



**MICHIGAN
MEDICINE**

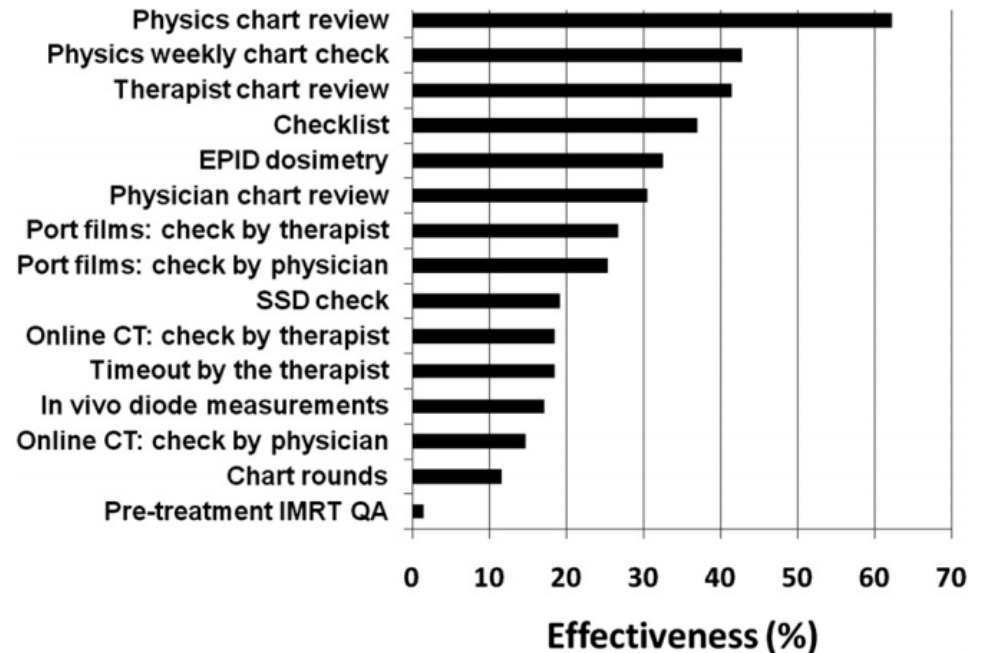
**Automation in Treatment Planning QA
Toward Self-driving Patient Care**

Kelly C Younge PhD, DABR

RADIATION ONCOLOGY

Physics chart review effectiveness

- The physics plan check has the *potential* to be one of the most effective checks



Ford et al., Int J Radiation Oncol Biol Phys. 84(3):e263-269 (2012).

Physics chart review effectiveness

TABLE II. Number of events from the departmental and SAFRON databases potentially detectable by the physics plan review.

	Potentially detectable			Total	Not detectable Total	All Total
	Detected prior to review	Detected at review	Not detected			
Departmental	55	47	78	180 (51%)	176 (49%)	356
SAFRON	0	0	66	66 (81%)	15 (19%)	81

- Processes that rely on human intervention are inherently less effective

Gopan et al., Med Phys. 43(9):5181 (2016).

Automated Plan Checking in Radiotherapy

- Automation and safety barriers are the most effective safety methods for reducing errors (SINA, 2012)
- Specific aspects of the physics plan evaluation are ideally suited for such automation
- Already investigated / implemented by many institutions
- Furhang EE, Dolan J, Sillanpaa JK, Harrison LB. **Automating the initial physics chart checking process.** J Appl Clin Med Phys. 2009;10(1):2855
- Breen SL, Zhang B. **Audit of an automated checklist for quality control of radiotherapy treatment plans.** Radiother Oncol. 2010;97(3):579–84
- Yang D, Moore KL. **Automated radiotherapy treatment plan integrity verification.** Med Phys. 2012;39(3):1542–51
- Olsen LA, Robinson CG, He GR, et al. **Automated radiation therapy treatment plan workflow using a commercial application programming interface.** Pract Radiat Oncol. 2013;4(6):358–67
- Halabi T, Lu H. **Automating checks of plan check automation.** J Appl Clin Med Phys. 2014;15(4):1–8
- Li HH, Ms YW, Yang D, Mutic S. **Software tool for physics chart checks.** Pract Radiat Oncol. 2014;4(6):e217–e225
- Dewhurst JM, Lowe M, Hardy MJ, Christopher J, Whitehurst P, Rowbottom CG. **AutoLock: a semiautomated system for radiotherapy treatment plan quality control.** J Appl Clin Med Phys. 2015;16(3):339–50
- Covington EL, Chen X, Younge KC, et al. **Improving treatment plan evaluation with automation.** J Appl Clin Med Phys. 2016;17(6):16-31

