Dual Energy Computed Tomography For Proton Dose Calculation

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Disclosure

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- 1. Understand the different implementations of dual energy CT in commercial scanners.
- 2. Understand the methods for processing DECT for estimating material composition properties: Zeff, electron density and proton SPR
- 3. Understand methods to validate DECT derived SPR and its clinical impact



What is Dual-Energy (Spectral) CT?

What: Acquiring 2 CT images with different kVp

How: Exploit differential response of materials to different X-ray spectra

- Compton effect \rightarrow low kV dependence, ~ electron density
- Photoelectric effect ~Z³/E³
 High Z dependence

Use:

- Calculate material properties: electron density, Z_{eff}
- Quantify Iodine (Z=53) and Calcium (Z=20) concentrations
- Reconstruct virtual monochromatic images at any kV
- More accurate proton <u>Stopping Power Ratio (SPR)</u> calculation for dose calculation

* Yang et al 2000, Phys Med Biol 55 1343

Dual Energy CT Acquisition Modes



kV_high typically 140kVp with or without filter eg Sn or up to 150 kVp kV_low typically 70 to 90 kVp



SECT Calibration for Proton Therapy



Traditional SECT calibration:

- Assume one to one correspondence between CT number (HU) and SPR- not true for human tissue
- Use tissue surrogate phantom with known SPR

Either

- (a) Tabulate HU vs SPR directly OR
- (b) Use Stoichiometric method Schneider PMB 14 111-24 1996, Ainsley JACMP 15 202-220 2014 (minimize impact of use of nonhuman tissue)

SECT has a tissue-dependent uncertainty- up to ~3-4% error Dual-energy CT (DECT) has been predicted to be superior to SECT (~1% accuracy)

Proton Stopping Power Ratio from DECT

Stopping Power Ratio (SPR) can be calculated using Bethe-Bloch eqn:

$$SPR = \rho_e \frac{\log\left[\frac{2m_e c^2 \beta^2}{l_m (1-\beta^2)}\right] - \beta^2}{\log\left[\frac{2m_e c^2 \beta^2}{l_w ater(1-\beta^2)}\right] - \beta^2}$$

$$lnI_m \equiv h(Z_{eff}) = \sum_{m=0}^{M} c_m Z_{eff}^m$$

 ρ_e : electron density

Bourque et al Phys. Med. Biol. 59 (2014) 2059

 I_m : excitation energy of the medium, calculated from Zeff: effective atomic number

- DECT can remove the degeneracy by defining new variable, eg DEI = (u_L-u_H)/(u_L+u_H)
- Mapping between DEI and Z_{eff} is bijective for human tissues
- + DECT can be used to calculate ρ_{e} and Zeff to derive SPR



Calculating ρ_e and Z_{eff} from DECT





2. Compute Dual energy Index (DEI) or Dual energy ratio (DER)

Scan tissue density phantom $u_{L/H} = (HU_{L/H} + 1000)/1000$

$$\Gamma \equiv \begin{cases} \frac{u_{\rm L} - u_{\rm H}}{u_{\rm L} + u_{\rm H}} & \text{for} \quad \Gamma = \text{DEI} \\ \frac{u_{\rm L}}{u_{\rm H}} & \text{for} \quad \Gamma = \text{DER}. \end{cases}$$

3. Fit known ρ_e and Z_{eff} values of tissue surrogates to power functions of Γ

$$Z_{\text{eff}} = \sum_{i=0}^{K} \overline{a_{\iota}} \Gamma^{i},$$

$$\rho_{\text{e,L/H}} = \frac{u_{\text{L/H}}}{\sum_{l=0}^{L} \overline{b}_{l,\text{L/H}} Z_{\text{eff}}^{l}}$$

$$\ln I_{\text{m}} = \sum_{m=0}^{M} c_{m} Z_{\text{eff}}^{m}$$

Phys. Med. Biol. 59 (2014) 2059 A E Bourque et al



Other Methods to Extract ρ_e and Z_{eff}

 The relative electron density can be expressed by the weighted differences of HU_H and HU_L (Saito Med Phys (39) 4 2012):

$$\rho_e = a \cdot \frac{(1 + \alpha) \text{HU}_{\text{H}} - \alpha \text{HU}_{\text{L}}}{1000} + b$$
 a, b, α are fit parameters

• The effective atomic number (eg Almeda Med Phys (44) 171 2017, Landry PMB (58) 6851 2013, several other parameterization methods available):

$$\mu_{\text{High kVp}}^{\text{Low kVp}} = \frac{A + BZ_{\text{eff}}^{n-1} + CZ_{\text{eff}}^{m-1}}{D + E Z_{\text{eff}}^{n-1} + F Z_{\text{eff}}^{m-1}}$$

