Automated Planning - Technical Considerations for Ensuing Safety and Quality

Automated Planning and Data-driven Plan Quality Control

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Disclosures

Method and System for Automated Planning of Radiation Therapy technology patented in PCT/CA2011/001130

Automated Quality Assurance (QA) and Planning technology patented in WO2014197994 A1

Receive royalties from RaySearch Laboratories for license of technology for Automated Breast Treatment Planning and Machine Learning-based Automated Treatment Planning

Have an equity interest in bridge7, licensee of technology for Machine Learning-based for Automated Quality Assurance in Radiation Oncology





Outline

Responsible Machine Learning (ML) Regulatory Process with AI/ML Risks of Automated Planning Open Knowledge Based Planning (KBP) Challenge

Are We Ready to Use Deep Neural Networks Clinically?

LETTER

doi:10.1038/nature21056

Dermatologist-level classification of skin cancer with deep neural networks

Andre Esteva^{1*}, Brett Kuprel^{1*}, Roberto A. Novoa^{2,3}, Justin Ko², Susan M. Swetter^{2,4}, Helen M. Blau⁵ & Sebastian Thrun⁶

Deep neural network tested for diagnosing skin lesions representing the most common and deadliest skin cancers with 21 board-certified dermatologists used as control

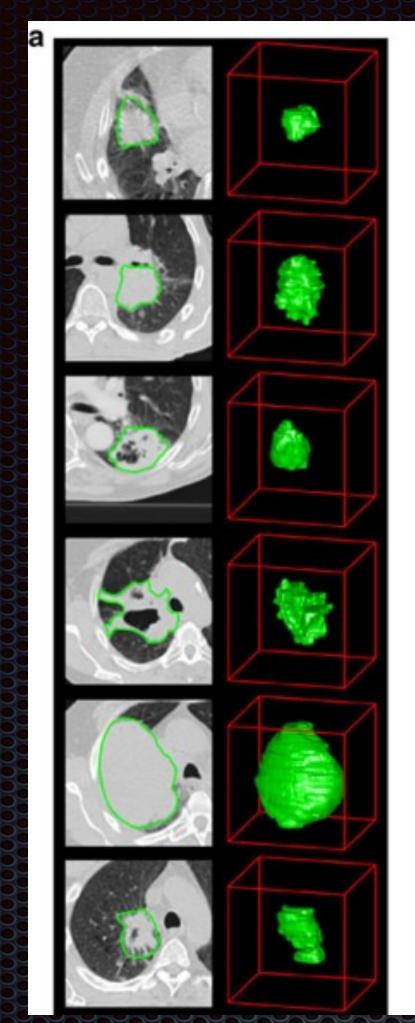
Bottom line:

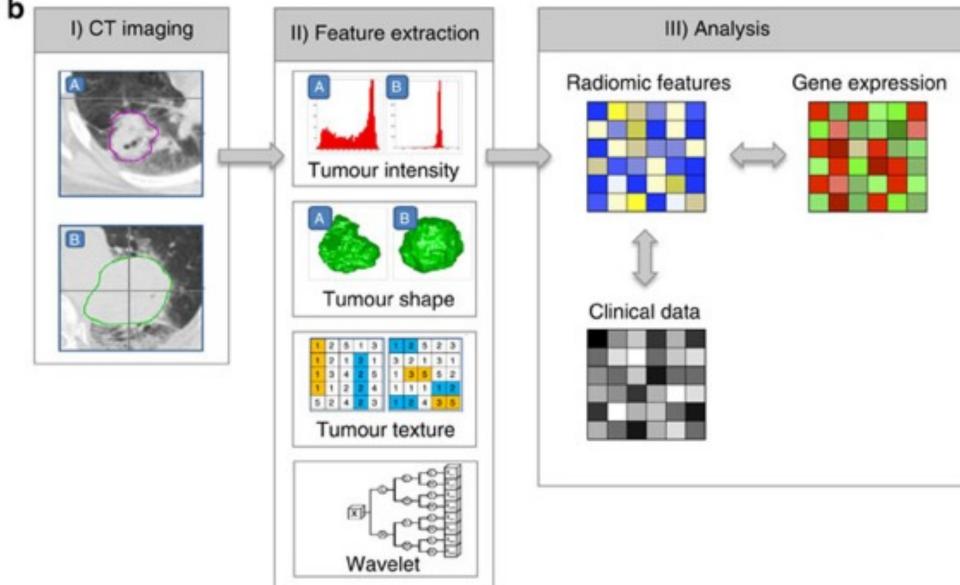
Algorithm matched the performance of dermatologists

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^{*}These authors contributed equally to this work.

Promise | Potential for Al to Infer New Knowledge





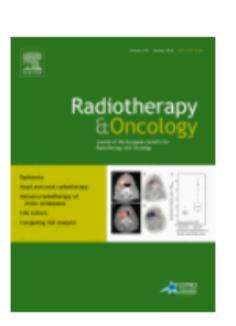
- Al recognizes complex data in images and can give quantitative metrics
- Radiomics -> High throughput extraction of quantitative image features (non-human detectable) for biomarker discovery

Are we Inferring New Knowledge?



