$$\begin{aligned} & \underset{x}{\text{minimize}} \quad \underbrace{\frac{1}{2} \|(\ell - A_0 x)_+\|_2^2}_{\text{PIV}} + \underbrace{\sum_{i=1}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2}_{\text{ODBs}} + \underbrace{\gamma \|Dx\|_1^{(p)}}_{\text{smoothness}} + \underbrace{\sum_{b=1}^B w_b \|x_b\|_2}_{\text{group sparsity}} \quad (1) \\ & \text{subject to} \quad x \geq 0. \end{aligned}$$

Fast Iterative Shrinkage-Thresholding Algorithm (FISTA)

$$\underset{x \in \mathbb{R}^n}{\text{minimize}} \quad f(x) + g(x),$$

$$f(x) = \frac{1}{2} \|(\ell - A_0 x)_+\|_2^2 + \sum_{i=1}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2 + \gamma \|Dx\|_1^{(p)}$$

$$g(x) = \begin{cases} \sum_{b=1}^B w_b \|x_b\|_2 & \text{if } x \geq 0, \\ \infty & \text{otherwise.} \end{cases}$$

O'Connor et al. PNAS 2018 63(4)

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Gradient of  $f$

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$$f(x) = \frac{1}{2} \|(\ell - A_0 x)_+\|_2^2 + \sum_{i=0}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2 + \gamma \|Dx\|_1^{(\mu)}$$

$$\nabla f(x) = -A_0^T (\ell - A_0 x)_+ + \sum_{i=0}^N \alpha_i A_i^T (A_i x - d_i)_+ + \beta_i A_i^T A_i x + \frac{\gamma}{\mu} D^T P_{[-\mu, \mu]}(Dx).$$

© Connor et al. PMB 2018 63(4)

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Proximal operator of  $g$

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$$\text{prox}_{t_g}(x) = \begin{bmatrix} \text{prox}_{t_{g_1}}(x_1) \\ \text{prox}_{t_{g_2}}(x_2) \\ \vdots \\ \text{prox}_{t_{g_B}}(x_B) \end{bmatrix}, \quad \text{prox}_{t_{g_b}}(x_b) = \text{prox}_{t_{\text{reg}}\| \cdot \|_2}(\max(x_b, 0)).$$

$$\text{prox}_{t_{\text{reg}}\| \cdot \|_2}(y) = y - Py.$$

Derivation of the proximal operator is the key to enable FISTA

© Connor et al. PMB 2018 63(4)

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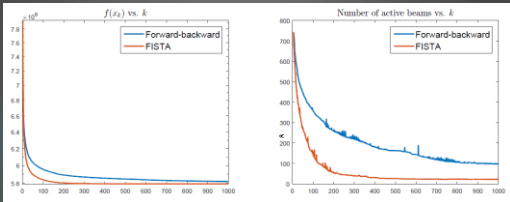
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Convergence comparison

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FISTA converges  $-1/k^2$  vs. Chambolle-Pock  $-1/k$ . Chambolle-Pock does not achieve the desired beam sparsity.

Solving the optimization problem in ~ 5 mins using FISTA

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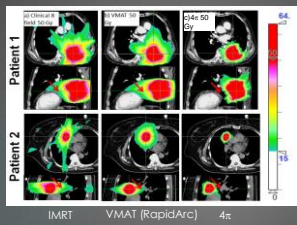
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### Lung SBRT

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Reduce  $R_{50}$  by 54%,  
 Reduce EUD of heart,  
 esophagus, trachea,  
 bronchus and spinal  
 cord were reduced by  
 44%, 74%, 40%, 42%,  
 and 51%

4π Optimization using  
 group sparsity takes ~5  
 minutes

Dong et al. IJROBP 2013; 86 (3):407-413.

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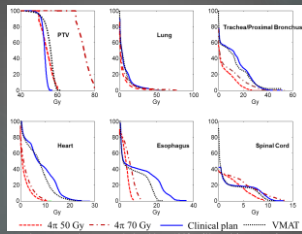
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### Potential for no cost tumor dose escalation?

