

**AAPM 2018** JUL 29 - AUG 2  
 **BEYOND THE FUTURE!**  
 60<sup>TH</sup> ANNUAL MEETING & EXHIBITION | NASHVILLE, TN

## Technology and Clinical Implementation of 4π Radiotherapy:

KE SHENG, PH.D., DABR, FAAPM  
 UNIVERSITY OF CALIFORNIA, LOS ANGELES

**UCLA**

---

---

---

---

---

---

---

---

## Disclosure

I receive research grants from Varian Medical Systems and VisionRT

I am a founder of Celestial Medical Inc.

Funding source:  
 NIH R21EB025269  
 NIH U19AI067769  
 NIH R43CA183390  
 NIH R44CA183390  
 DE-SC0017057  
 DE-SC0017687  
 NIH R01CA188300

---

---

---

---

---

---

---

---

## Outline

- Technical development
- Clinical implementation
- Future directions

Radiation Oncology  Technology, Innovation and Clinical Translation **UCLA**

---

---

---

---

---

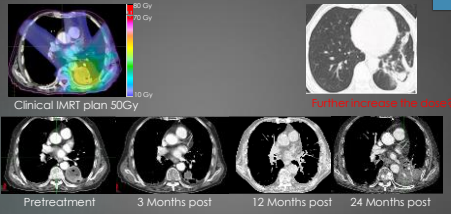
---

---

---

## Promise and challenge of radiotherapy

4



The majority of stage III lung cancer patients die from local in-field disease progression. Garg et al. *Pract Radiat Oncol*. 2013 Oct Dec;3(4):203-9. doi: 10.1016/j.prro.2013.05.004.  
High BED is essential to achieve tumor local control for large locally advanced lung cancer. Zhao et al. *URCOP* Volume 6, Issue 1, 1 May 2007, Pages 103-110

Radiation Oncology

Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

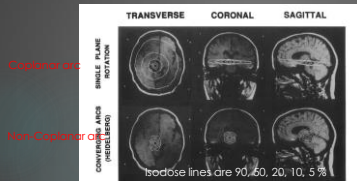
---

---

---

## Non-coplanar radiotherapy is not new

5



Radwan et al. *URCOP*  
16 (3) 857-865

- Non-coplanar beams and arcs show definitely advantages for intracranial SRS and are ubiquitously used in these treatments
- Non-coplanar beams are less systematically used for extracranial treatment

Radiation Oncology

Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

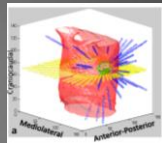
---

---

---

## Non-coplanar treatment on C-arm gantry

6



- Manually selecting non-coplanar beams and arcs becomes increasing difficult and inefficient
- ➔ Automated integrated beam orientation and fluence optimization
- 4π radiotherapy in phase I and II

Radiation Oncology

Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

---

Phase I: Beam orientation and Fluence optimization  
Pricing approach and column generation

7

Candidate beam or beamlet

Fluence optimization is performed

Predict the value of the beam using KKT condition

Candidate pool (1162 beams)

401 solid angles

Selected beams  
Fluence optimization

HE Rameigh, SIAM Journal on Optimization, 2005

D Nguyen, Med Phys, 2016

Radiation Oncology

Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

---

Phase II: Sparsity on the structured beamlets

Encouraging sparsity on the beamlets

Encouraging sparsity on the structured beams

Jo et al. PMB 2011

---

---

---

---

---

---

---

---

Solving the group sparsity optimization problem

9

$$\begin{aligned} &\underset{x}{\text{minimize}} \quad \underbrace{\frac{1}{2} \|(\ell - A_0 x)_+\|_2^2}_{\text{PTV}} + \underbrace{\sum_{i=1}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2}_{\text{OARs}} + \underbrace{\gamma \|Dx\|_1^{(p)}}_{\text{smoothness}} + \underbrace{\sum_{b=1}^B w_b \|x_b\|_2}_{\text{group sparsity}} \quad (1) \\ &\text{subject to} \quad x \geq 0, \end{aligned}$$