 μ =is attenuation coeff from HU. A, B, C, D, E, F are fit parameters

Summary of DECT Methods

E Bar et al Med. Phys. 44 (6), June2017 pp 2332-2344 Tables 1 and 2

	μ parametrization	Z definition	Requires CT calibration
Bazalova et al.	$\mu = \rho_e \sum_i w_i (Z^4 F(E_i, Z) + G(E_i, Z))$	Mayneord ($m = 3.5$)	No
Landry et al. #1 and #2	$\mu = \rho_{\rm e}(A + BZ^m + CZ^n)$	Mayneord ($m = 3.3$)	Yes
Hünemohr et al. #1 and #2	$\mu = \rho_{\rm e}(\alpha \frac{Z^m}{F^l} + \beta)$	Mayneord ($m = 3.1$)	Yes
Bourque et al.	$\mu/\mu_{ m w}= ho_{ m e}\sum_{m=1}^{M}b_{m}Z^{m-1}$	Behavior of electronic cross sections for elements	Yes
Van Abbema et al.	$\mu = \int_0^\infty w(E)_{\rm e} \sigma^{\rm tot}(E, \widehat{Z}) {\rm d}E$	Behavior of $\frac{\mu_{\rm L}}{\mu_{\rm H}}$ for mixtures	No
Han et al.	$\mu = c_1 \mu_1 + c_2 \mu_2$	None	Yes
Lalonde and Bouchard	$\mu/\mu_{\rm w} = \overline{y}_0 f_0 + \sum_{k=1}^K y_k f_k$	None	Yes

TABLE I. Summary of the theoretical foundation of different DECT formalisms.

TABLE II. Summary of different formalisms to predict tissue parameters with DECT.

	EAN	<i>I</i> -value	ED
Bazalova et al.	solve $\frac{u_{\rm L}}{u_{\rm H}}$ numerically	Yang et al.	substitute \widehat{Z}
Landry et al. #1 and #2	solve $\frac{u_{\rm L}}{u_{\rm H}}$ for Z	Yang et al. Bragg additivity rule	$\widehat{\rho}_{e} = \frac{\Delta HU}{1000} + 1$
Hünemohr et al. #1 and #2	substitute $\hat{\rho}_{e}$	Yang et al. Bragg additivity rule	$\widehat{\rho}_{e} = \frac{1}{\beta} \frac{g_{L} \mu_{H} - g_{H} \mu_{L}}{g_{L} - g_{H}}$
Bourque et al.	$\widehat{Z}_{\mathrm{eff}} = \sum_{k=1}^{K} c_k \Gamma^{k-1}$	5^{th} -order fit with Z_{med}	$\widehat{\rho}_{\mathrm{e,L/H}} = \frac{\sum_{m=1}^{M} u_{\mathrm{L/H}}}{\sum_{m=1}^{M} b_{\mathrm{m,L/H}} Z_{\mathrm{eff}}^{m-1}}$
Van Abbema et al.	solve $\frac{\mu_{\rm L}}{\mu_{\rm H}}$ numerically	Yang et al.	substitute \widehat{Z}
Han et al.	None	$\widehat{I}_x = f_I(\frac{c_1}{c_1+c_2}) \exp(\frac{c_1\rho_{e1}\ln(I_1)+c_2\rho_{e2}\ln(I_2)}{c_1\rho_{e1}+c_2\rho_{e2}})$	$\hat{\rho}_{\mathrm{ex}} = c_1 \rho_{\mathrm{e1}} + c_2 \rho_{\mathrm{e2}}$
Lalonde and Bouchard	None	Bragg additivity rule	$\widehat{\rho}_{\mathrm{e}} = \overline{y}_0 + \sum_{k=0}^{K} y_k$



CT Scanner: ρ_e and Z_{eff}

- Commercial CT scanners have software that outputs ρ_e and Z_{eff} images
- User needs to independently verify accuracy



Location with contrast media- higher HU on low kV image



Using DECT SPR with TPS



- **1.** Import calculated SPR image to TPS and create unity look up table
- 2. Convert SPR image to HU using inverted SECT HU to SPR table.

DECT SPR → HU (inverted SECT HU-SPR table) → DECT SPR (SECT HU-SPR) Imported SPR CT will be converted back from HU to DECT SPR in TPS

3. Import ρ_e and Z_{eff} images into TPS which computes SPR (eg scripting)

Validating Accuracy of DECT SPR

- 1. Comparison with tissue surrogates (known composition)
- 2. Comparison with proton beam measurements (animal tissue)



Variance of SPR is below 1% for DECT for most plugs except lung

Validating Accuracy of DECT SPR

- 1. Comparison with tissue surrogates (known composition)
- 2. Comparison with proton beam measurements (animal tissue)

Irradiate sample and evaluate water equivalent thickness to deduce SPR

A. Multi-Layer Ion Chamber (MLIC) – residual range measurement

B. Film



Validation: Animal Tissue (MLIC)



RMSE: 0.9% to 1.5% for DECT vs 2.8% for SECT , Taasti 2018 PMB



Validation: Animal Tissue (Film)

1. Comparison with tissue surrogates (known composition)

2. Comparison with proton beam measurements

- Frozen Tissue Samples:
 - Ribs, Pork, Liver, Heart, Brain, Kidney
- <u>Delivery:</u>
 - Single energy layer (192MeV proton) broad beam
 - Relative distal falloff to water measured with GafChromic film





Range Analysis: Film Measurement



- Evaluate iso-intensity curves
- Absolute Bragg peak position on film not reliable (quenching)
 →Compare Relative range in Tissue to Water

Advantages:

- Insensitive to film position and alignment errors
- Air pockets and tissue heterogeneity taken into account
- End to End test for comparison with dose calculation



Range Analysis: SECT and DECT Prediction

Treatment Planning System (TPS) Range measurement:

- Dose calculated using SECT and DECT
- Relative range differences between water and animal tissue (ΔR) measured at 50% Isodose fall-off of Bragg peak.