Radiotherapy and Oncology

Volume 130, January 2019, Pages 2-9



Original article

Vulnerabilities of radiomic signature development: The need for safeguards

Mattea L. Welch a, f, i, Chris McIntosh e, f, i, Benjamin Haibe-Kains a, c, i, j, Michael F. Milosevic b, e, i, Leonard Wee g, Andre Dekker g, Shao Hui Huang b, i, Thomas G. Purdie ^{b, e, f, i}, Brian O'Sullivan ^{b, i}, Hugo J.W.L. Aerts ^h, David A. Jaffray ^{a, b, d, e, f, i} △ ☒

Responsible Use of Machine Learning



PERSPECTIVE

https://doi.org/10.1038/s41591-019-0548-6

Corrected: Author Correction

Do no harm: a roadmap for responsible machine learning for health care

Jenna Wiens ^{1,20*}, Suchi Saria^{2,3,4,20}, Mark Sendak ⁵, Marzyeh Ghassemi^{6,7,8}, Vincent X. Liu⁹, Finale Doshi-Velez¹⁰, Kenneth Jung¹¹, Katherine Heller^{12,13}, David Kale¹⁴, Mohammed Saeed¹⁵, Pilar N. Ossorio¹⁶, Sonoo Thadaney-Israni¹⁷ and Anna Goldenberg^{6,8,18,19,20}*

Interest in machine-learning applications within medicine has been growing, but few studies have progressed to deployment in patient care. We present a framework, context and ultimately guidelines for accelerating the translation of machine-learningbased interventions in health care. To be successful, translation will require a team of engaged stakeholders and a systematic process from beginning (problem formulation) to end (widespread deployment).

New Regulatory Approach for AI/ML Needed



Proposed Regulatory Framework for Modifications to Artificial Intelligence/Machine Learning (AI/ML)-Based Software as a Medical Device (SaMD)

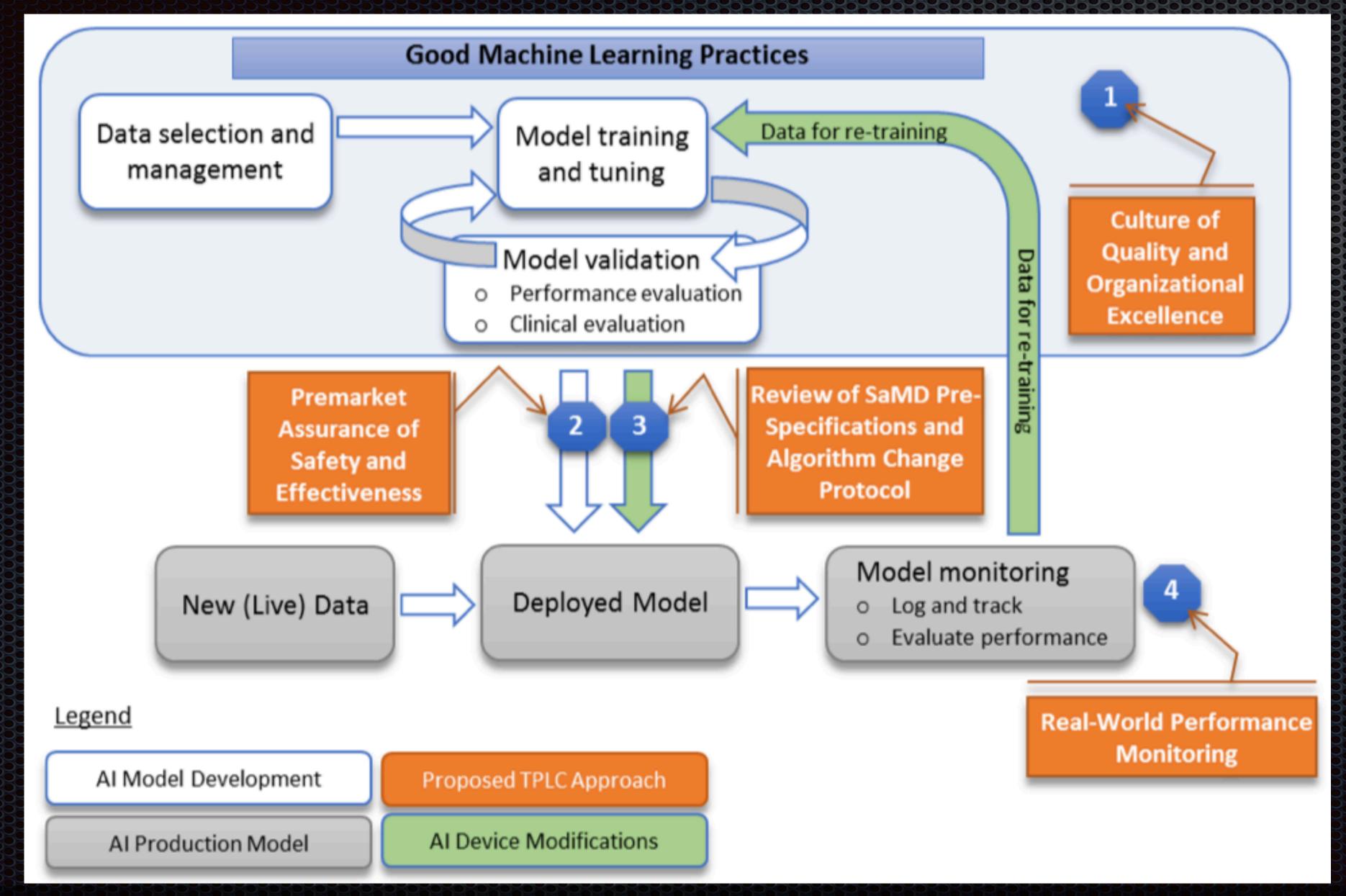
Discussion Paper and Request for Feedback