Fast Iterative Shrinkage-Thresholding Algorithm (FISTA)

$$\underset{x \in \mathbb{R}^n}{\text{minimize}} \quad f(x) + g(x),$$

$$f(x) = \frac{1}{2} \|(\ell - A_0 x)_+\|_2^2 + \sum_{i=1}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2 + \gamma \|Dx\|_1^{(p)}$$

$$g(x) = \begin{cases} \sum_{b=1}^B w_b \|x_b\|_2 & \text{if } x \geq 0, \\ \infty & \text{otherwise.} \end{cases}$$

O'Connor et al. PMB 2018 63(4)

Radiation Oncology

Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

---

3

Gradient of  $f$ 

10

$$f(x) = \frac{1}{2} \|(\ell - A_0 x)_+\|_2^2 + \sum_{i=0}^N \frac{\alpha_i}{2} \|(A_i x - d_i)_+\|_2^2 + \frac{\beta_i}{2} \|A_i x\|_2^2 + \gamma \|Dx\|_1^{(\rho)}$$

$$\nabla f(x) = -A_0^T (\ell - A_0 x)_+ + \sum_{i=0}^N \alpha_i A_i^T (A_i x - d_i)_+ + \beta_i A_i^T A_i x + \frac{\gamma}{\mu} D^T P_{[-\mu, \mu]}(Dx).$$

O'Connor et al. PMB 2018 63(4)

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

---

Proximal operator of  $g$ 

11

$$\text{prox}_{t_g}(x) = \begin{bmatrix} \text{prox}_{t_{g_1}}(x_1) \\ \text{prox}_{t_{g_2}}(x_2) \\ \vdots \\ \text{prox}_{t_{g_B}}(x_B) \end{bmatrix}, \quad \text{prox}_{t_{g_b}}(x_b) = \text{prox}_{t_{w_b}}(\max(x_b, 0)).$$

$$\text{prox}_{t_{w_b}}(y) = y - P y,$$

Derivation of the proximal operator is the key to enable FISTA

O'Connor et al. PMB 2018 63(4)

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

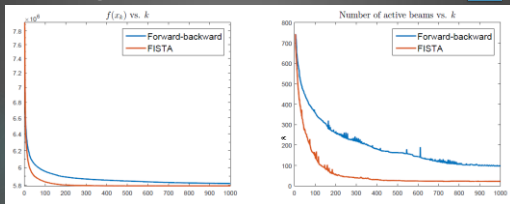
---

---

---

## Convergence comparison

12

FISTA converges  $\sim 1/k^2$  vs. Chambolle-Pock  $\sim 1/k$ 

Chambolle-Pock does not achieve the desired beam sparsity

Solving the optimization problem in  $\sim 5$  mins using FISTA

---

---

---

---

---

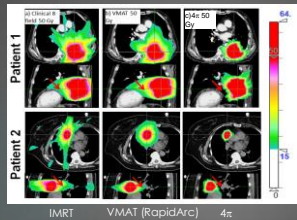
---

---

---

## Lung SBRT

13



Reduce  $R_{50}$  by 54%,  
Reduce EUD of heart,  
esophagus, trachea,  
bronchus and spinal  
cord were reduced by  
44%, 74%, 40%, 42%,  
and 51%.

4 $\pi$  Optimization using  
group sparsity takes ~5  
minutes

Dong et al. IJROBP 2013; 86 (3):407-413.

Radiation Oncology

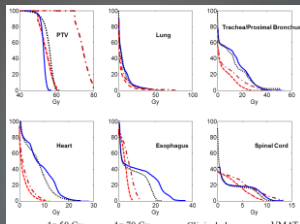


Technology, Innovation and Clinical Translation

UCLA

## Potential for no cost tumor dose escalation?

14



The PTV dose can be escalated by 40% without increasing normal  
tissue dose

Dong et al. IJROBP 2013; 86 (3):407-413.