Background and history of Plan Checker Tool

- 2013, In-house → Commercial planning system
 - Track errors via in-house incident learning system
 - Gathered information on treatment unit delays
 - Hand-offs, interruptions and non-standard work can lead to problems
 - Prescription mismatches, missing imaging fields, incorrect field names

Dosimetry and Physics Check Elements

- | | | |
|--|---|--|
| <ul style="list-style-type: none"> • Is the site correct? Laterality? • Are the documents approved? • Any mistakes / omissions on the planning directive? • OARs correct? Approved? Any missing? Stray points? • Margins correct? • ITV needed? Correct and documented? • Correct dataset used? Named correctly? • Registration required? Is there more than one? Was it used appropriately? • Is the imaging good enough for what? • Orientation documented correctly? • Has the patient had previous treatment records been uploaded into documents? • Is a physics consult needed? How about a consult? • Did SBRT rounds get completed? • Anything on the directive not make sense? • Does the plan meet the physician-defined planning goals? • Could the plan be improved with a different geometry / modality? • Is the dose prescription correct? • Is the course named correctly? How about the plan? | <ul style="list-style-type: none"> • Plan normalization ok? • Calculation model correct? How about the calculation resolution? • Fields named correctly? In the right order? Shaped correctly? • EDW used appropriately? • How about FiF? Does the unmerged plan match the merged plan? Are the MU correct? Enough time to complete the field? Does the Mobius document correctly reflect the segments? • Appropriate energy used? • Is different imaging needed? • Is the origin in the right place? • Is there more than one iso? Is that documented clearly? • Do all the parameters in Prescribe Treatment match the plan? • Is the reference point in the right spot? Does it have the right dose limits? • Does the plan have bolus? Is the structure there? Is it attached to the fields? Is it attached to any fields it shouldn't be attached to? Is it documented on the setup sheet? • Does the plan have a tray? Is it the correct one? Does it have the correct code? | <ul style="list-style-type: none"> • Does the plan have a cutout? Is it the correct size? Was the right applicator used? Does it have the correct code? • Were the optimization objectives designed correctly? Optimized with the correct resolution? • All the field dose rates correct? • Tolerance tables added and correct? • Plan scheduling completed? Are the imaging templates attached? • Has the plan been reviewed by the physician? Planning approved? Is the plan linked to it? Does it have DRRs? Are they the right size? Do they match anatomy? In the right direction? What about the angle of the SSD? Correct labelling VM, IM, FiF, SB problems? Clearance? Did it have a structure to calc dose to or a norm point? Is it uploaded and approved? • Do any of the setup fields have MLCs? • Are any of the scheduled machines going to be a problem? • Are all the instructions to the therapists clear? • Any patient alerts needed? • Does the patient have a CIED? Are the questionnaires done? • Is the carepath correct? • Should the plan be treatment approved? Did I remember to do this? • Do I need to follow up on anything after QA? |
|--|---|--|

**Is this the best treatment plan?
What about the physics?**

Background and history of Plan Checker Tool

- Used data from treatment units and incident learning system to identify QA elements for automatic, semi-automatic, and manual checks
- Initiated collaboration with Memorial Sloan Kettering Cancer Center in 2014

E. L. Covington et al., Improving Plan Quality with Automation of Treatment Plan Checks. *Journal of Applied Clinical Medical Physics* 17(6): 16-31, 2016.

Plan Checker Tool Interface

EclipsePlanCheck

M EclipsePlanCheck Version 1.3.7.8 Patient Id \$Planchecker2 Name: \$PlanChecker, \$FrameUpgrade Course: 28 Demo Plan: 28.1v DEMO

Select Body Site

- Default
- SRS
- Sim on Set

Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	—	No diagnosis code attached.	This is a note.
<input type="checkbox"/> Verify physician approved the planning d	—		
<input type="checkbox"/> Check interpolation of structures	—		
<input type="checkbox"/> Verify that there are no stray contour poi	—		
<input checked="" type="checkbox"/> Check dataset names against standards	✓	StructureSet Image Id, '20141120LTARM' Created on20141120 checked. Automatic Checks passed	
<input type="checkbox"/> Check image registration (if applicable)	—		
<input checked="" type="checkbox"/> Report patient orientation from CT datase	✓	Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed	