AI/ML-Based SaMD are highly iterative, autonomous, and adaptive

Need to facilitate rapid cycle of constant improvement while providing effective safeguards

New Regulatory Approach for AI/ML Needed



Where do Medical Physicists fit in?

Physicists are technical experts with clinical domain expertise We are uniquely positioned to shape the future of Al in Medical Physics

- Data: governance, collection, curation
- Problem definition
- Model development, testing, and tuning
- Workflow design, validation, and implementation
- Supervision, maintenance
- Development and execution of routine QA

What does a QA program for Al look like?

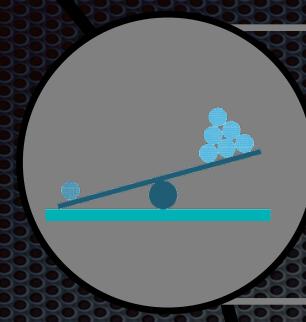
We are no longer testing for constancy

Metrics to Evaluate Machine Learning Models

- Classification Accuracy
- Logarithmic Loss
- Confusion Matrix
- Area Under the Curve
- F1 Score
- Mean Absolute Error
- Mean Squared Error

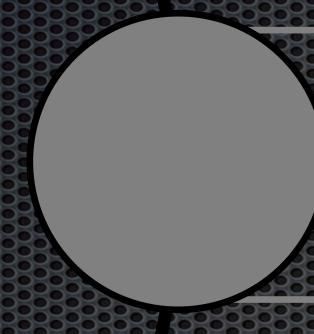
- Number of correct predictions \Rightarrow
- Penalize false classifications
- Complete performance of model \rightarrow
- \Rightarrow Probability of ranking +data higher than -data
- Precision of model based on precision and recall \rightarrow
- Prediction minus truth | No direction \rightarrow
- Prediction minus truth | Penalize larger errors \Rightarrow

Sources of Error in Al-based Error Detection



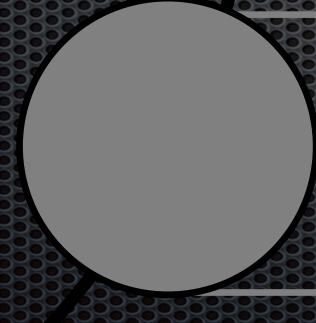
Class Imbalance

There are many more examples of non-errors than errors



Bias & Underfitting

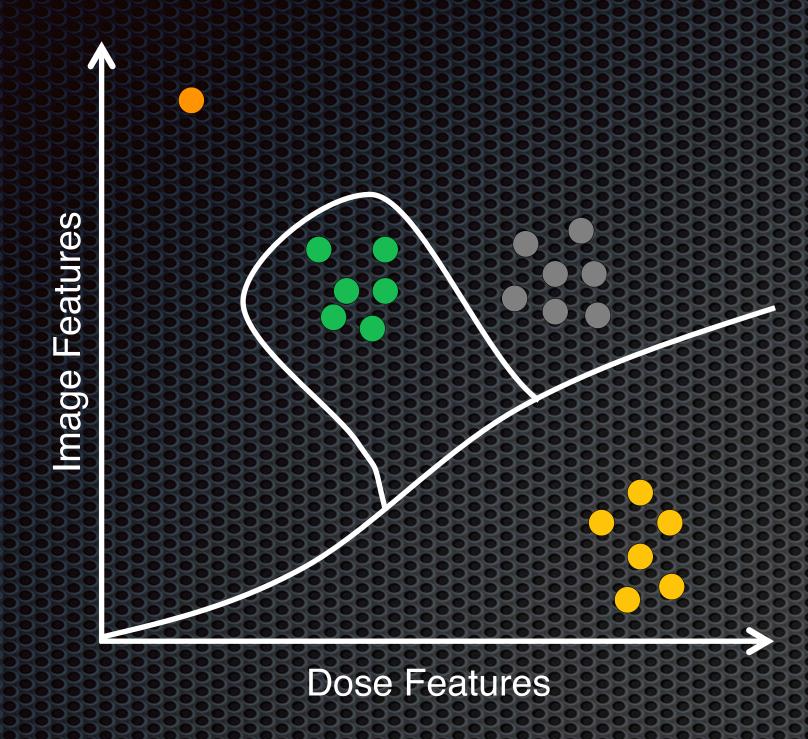
Lots of historical data... not collected for this purpose Inaccurate assumptions/simplifications of the model