Radiation Oncology

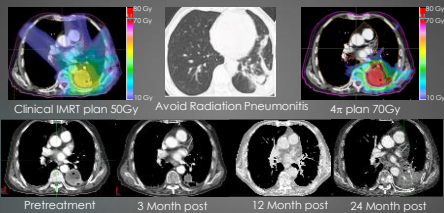


Technology, Innovation and Clinical Translation

UCLA

## Achieving greater tumor dose without increasing normal tissue doses

15



Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

### Liver cancer SBRT

Minimum isodose 50%

The mean normal liver volume receiving <15 Gy was increased by 51 cc (range 21-107 cc) with a 31% reduction of the mean normal liver dose

Dang, P et al. *Int J Radiat Oncol Biol Phys*. 2013; 85(3): p. 1360-1366.

Radiation Oncology Technology, Innovation and Clinical Translation **UCLA**

---

---

---

---

---

---

---

---

---

---

### 3D isodose cloud comparison between non-coplanar 4 $\pi$ and coplanar plans

17

Radiation Oncology Technology, Innovation and Clinical Translation **UCLA**

---

---

---

---

---

---

---

---

---

---

### IMRT Dose sculpting

18

You have seen this.....

Radiation Oncology Technology, Innovation and Clinical Translation **UCLA**

---

---

---

---

---

---

---

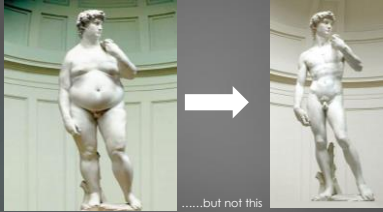
---

---

---

## Compact dose distribution

19



.....but not this

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

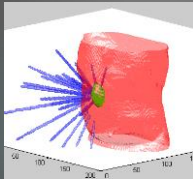
---

---

---

## Overcoming the delivery anxiety

20



Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

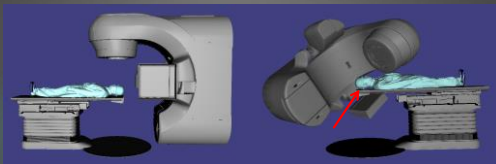
---

---

---

## Collision

21



Non-coplanar beams require couch rotation (kick) that significantly increases the risk of collision that could have fatal consequences

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

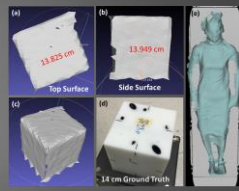
---

---

---

## 3D optical surface acquisition

- ▶ Two pairs of wall-mounted 3D stereo cameras in CT simulation room
  - ▶ Low-pass filters to reduce sensitivity to room lighting
- ▶ Surface accuracy verified by scanning cubical phantom
  - ▶ <2mm discrepancy
- ▶ Patient and phantom surfaces acquired immediately after CT simulation to ensure consistent setup



V. Yu et al. AAPM 2018 SU-L-KDRRA-15

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

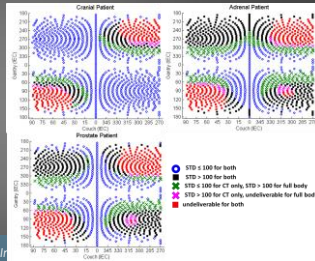
---

---

## Case-specific collision maps

- Minimum achievable source to target distances for 1162 evenly spaced candidate beams

- Programmatic exhaustive search



Radiation Oncology



Technology, Innovation and Clinical Translation

---

---

---

---

---

---

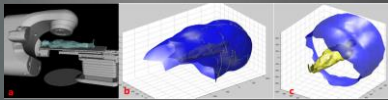
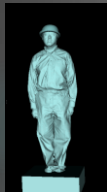
---