Dose distribution in TPS



50% Isodose line of dose fall-off of Bragg Peak

Range Comparison (SECT vs DECT)



- SECT range deviation up to 3.0%
- DECT range deviation up to 1.2%

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Impact of CT Contrast Media

Mixed Image (70% 140kV + 30% 80kV)=SECT



DECT SPR Image



- Iodinated contrast SPR is approximately 1.0
- SECT shows incorrect SPR
- DECT predicts SPR correctly for iodinated contrast



Measurements of Contrast Agent SPR

Polyethelene wax slabs Water V contrast solution				
Vol. fraction of contrast agent [%]	SPR Error (%) SECT	DECT ρ_e / Z_{med} Sequential	Measured SPR	Lalonde et al Phys Med Biol. 2019 Jun 21;64(12):125024
0.5	2.7	1.3	1.001	
1	5.2	2.1	1.003	
2	9.1	1.0	1.006	
4	16.6	0.0	1.010	DECT predicted SPR
6	23.2	-0.2	1.014	

Large SPR error ~20% in SECT, < 2% for DECT

Dose Calculation with Contrast Agent



DECT SPR CT may be used directly for proton dose calculation (if spatial and temporal registration errors are small)

Lalonde et al Phys Med Biol. 2019 Jun 21;64(12):125024

What SPR Uncertainty Should We Use?

Table 9. Uncertainties (1σ) in SPR estimation caused by different uncertainty sources.

	SPR estimation uncertainties (1σ)			
Uncertainty source	Lung (%)	Soft (%)	Bone (%)	-
DECT imaging uncertainty	3.6	0.9	1.8	← Largest source
DECT modeling uncertainty	1.3	0.6	0.4	<u> </u>
DECT inherent uncertainty	0.1	0.3	0.2	
Uncertainty in the determination of <i>I</i>	0.2	0.2	0.6	
Uncertainty due to ignorance of SPR change with proton energy by most commercial treatment planning systems	0.2	0.2	0.4	
Total (RSS)	3.8	1.2	2.0	Uncertainty depends on tissue type

Table 10. Percentile (90th and 95th) of composite range uncertainties estimated for prostate, lung and head-and-neck tumor sites, respectively.

Tumor site	Range uncertainty				
	90th percentile		95th percentile		
	%	$\rm g~cm^{-2}$		$\rm g~cm^{-2}$	
Prostate	1.7	0.4–0.5	2.1	0.5-0.6	
Lung	1.8	0.1-0.3	2.2	0.2-0.4	
Head and neck	1.8	0.1–0.4	2.1	0.1-0.4	
Phys. Med. Biol.	. 62 (2017) 705	6–7074			

~2 % is feasible

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Proton Planning Workflow with DECT

Use of DECT SPR is still new, precautions and <u>safety checks</u> need to be implemented

- 1. Optimize with SECT image, forward calculate on DECT SPR for final dose distribution
- 2. Optimize with DECT SPR image, forward calculate on SECT for dose check

Workflow 1: Optimize with SECT



- SPR of lipiodol (contrast agent, not IV injected) over-estimation in SECT
- Over-ranging seen in RPO field with DECT dose
- Real liver dose higher than reflected in SECT plan

Workflow 2: Optimize with DECT



Forward calculation on SECT: dose check





- Small dose differences observed
- Review regions of SPR/dose deviation



Impact of Reduced Range Uncertainty Margins

- **1.** Head and neck, MFO 3 fields (2 posterior obliques + 1 anterior)
- 2. Optimized with DECT (2%, 3mm) compare with SECT (3.5%, 3mm)
- 3. 30 fxs, CTV 5400 and CTV 6000

DECT optimization





Reduced range uncertainty margin of 2% leads to slightly smaller volume of high dose



Errors from DECT calculated SPR

1. Spatial registration error (motion between sequential scans)



2. Temporal registration error (dynamic change in IV contrast conc., sequential



3. Image artifacts: streaking from metal, CT number clipping

Spatial and temporal registration errors may be reduced with dual source or single source spectral DECT



Clinical Use of DECT SPR in Proton Therapy

- 1. Feasible to use contrast scans for proton dose calculation (dual source DECT or spectral CT preferred)
- 2. Feasibility of reduced margins (2%) for some sites eg brain, head-neck, some abdominal cases
- Not likely to benefit for lung or abdominal sites with large motion or change in organ filling (anatomic uncertainty >> CT-SPR uncertainty)
- 4. Even if margins are not reduced, DECT dose more likely to reflect delivered dose → especially to OARs