Variance & Overfitting

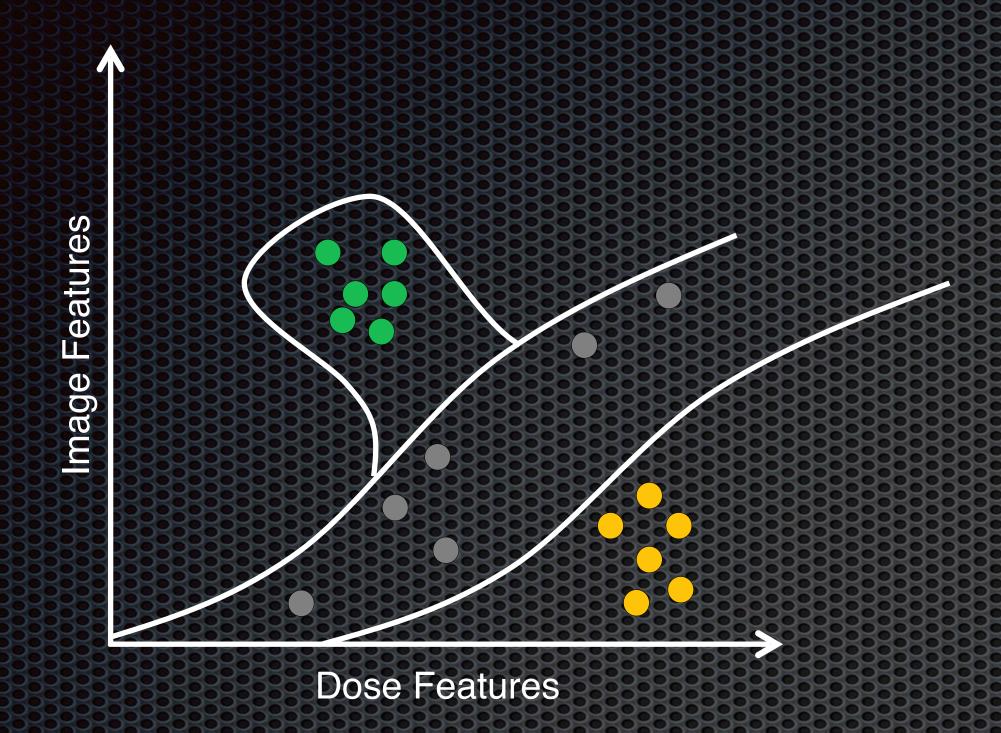
Detect the signal, not the noise! Inter-institutional applicability

Poor Model -> Include Sub-Optimal Plans in Training



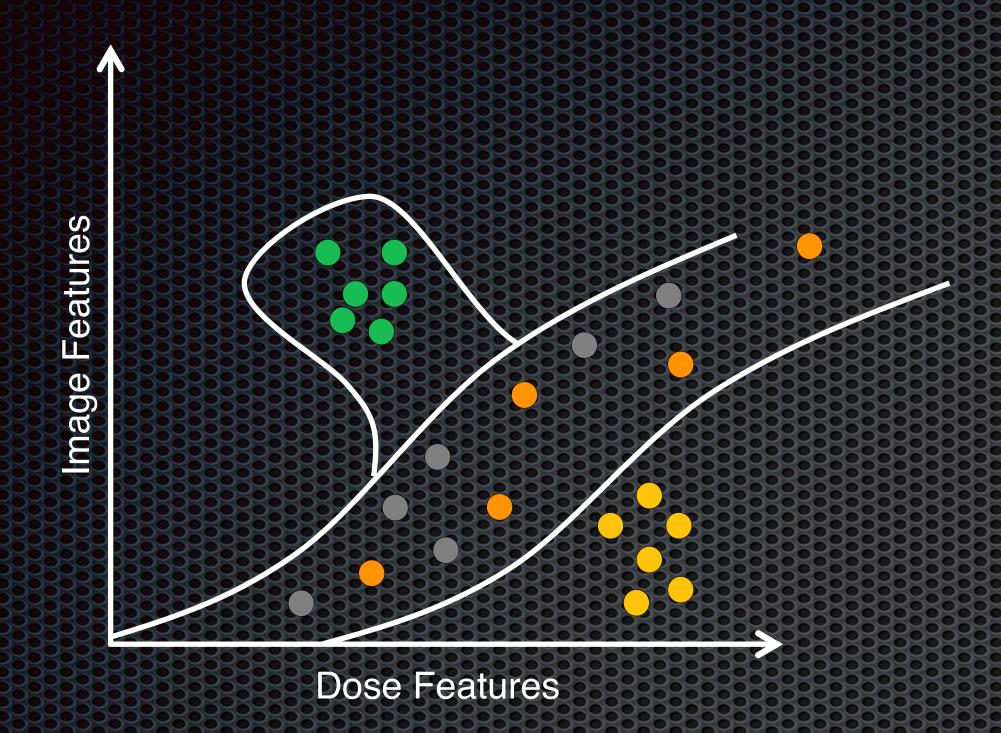
- Complex plans
- Simple plans
- New plans
- Poor quality plan

