REGULATORY ISSUES AND PRACTICE VARIABILITY WITH Y-90 MICROSPHERE TREATMENTS

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Disclaimer

- No conflicts of interest
- This talk will discuss the two specific Y-90 microsphere products on the market, SIR-spheres and TheraSphere
- Not an endorsement of either product
Outline

- Regulatory updates
  - Regulatory framework
  - NRC Licensing Guidance update
  - NRC Regulatory Guide 8.39 update
- Y-90 State of Practice Survey Results
  - Academic vs. Community hospitals
  - Medical physicist involvement
  - Therapy, diagnostic, and nuclear differences
Virtual Library Presentations

- Cheenu Kappadath, Y90 Imaging and Dosimetry (2020 SCM)
  https://www.aapm.org/education/vl/vl.asp?id=14049

- Vanessa Gates, Treatment Efficacy and Dose Response (2017 AM)
  https://www.aapm.org/education/VL/vl.asp?id=12296

- Matt Vanderhoek, Emerging Trends and Future Directions (2017 AM)
  https://www.aapm.org/education/VL/vl.asp?id=12297

- Right after this!
  2:00 PM TH-CD-TRACK 2-3 Mathematical Models to Improve Prediction of Absorbed Dose from 90Y Microspheres
  E.Roncali*
Treatment Summary

- Pre-treatment mapping study
  - Tc-99m MAA, lung shunt calculation
  - Dose calculations
- Dose verification or assay
- Delivery device (box) setup
- Microcatheter placement
- Dose infusion
- Post-treatment imaging
Physics involvement

Pretreatment
- Mapping imaging
  - Planar, SPECT
- Lung shunt ROIs / calculation from mapping study
- Liver contouring for dosimetry
- Dosimetry calculations (BSA, partition, voxel-based, etc)
Physics involvement

Day of treatment
- Discharge instruction consultation
- Dose draw or verification
- Exposure rate measurements, pre- and post-treatment
- IR room prep
- Delivery device prep
- Post procedure staff and room surveys
- Dose/activity delivered calculations
Physics involvement

Post-treatment
- Post-treatment imaging
  - SPECT, PET
- RAM waste management
- Post-treatment voxel-based dosimetry

Planar, SPECT/CT, and PET/CT post treatment imaging. Wright et. Al. 2015.
NRC Licensing Guidance

Yttrium-90 Microsphere Brachytherapy Sources and Devices
TheraSphere® and SIR-Spheres® Licensing Guidance

November 8, 2019, Revision 10

- Still under 10 CFR 35.1000 – Other medical uses of byproduct material
- Rev 10 updates include
  - Training and experience criteria
  - Medical event reporting
  - Inventory requirements
  - Waste disposal
- Original 2017 draft proposed elimination of “alternate pathway” of 3 proctored cases for Interventional Radiologists
Criteria for Authorized User status

- AU for byproduct material for which a written directive is required
- AU for manual brachytherapy

Or the alternate pathway

- Experience in IR and DR, e.g. IR/DR boarded
- Microsphere manufacturer sign-off on training
- Attestation from existing AU
- Three cases done with proctor
- One year time limit for case experience (new)
NRC Licensing Guidance

Medical event reporting requirements

- Total dose (rad or Gy) or activity (mCi or GBq) differs from the prescribed dose by 20 percent or more
- Except when stasis or emergent patient conditions (arterial spasm, blood pressure drop) are documented
- *Kinking or clogging of the catheter is not considered stasis* (new in Rev 10)
NRC Licensing Guidance

RSO
- No discrete approval for different microsphere uses
- RSO should be familiar with all devices

Training
- Required for anyone preparing, measuring, performing dosimetry calibrations, or administering
- Depending on level of physics involvement, this could include NM and IR staff
NRC Licensing Guidance

- **Semi-annual inventory (10 CFR 35.67 and 35.2406) not required (new in Rev 10)**
- **Source accountability (35.406), receipt (20.1906), labeling (20.1904 and 35.69), storage (20.1801 and 35.92), and disposal are required**
- **Label with device name (i.e. SIR-sphere or TheraSphere)**
### Table 1. Activities and Dose Rates for Authorised Personnel