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The PTV dose can be escalated by 40% without increasing normal tissue dose

Dong et al. IJROBP 2013; 86 (3):407-413.

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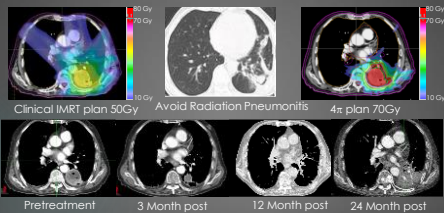
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### Achieving greater tumor dose without increasing normal tissue doses

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### Liver cancer SBRT

Minimum isodose 50%

The mean normal liver volume receiving >15 Gy was increased by 51 cc (range 21-107 cc) with a 31% reduction of the mean normal liver dose

Dong P et al. Int J Radiat Oncol Biol Phys. 2013; 85(3): p. 1360-1366.

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### 3D isodose cloud comparison between non-coplanar 4 $\pi$ and coplanar plans

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### IMRT Dose sculpting

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You have seen this.....

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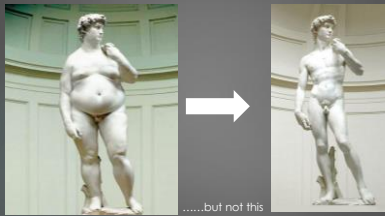
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### Compact dose distribution

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.....but not this

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### Overcoming the delivery anxiety

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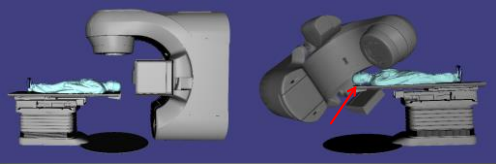
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### Collision

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Non-coplanar beams require couch rotation (kick) that significantly increases the risk of collision that could have fatal consequences

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### 3D optical surface acquisition

- ▶ Two pairs of wall-mounted 3D stereo cameras in CT simulation room
  - ▶ Low-pass filters to reduce sensitivity to room lighting
- ▶ Surface accuracy verified by scanning cubical phantom
  - ▶ <2mm discrepancy
- ▶ Patient and phantom surfaces acquired immediately after CT simulation to ensure consistent setup



V. Yu et al. AAPM 2018 - SU-L-KDRRA1-5

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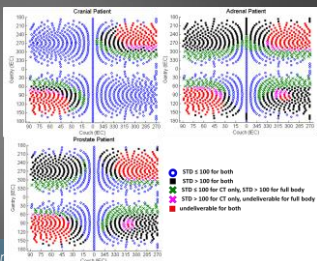
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### Case-specific collision maps

- Minimum achievable source to target distances for 1162 evenly spaced candidate beams
- Programmatic exhaustive search




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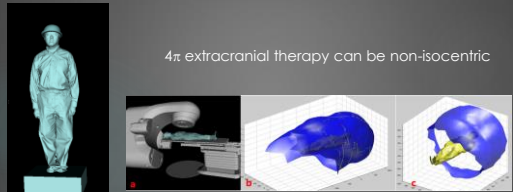
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### Virtual simulation of treatment

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4π extracranial therapy can be non-isocentric




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### Manual vs. automated delivery



Total treatment time 45 minutes



Automated delivery at the same playbackspeed (8-12 minutes)

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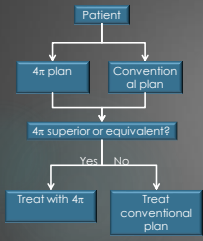
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### Phase I 4 $\pi$ clinical trial study design



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graph TD
    Patient --> 4pi[4π plan]
    Patient --> Conventional[Conventional plan]
    4pi --> Superior{4π superior or equivalent?}
    Conventional --> Superior
    Superior -- Yes --> Treat4pi[Treat with 4π]
    Superior -- No --> TreatConventional[Treat conventional plan]
            