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Select Body Site

Default
 SRS
 Sim on Set

1 Prior to planning
 2 Prior to MD review
 3 After MD created script
 4 Prior to Physics review
 5 Prior to Treatment

← **Current patient / plan**

Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	⚠	No diagnosis code attached.	This is a note.
<input type="checkbox"/> Verify physician approved the planning d	—		
<input type="checkbox"/> Check interpolation of structures	—		
<input type="checkbox"/> Verify that there are no stray contour poi	—		
<input checked="" type="checkbox"/> Check dataset names against standards	✓	StructureSet Image Id, '20141120LTARM' Created on20141120 checked. Automatic Checks passed	
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Default
 SRS
 Sim on Set

1 Prior to planning 2 Prior to MD review 3 After MD created script 4 Prior to Physics review 5 Prior to Treatment

Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	⚠	No diagnosis code attached.	This is a note.
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Choose
body
site

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Select Body Site

- Default
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- Sim on Set

- 1
Prior to planning
- 2
Prior to MD review
- 3
After MD created script
- 4
Prior to Physics review
- 5
Prior to Treatment

Checks organized by stages ←

Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	🚩	No diagnosis code attached.	This is a note.
<input type="checkbox"/> Verify physician approved the planning d	—		
<input type="checkbox"/> Check interpolation of structures	—		
<input type="checkbox"/> Verify that there are no stray contour poi	—		
<input checked="" type="checkbox"/> Check dataset names against standards	✅	StructureSet Image Id, '20141120LTARM' Created on20141120 checked. Automatic Checks passed	
<input type="checkbox"/> Check image registration (if applicable)	—		
<input checked="" type="checkbox"/> Report patient orientation from CT data	✅	Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed	

First stage

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Select Body Site

Default SRS Sim on Set

1 Prior to planning 2 Prior to MD review 3 After MD created script 4 Prior to Physics review 5 Prior to Treatment

Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	⚠	No diagnosis code attached.	This is a note.
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<input type="checkbox"/> Verify that there are no stray contour poi	—		
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<input type="checkbox"/> Check image registration (if applicable)	—		
<input checked="" type="checkbox"/> Report patient orientation from CT data	✓	Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed	

Functional subunits (checkers)



Plan Checker Tool Interface

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Select Body Site

Default
 SRS
 Sim on Set

1 Prior to planning
 2 Prior to MD review
 3 After MD created script
 4 Prior to Physics review
 5 Prior to Treatment

Notes for team ↓





Stage 1 : Prior to planning

Item	St.	Results	Notes
<input type="checkbox"/> Check laterality and treatment site	—		
<input checked="" type="checkbox"/> Verify course has ICD10 diagnosis code a	—	No diagnosis code attached.	This is a note.
<input type="checkbox"/> Verify physician approved the planning d	—		
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<input checked="" type="checkbox"/> Report patient orientation from CT data	✓	Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed	

Plan Checker Tool Interface

Graphical depiction of results / checker status



Report patient orientation from CT dataset		Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed
Report number of CT slices in planning dataset		Number of CT slices in planning dataset '20141120LTARM' is '144'.
Verify number of CT slices against move sheet		
Verify 3D vs IMRT Carepath		This is a VMAT plan but may be on a 3D carepath. Please confirm carepath is correct

Automation considerations

- ILS-driven data is a must
- Error messaging – is it clear enough for easy / fast interpretation?
- False flags and complacency – will the user read all of the output?
- Communication with team members

Error messaging

Item	Status	Results
Verify field names	Flagged	'CW_T90' does not follow standard naming convention. 'CW' 'CCW' follow standard naming convention. 'CW' 'CCW' 'CW_T90' are labeled with the correct direction. 'kV AP' 'kV RLAT' 'CBCT' follow standard naming convention.







Error messaging

Item	Status	Results
Verify field names	Flagged	'CW_T90' does not follow standard naming convention. 'CW' 'CCW' follow standard naming convention. 'CW' 'CCW' 'CW_T90' are labeled with the correct direction. 'kV AP' 'kV RLAT' 'CBCT' follow standard naming convention.

'CW_T90' should be 'CW_T45'

False flags

Report patient orientation from CT dataset		Image orientation : HeadFirstSupine Treatment orientation : HeadFirstSupine Automatic Checks passed
Report number of CT slices in planning dataset		Number of CT slices in planning dataset '20141120LTARM' is '144'.
Verify number of CT slices against move sheet		
Verify 3D vs IMRT Carepath		This is a VMAT plan but may be on a 3D carepath. Please confirm carepath is correct

 **Checker that consistently flagged for a subset of plans that have a correct Care Path**

Errors caught by Physics Plan Check, 1 year period of 3/17-3/18



Plan construction
issue (163)



Incorrect laterality /
tx site (1)