<table>
<thead>
<tr>
<th>RADIONUCLIDE</th>
<th>Activity (GBq)</th>
<th>Dose Rate (mCi)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ag-111</td>
<td>19</td>
<td>520</td>
</tr>
<tr>
<td>Au-198</td>
<td>3.5</td>
<td>93</td>
</tr>
<tr>
<td>Cr-51</td>
<td>4.8</td>
<td>130</td>
</tr>
<tr>
<td>Cu-64</td>
<td>8.4</td>
<td>230</td>
</tr>
<tr>
<td>Cu-67</td>
<td>14</td>
<td>390</td>
</tr>
<tr>
<td>Ga-67</td>
<td>8.7</td>
<td>240</td>
</tr>
<tr>
<td>I-123</td>
<td>6.0</td>
<td>160</td>
</tr>
<tr>
<td>I-125</td>
<td>0.25</td>
<td>7</td>
</tr>
<tr>
<td>I-125 implant</td>
<td>0.33</td>
<td>9</td>
</tr>
<tr>
<td>I-131</td>
<td>1.2</td>
<td>33</td>
</tr>
<tr>
<td>In-111</td>
<td>2.4</td>
<td>64</td>
</tr>
<tr>
<td>Ir-192 implant</td>
<td>0.074</td>
<td>2</td>
</tr>
<tr>
<td>P-32</td>
<td>(c)</td>
<td>(c)</td>
</tr>
<tr>
<td>Pd-103 implant</td>
<td>1.5</td>
<td>40</td>
</tr>
<tr>
<td>Re-186</td>
<td>28</td>
<td>770</td>
</tr>
<tr>
<td>Re-188</td>
<td>29</td>
<td>790</td>
</tr>
<tr>
<td>Sc-47</td>
<td>11</td>
<td>310</td>
</tr>
<tr>
<td>Sc-75</td>
<td>0.089</td>
<td>2</td>
</tr>
<tr>
<td>Sm-153</td>
<td>26</td>
<td>700</td>
</tr>
<tr>
<td>Sn-117m</td>
<td>1.1</td>
<td>29</td>
</tr>
<tr>
<td>Sr-89</td>
<td>(c)</td>
<td>(c)</td>
</tr>
<tr>
<td>Te-99m</td>
<td>28</td>
<td>760</td>
</tr>
<tr>
<td>Tl-201</td>
<td>16</td>
<td>430</td>
</tr>
<tr>
<td>Y-90</td>
<td>(c)</td>
<td>(c)</td>
</tr>
<tr>
<td>Yb-169</td>
<td>0.37</td>
<td>10</td>
</tr>
</tbody>
</table>

**Note:** Activity and dose rate limits are not applicable in this case because of the minimal exposures to members of the public resulting from activities normally administered for diagnostic or therapeutic purposes.
State of Practice Survey Results

- Survey posted to MEDPHYSUSA, DXIMGMEDPHYS, and AMRSO list servers in May 2020 – 136 responses received
- Hypotheses: MP involvement and level of supervision varies with product, facility size, and jurisdiction
- Others welcome to examine data – posted online: https://cutt.ly/5yBbXRX
Microsphere Type

- About half of all sites use both products
- 34% use only Sirsphere
65% use Therasphere (avg 44 / facility, median 25)
76% use Sirsphere (avg 27 / facility, median 15)
Academic centers doing at least 40 treatments a year represent the bulk of Therasphere usage.

Distribution for Sirspheres more varied, although none with the same volume as Therasphere.
Microsphere Type (Community)

- Fewer Community hospital Therasphere sites relative to Sirsphere, although still more than academic in total number.
- Large number of Sirsphere sites doing small number of cases.
66% of respondents said an Authorized Medical Physicist (AMP) is involved in the case.

Requirements for AMP originate from some states, not found in NRC guidance.

Survey limitation: did not ask about DABSNM, HP/CHP, NM, or other radiation safety professionals supporting the procedure.