```





International Journal of Radiation Oncology/Biology/Physics  
Volume 101, Issue 1, 1 May 2018, Pages 144-151

Physics Contribution  
A Prospective 4 $\pi$  Radiation Therapy Clinical Study in Recurrent High-Grade Glioma Patients

Victoria Y. Yu PhD, Angela Lunden MS, Kaley Woods MS, Dan Nguyen PhD, Minhong Cao PhD, Donguo Du PhD, Robert K. Olin MD PhD, Ka Sheng PhD, Tania B. Kapur MD A B  
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https://doi.org/10.1016/j.ijrobp.2018.01.048 Get rights and content

ClinicalTrials.gov Identifier: NCT02575027

Aims: Safety, tolerance, treatment time and intrafractional motion

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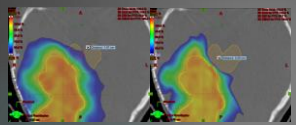
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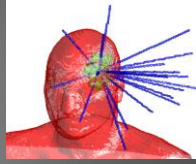
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### Steeper dose gradient spares previously treated OARs





Standard      4 $\pi$  plan

- 4 $\pi$  plan was recalculated in Eclipse to generate a clinical plan
- Composite plan of the original and the new recurrent plans
- 12 patients treated using 4 $\pi$
- 4 $\pi$  spine SBRT ongoing



V Yu et al. IJROBP 2018 Pages 144-151

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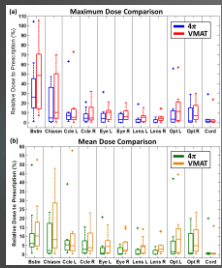
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# 4π prospective brain trial

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	Brainstem		Cochlea		Eye		Lens		Optic Nerve	
	M	SD	L	R	L	R	L	R	L	R
4π	3.20*	2.39*	2.33	1.85	0.84*	0.89*	0.29*	0.20*	3.00*	3.02
VMAT	9.22*	5.00*	3.47	1.92	1.96	1.35*	0.87*	0.51*	2.94*	2.61

Treatment Duration	Tolerability	Nausea	Dizziness	Pain
4π	0	0	0.66	1.07
VMAT	9.625	0	0.66	1.07

Patient self-reported discomfort in a scale of 0-10

V Yu et al. IJROBP 2018 Pages 144-151

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# Intrafractional motion monitoring

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Intrafractional head motion <1 mm for all treatment fractions

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# Future development of 4π radiotherapy

- 4π VMAT
- Fully automated evolving knowledge base (EKB) 4π treatment planning and delivery

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### 4π VMAT

- 4π VMAT is a way to further accelerate 4π IMRT