---

## Virtual simulation of treatment

24

4 $\pi$  extracranial therapy can be non-isocentric



Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

---



## Manual vs. automated delivery

25



Total treatment time 45 minutes



Automated delivery at the same playback speed (8-12 minutes)

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

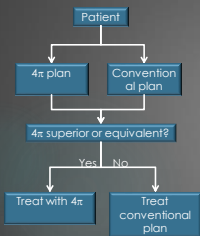
---

---

---

Phase I  $4\pi$  clinical trial study design

26



ClinicalTrials.gov Identifier: NCT02575027

Aims: Safety, tolerance, treatment time and intrafractional motion

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

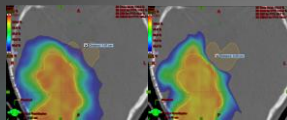
---

---

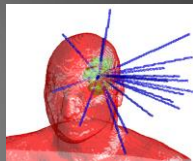
---

## Steeper dose gradient spares previously treated OARs

27

Standard       $4\pi$  plan

- $4\pi$  plan was recalculated in Eclipse to generate a clinical plan
- Composite plan of the original and the new recurrent plans
- 12 patients treated using  $4\pi$
- $4\pi$  spine SBRT ongoing



Y Yu et al. IJROBP 2018 Pages 144-151

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

---

---

---

---

---

---

---

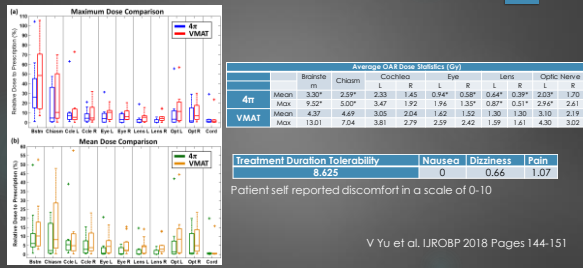
---

---

---

4 $\pi$  prospective brain trial

28




---

---

---

---

---

---

---

---

---

---

## Intrafractional motion monitoring

29



Intrafractional head motion &lt;1 mm for all treatment fractions

Radiation Oncology Technology, Innovation and Clinical Translation UCLA

---

---

---

---

---

---

---

---

---

---

Future development of 4 $\pi$  radiotherapy

- 4 $\pi$  VMAT
- Fully automated evolving knowledge base (EKB) 4 $\pi$  treatment planning and delivery

---

---

---

---

---

---

---

---

---

---

## 4π VMAT

- 4π VMAT is a way to further accelerate 4π IMRT
- A simple way to create non-coplanar VMAT is by generating static beams first and then connect them with arcs
- However, these arcs are not dosimetrically desirable.
- Need to include arc trajectory selection in optimization

---

---

---

---

---

---

---

---

## 4π VMAT radiotherapy: cost function

$$\begin{aligned}
 & \text{minimize} \\
 & (f_{ba}, c_{ba}, u_{ba})_{b=a=1}^{n_b, n_a} \frac{1}{2} \left\| W \left( \left( \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} A_{ba} f_{ba} \right) - d \right) \right\|_2^2 + \\
 & \quad \text{fidelity term} \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( \lambda_1 \|D_{1ba} f_{ba}\|_1 + \lambda_2 \|D_{2ba} f_{ba}\|_1 \right) + \\
 & \quad \text{anisotropic TV term on } f \\
 & \frac{1}{2} \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( \gamma_1 \left\| \sqrt{\text{diag}(u_{ba})} (f_{ba} - c_{ba}) \right\|_2^2 + \gamma_2 \left\| \sqrt{\text{diag}(1 - u_{ba})} f_{ba} \right\|_2^2 \right) + \\
 & \quad \text{single segment term} \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \left( g_1 \|D_{1ba} u_{ba}\|_1 + g_2 \|D_{2ba} u_{ba}\|_1 \right) + \\
 & \quad \text{anisotropic TV term on } u \\
 & \sum_{b=1}^{n_b} \sum_{a=1}^{n_a} \gamma_3 G_{ba} \|f_{ba}\|_2 + \gamma_4 G_{ba} (1 - P_{ba}) \|f_{ba}\|_2 + g_3 \|D_P u\|_1 \\
 & \quad \text{group sparsity term} \quad \text{aperture continuity term}
 \end{aligned}$$