Problem with
imaging (14)



Problem with
image dataset (6)



Treatment plan
unacceptable (92)



Misc. plan quality
(56)











- PC Imaging will not clear (2)
- PC Incorrect / missing imaging fields (3)
- PC Incorrect / missing imaging templates (8)
- PC Incorrect imager coordinates (0)
- Person icon Incorrect / missing match anatomy (1)






















- Person icon Incorrect image registration (1)
- BP Incorrect dataset used for planning (1)
- Person icon Dataset insufficient (4)

- PC Treatment plan will not clear (1)
- PC Missing or incorrectly drawn structure (44)
- PC Incorrect structure margins (8)
- BP Incorrect fractionation scheme (0)
- BP Treatment plan violates clinical objectives (9)
- Person icon Dose distribution non-optimal (27)
- BP Person icon Incorrect / incomplete account of previous treatment (2)
- Person icon Inappropriate modality used (1)

- PC Problem with calculation (res / alg) (10)
- PC Problem with plan scheduling workspace (6)
- PC Problem with reference points (10)
- BP PC Problem with bolus (1)
- PC No dose point / volume for Mobius (16)
- PC Custom coding incorrect (1)
- PC Plan parameters incorrect / missing (6)
- Person icon Issue with immobilization (1)
- PC Origin not set correctly (5)

Legend

-  This issue could be reliably caught in Plan Checker
-  A subset of these issues could be reliably caught in Plan Checker
-  This issue could be reliably caught in BluePrint
-  A subset of these issues could be reliably caught in BluePrint
-  Automation to check for this may not be straightforward with our current tools
-  Writable scripting tools could help solve this issue
-  A robust solution to this issue has already been implemented
-  A solution that partially solves this issue has already been implemented

- | | |
|---|--|
|  Treatment plan will not clear (1)  |  Problem with calculation (res / alg) (10)  |
|  | |
|  Missing or incorrectly drawn structure (44) |  Problem with plan scheduling workspace (6) |
|  | |
|  Incorrect structure margins (8) |  Problem with reference points (10)  |
|  Incorrect fractionation scheme (0) |   Problem with bolus (1)  |
|  Treatment plan violates clinical objectives (9)  |  No dose point / volume for Mobius (16) |
|  Dose distribution non-optimal (27) |  Custom coding incorrect (1)  |