Model Error -> Over-fitting resulting in lack of generalization for new patients



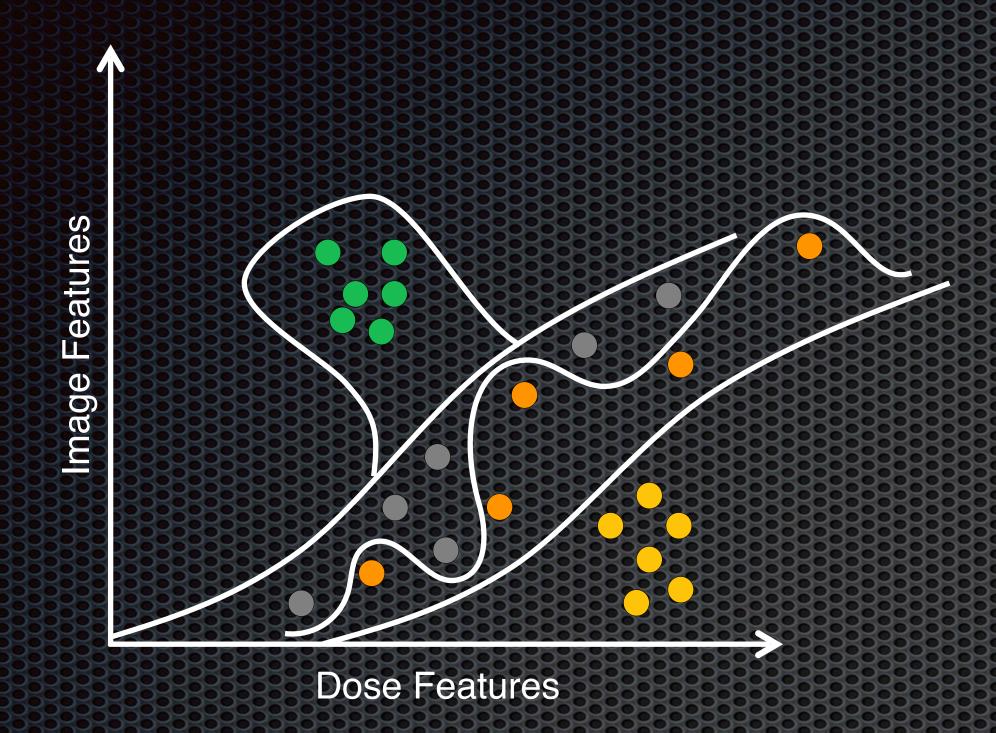
- Plans of type A with standardized planning
- Plans of type B with standardized planning
- Plans of type C with standardized planning

Model Error → Over-fitting resulting in lack of generalization for new patients



- Plans of type A with standardized planning
- Plans of type B with standardized planning
- Plans of type C with standardized planning
- Plans of type D with inconsistent planning

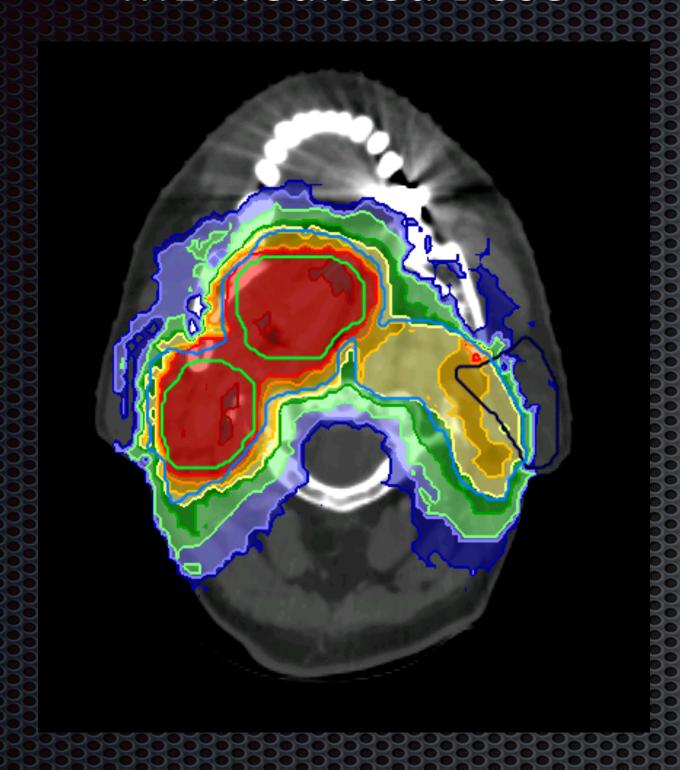
Model Error → Over-fitting resulting in lack of generalization for new patients