- A simple way to create non-coplanar VMAT is by generating static beams first and then connect them with arcs
- However, these arcs are not dosimetrically desirable.
- Need to include arc trajectory selection in optimization



### 4π VMAT radiotherapy: cost function

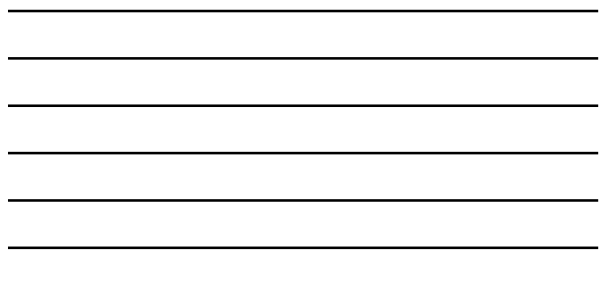
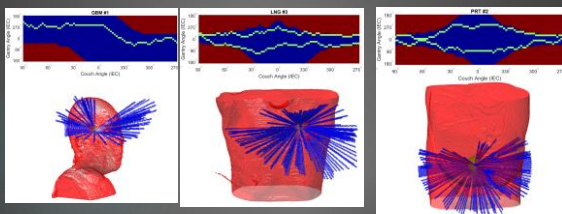
$$\begin{aligned}
 & \text{minimize} \\
 & \left( f_{ba}, c_{ba}, u_{ba}, n_{ba=1} \right) \frac{1}{2} \left\| W \left( \left( \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} A_{ba} f_{ba} \right) - d \right) \right\|_2^2 + \\
 & \quad \text{fidelity term} \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( \lambda_1 \| D_{1a} f_{ba} \|_1 + \lambda_2 \| D_{2a} f_{ba} \|_1 \right) + \\
 & \quad \text{anisotropic TV term on } f \\
 & \frac{1}{2} \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( \gamma_1 \left\| \sqrt{\text{diag}(u_{ba})} (f_{ba} - c_{ba}) \right\|_2^2 + \gamma_2 \left\| \sqrt{\text{diag}(1 - u_{ba})} f_{ba} \right\|_2^2 \right) + \\
 & \quad \text{single segment term} \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( g_1 \| D_{1a} u_{ba} \|_1 + g_2 \| D_{2a} u_{ba} \|_1 \right) + \\
 & \quad \text{anisotropic TV term on } u \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \gamma_3 G_{ba} \| f_{ba} \|_2 + \gamma_4 G_{ba} \| 1 - f_{ba} \|_2 + g_3 \| D_a u \|_1 \\
 & \quad \text{group sparsity term} \quad \text{aperture continuity term}
 \end{aligned}$$

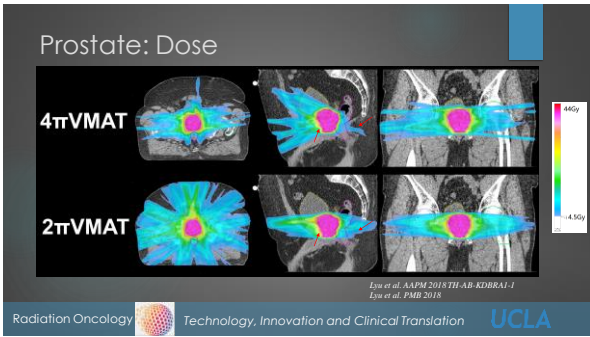
Alternating between fluence, direct aperture and beam trajectory optimization

Izu et al. AAPM 2018 TH-AB-KDBRAJ-1  
Izu et al. PMB 2018



### Selected beam angles





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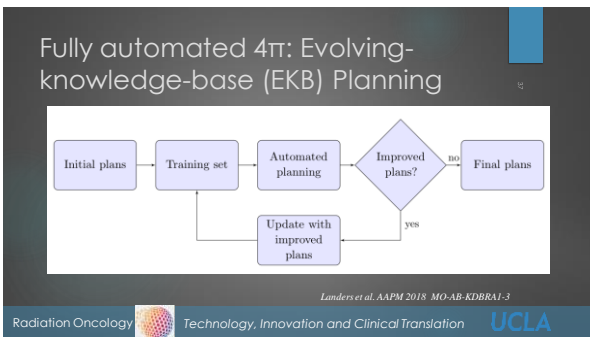
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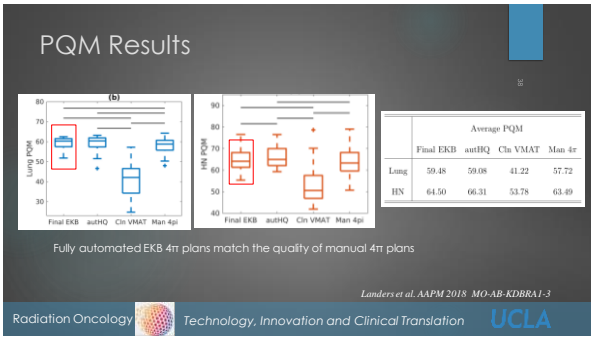
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### Conclusion

- 4π radiotherapy optimally uses the enhanced beam geometry freedom to create highly compact dose distribution.
- The path to overcoming the computational challenge of 4π IMRT and VMAT treatment planning has been elucidated.
- The feasibility of delivering optimized 4π treatment has been shown in an early phase clinical trial.
- Extending 4π to extracranial sites may be calling for a new hardware architecture.

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