Alternating between fluence, direct aperture and beam trajectory optimization

*Lyu et al. AAPM 2018 TH-AB-KDBRAJ-1*  
*Lyu et al. PMB 2018*

Radiation Oncology Technology, Innovation and Clinical Translation **UCLA**

---

---

---

---

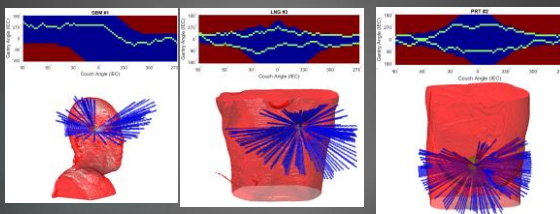
---

---

---

---

## Selected beam angles



Radiation Oncology Technology, Innovation and Clinical Translation **UCLA**

---

---

---

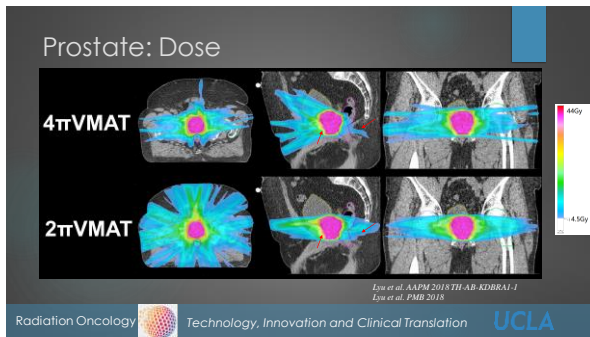
---

---

---

---

---




---

---

---

---

---

---

---

---




---

---

---

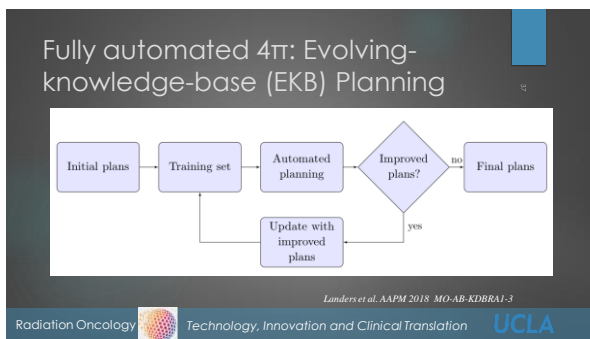
---

---

---

---

---




---

---

---

---

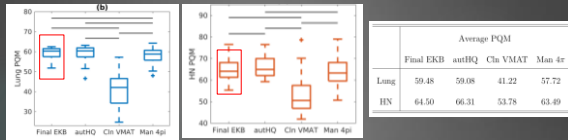
---

---

---

---

## PQM Results



Fully automated EKB 4 $\pi$  plans match the quality of manual 4 $\pi$  plans

Landers et al. AAPM 2018 MO-AB-RDBRAI-3

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

## Conclusion

- 4 $\pi$  radiotherapy optimally uses the enhanced beam geometry freedom to create highly compact dose distribution.
- The path to overcoming the computational challenge of 4 $\pi$  IMRT and VMAT treatment planning has been elucidated.
- The feasibility of delivering optimized 4 $\pi$  treatment has been shown in an early phase clinical trial.
- Extending 4 $\pi$  to extracranial sites may be calling for a new hardware architecture.

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA

<http://shenglab.dgsom.ucla.edu/>

40



2017



2018

Radiation Oncology



Technology, Innovation and Clinical Translation

UCLA