- Plans of type A with standardized planning
- Plans of type B with standardized planning
- Plans of type C with standardized planning
- Plans of type D with inconsistent planning

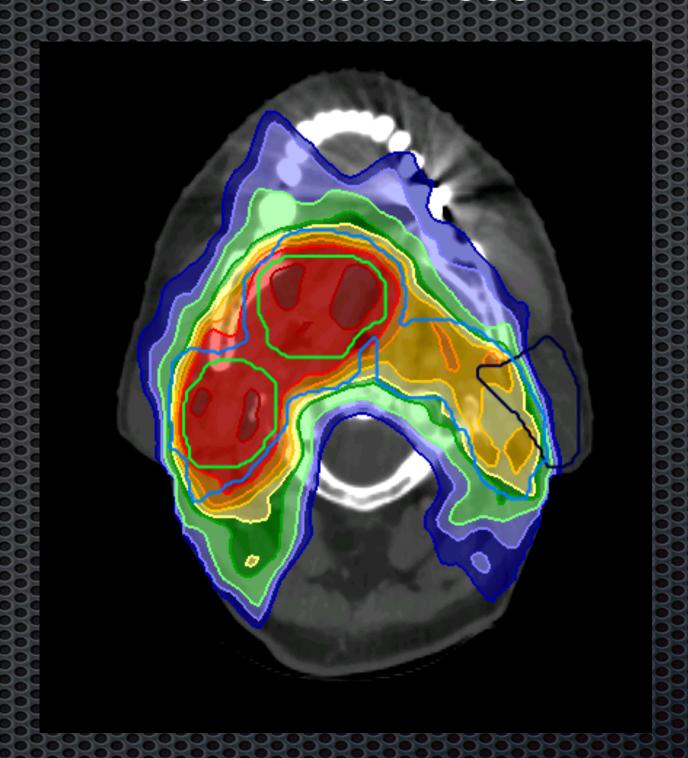
Unrealistic Dose Prediction -> Optimization Loop Cannot Achieve Predicted Dose