Dosimetry and Physics Check Elements

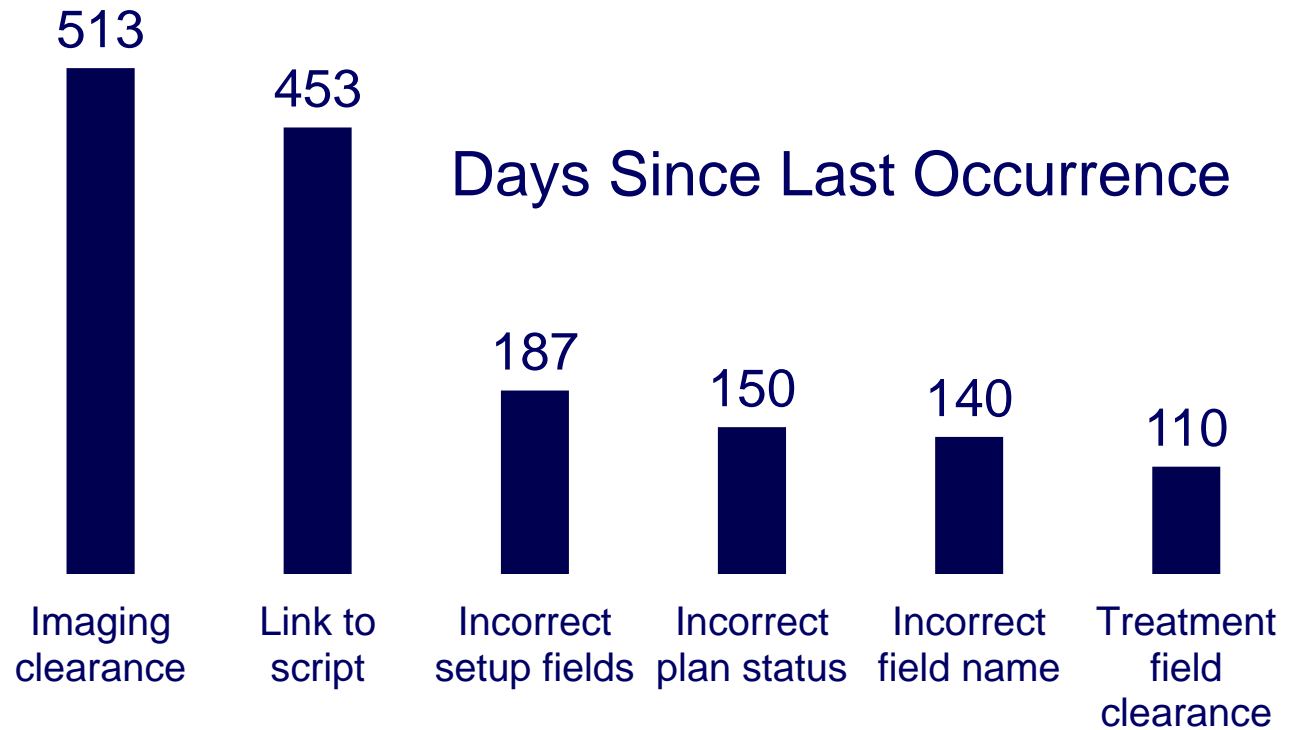
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- Margins correct?
- ITV needed? Correct and documented?
- Correct dataset used? Named correctly?
- Registration required? Is there more than one? Was it used appropriately?
- Is the imaging good enough for what it was used for?
- Orientation documented correctly?
- Has the patient had previous treatment? Have the records been uploaded into documents?
- Is a physics consult needed? How about an NTCP consult?
- Did SBRT rounds get completed?
- Anything on the directive not make sense?
- Does the plan meet the physician-defined planning goals?
- Could the plan be improved with a different geometry / modality?
- Is the dose prescription correct?
- Is the course named correctly? How about the plan?
- Plan normalization ok?
- Calculation model correct? How about the calculation resolution?
- Fields named correctly? In the right order? Shaped correctly?
- EDW used appropriately?
- How about FiF? Does the unmerged plan match the merged plan? Are the MU correct? Enough time to complete the field? Does the Mobius document correctly reflect the segments?
- Appropriate energy used?
- Is the modulation going to be ok? Do I trust the dose? Does QA have a good chance of passing? Is the field width ok? Should jaw tracking be on?
- How does the fluence look? Is it deliverable?
- Are all the correct documents there? IGRT? Setup sheet? Move sheet? Calypso? SBRT IGRT?
- Will the treatment clear? Will the imaging fields clear?
- Should it be on a different machine?
- Is different imaging needed?
- Is the origin in the right place?
- Is there more than one iso? Is that documented clearly?
- Do all the parameters in Prescribe Treatment match the plan?
- Is the reference point in the right spot? Does it have the right dose limits?
- Does the plan have bolus? Is the structure there? Is it attached to the fields? Is it attached to any fields it shouldn't be attached to? Is it documented on the setup sheet?
- Does the plan have a tray? Is it the correct one? Does it have the correct code?
- Does the plan have a cutout? Is it the correct size? Was the right applicator used? Does it have the correct code?
- Were the optimization objectives designed correctly? Optimized with the correct resolution?
- All the field dose rates correct?
- Tolerance tables added and correct?
- Plan scheduling completed? Are the imaging templates attached?
- Has the plan been reviewed by the physician? Planning approved by the dosimetrist?
- Is the prescription approved? Is the plan linked to it?
- Do all the necessary fields have DRRs? Are they the right DRRs? Do the DRRs have match anatomy?
- Are the moves correct? In the right direction? What about the SSD and the gantry angle of the SSD?
- Does the plan have the correct labelling VM, IM, FiF, SB
- Did Mobius report any problems? Clearance? Did it have a structure to calc dose to or a norm point? Is it uploaded and approved?
- Do any of the setup fields have MLCs?
- Are any of the scheduled machines going to be a problem?
- Are all the instructions to the therapists clear?
- Any patient alerts needed?
- Does the patient have a CIED? Are the questionnaires done?
- Is the carepath correct?
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- Do I need to follow up on anything after QA?

Dosimetry and Physics Check Elements

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- Are all the instructions to the therapists clear?
- Any patient alerts needed?
- Does the patient have a CIED? Are the questionnaires done?
- Is the carepath correct?
- Should the plan be treatment approved? Did I remember to do this?
- Do I need to follow up on anything after QA?

Clinical Results

- Treatment unit delays (due to upstream errors) reduced from ~20 / month to < 5
- Certain categories of errors greatly reduced



Conclusion

- Remove the human element by implementing automation and hard safety barriers
- Many aspects of treatment plan QA are ideally suited for automation
- Automation allows team members to focus their expertise
- Prioritization of automation should be driven by ILS and other data-tracking efforts