ML Predicted Dose

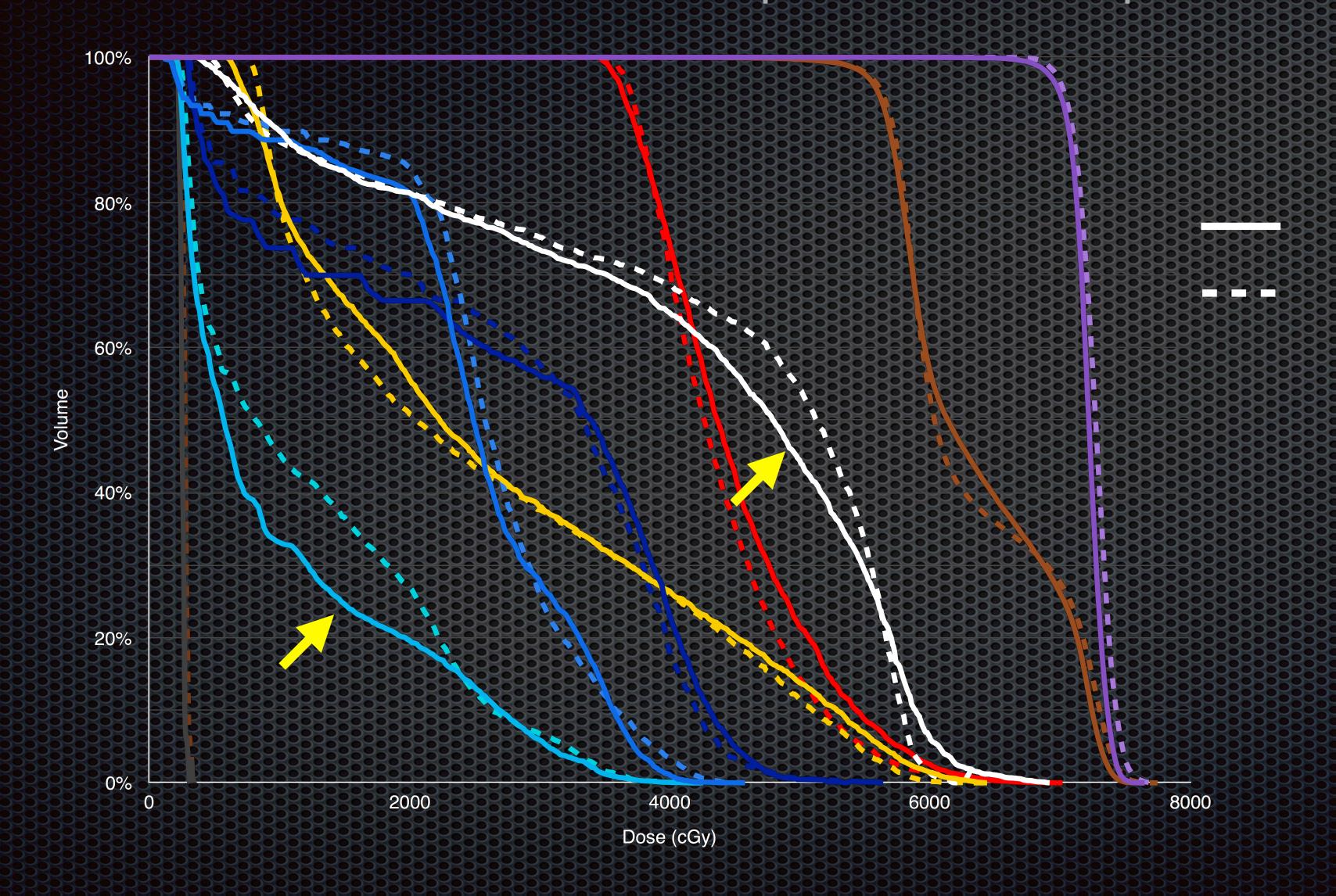


Dose Mimicking Optimization

Deliverable Dose



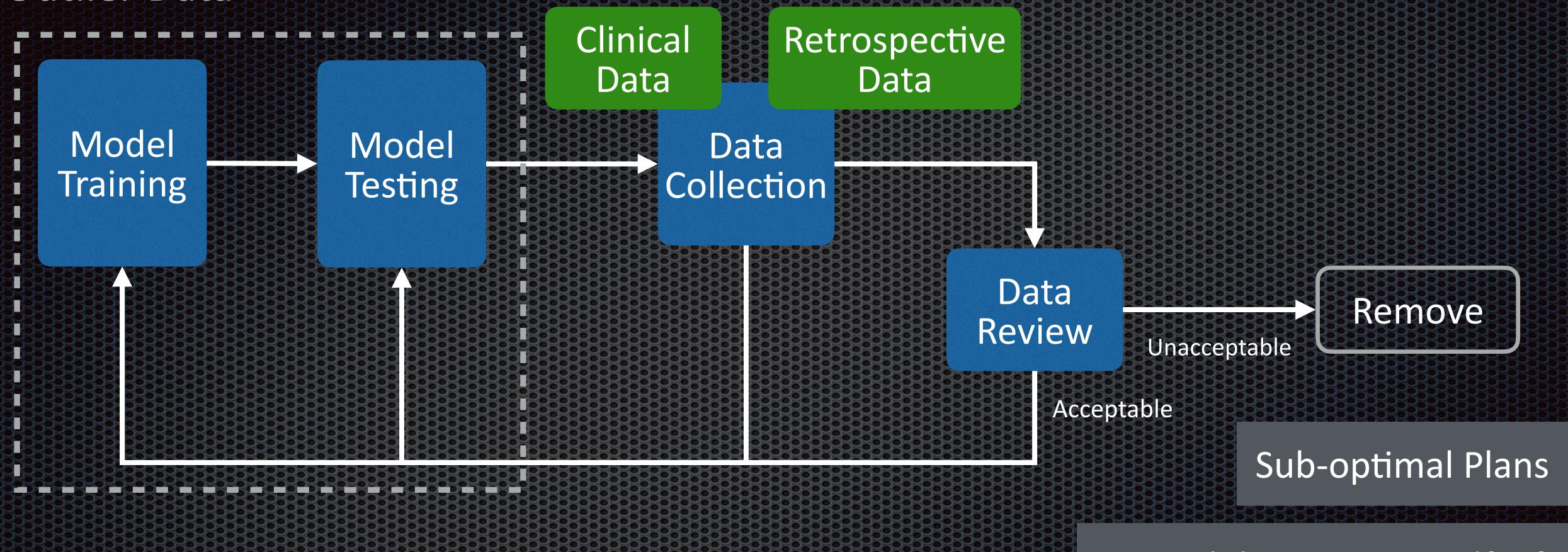
Unrealistic Dose Prediction → Optimization Loop Cannot Achieve Predicted Dose



Predicted DVH

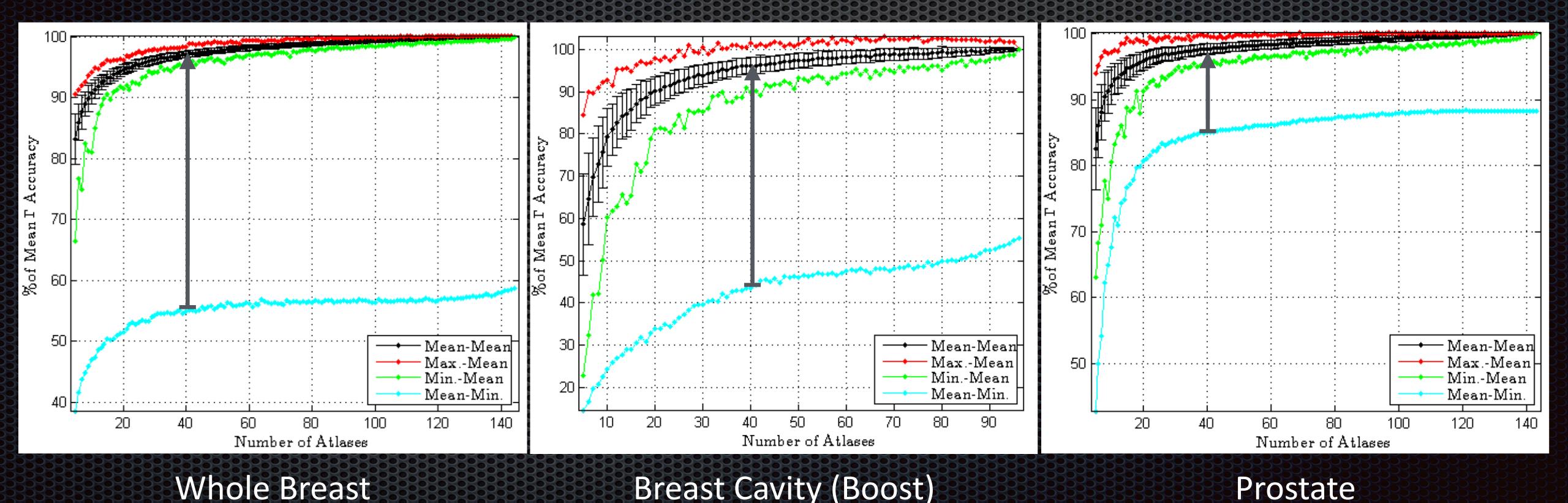
Final DVH

Outlier Data



How many samples do I need in my training dataset?

Accuracy | Number of Training Atlases Accuracy is the % of voxels with similar radiation dose to ground truth





The Open Knowledge-Based Planning Challenge (OpenKBP)

An AAPM Grand Challenge

The aim of the OpenKBP Challenge is to advance fair and consistent comparisons of dose prediction methods for knowledge-based planning (KBP). Participants of the challenge will use a large dataset to train, test, and compare their prediction methods, using a set of standardized metrics, with those of other participants.

AAPM Grand Challenge 2020

Open Knowledge-Based Planning (KBP)

Objective

Implement the most accurate KBP dose prediction method on a large open-access dataset

Evaluation

All models are trained on the same data, and evaluated with standard metrics



AAPM Grand Challenge 2020

Open Knowledge-Based Planning (KBP)

March 1

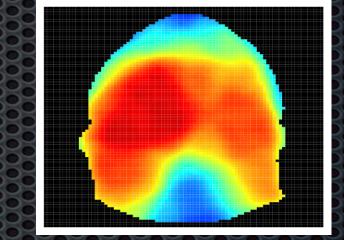
April 30

Prize (Top 2): Free registration to the 2020 AAPM/COMP Annual Meeting in Vancouver

The Competition



Dose Prediction Method



Planning CT + ROIs

Predicted Dose

Objective Predict Dose using CT images

3D Predict Full 3D Dose Distributions

Predict DVH Curves

DVH Error Metric

Average difference between ground truth and predictions were evaluated at two and three DVH metrics for OARs and targets, respectively:

OAR DVH metrics

- D_{0.1cc}: Highest dose received by any 0.1cc of OAR volume
- D_{mean}: Mean dose to OAR

Targets DVH metrics

- D_{1%}: Highest dose received by any 1% of target volume
- D_{95%}: Highest dose received by any 95% of target volume
- D_{99%}: Highest dose received by any 99% of target volume

Leaderboard Streams

	3D Leaderboard	DVH Leaderboard	
Ranking	Lowest average error		
Ground truth	Plan dose distribution	Plan DVH	
Error measure	Voxel-by-voxel mean absolute error	Mean absolute error at dose volume metrics	
3D stream			
DVH stream	(X)		

Leaderboard Phases

Validation phase

Each team's best validation submission was displayed on a public leaderboard

Testing Phase

Each team made one submission to the testing phase, which was used to determine winners Scores were blinded until competition closed

Summary of Participation

	Registration	Active in Validation	Active in Testing
Total participants	195	73	54
Total teams	129	44	28
Number of submissions		1750	28

New interest to research community

57% say primary research is Not "Medical Physics"

62% say NEVER done KBP research before

Thanks for your attention