TMP = Therapeutic Medical Physics
DMP = Diagnostic Medical Physics
MNP = Medical Nuclear Physics
AMP Supervision of procedure

Which portions of the treatment does the AMP personally (in room) supervise?

- Draw draw / verification assay 79%
- Delivery device (box) setup 51%
- IR procedure before dose administration 27%
- IR procedure during dose administration 68%
- Post procedure IR staff and room surveys 80%

Percentages are of 66% that said AMP was involved
Which portions of the treatment does the AMP personally (in room) supervision

<table>
<thead>
<tr>
<th>Portion</th>
<th>TMP</th>
<th>DMP</th>
<th>MNP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Draw draw / verification assay</td>
<td>60%</td>
<td>74%</td>
<td>40%</td>
</tr>
<tr>
<td>Delivery device (box) setup</td>
<td>45%</td>
<td>51%</td>
<td>15%</td>
</tr>
<tr>
<td>IR procedure before dose administration</td>
<td>26%</td>
<td>28%</td>
<td>15%</td>
</tr>
<tr>
<td>IR procedure during dose administration</td>
<td>60%</td>
<td>67%</td>
<td>25%</td>
</tr>
<tr>
<td>Post procedure IR staff and room surveys</td>
<td>64%</td>
<td>79%</td>
<td>30%</td>
</tr>
</tbody>
</table>

Percentages are of 47 respondents who listed TMP as AMP, 39 for DMP, and 20 for MNP
AMP Supervision by Product

Which portions of the treatment does the AMP personally (in room) supervision

<table>
<thead>
<tr>
<th>Activity</th>
<th>Both</th>
<th>Sirs</th>
<th>Thera</th>
</tr>
</thead>
<tbody>
<tr>
<td>Draw draw / verification assay</td>
<td>39%</td>
<td>58%</td>
<td>56%</td>
</tr>
<tr>
<td>Delivery device (box) setup</td>
<td>24%</td>
<td>36%</td>
<td>44%</td>
</tr>
<tr>
<td>IR procedure before dose administration</td>
<td>18%</td>
<td>13%</td>
<td>20%</td>
</tr>
<tr>
<td>IR procedure during dose administration</td>
<td>42%</td>
<td>36%</td>
<td>52%</td>
</tr>
<tr>
<td>Post procedure IR staff and room surveys</td>
<td>44%</td>
<td>56%</td>
<td>52%</td>
</tr>
</tbody>
</table>

Percentages are of 66 respondents who use both, 45 who use Sirspheres, and 25 who use Theraspheres.
Who serves as AU?

- Clearly preserving the IR AU pathway is critical
- Five respondents noted NM physicians as AU
- Two said NM AU push syringe after IR places microcatheter
Other Survey Comments

- “The AU focus is delivering the Y-90 to the correct place; I support to make sure that happens.”
- “We need to change our practice to have more Radiation Oncology input into all stages of patient care and treatment. I am trying to do this more as the AMP.”
- “TMP set up program, but handed everything off to Nuc Med and IR.”
- “AMP from Rad On performs pre-infusion and post-infusion dose calc, but does not attend infusion. AMP from Diag or Health Physicist (non-boarded) attends infusion - delivers dose, and performs all surveys.”
- “Significant vendor support. Vendor tech rep in attendance at 90% of cases, assists physicians during procedure. Also assists at dose draw.”
- “Physicist needs to be involved in these "new" therapies such as Y90 and Lutathera, and soon-to-be PSMA. In part because these are not done often enough for others to keep up their skills at doing it.”
Conclusions and Recommendations

- Practice volume and physics support differ substantially
- Physics involvement – independent of AMP requirements – should be tied to
  - Volume of cases: <10/yr more oversight
  - Availability of other HP/RSO expertise
  - Experience of AU
- We bring continuity and process-oriented ideas to the team
AAPM Updates

- New WG being formed by William (Andy) Dezarn
- Most likely to lead to MPPG in near term
- TG report later


Thanks!

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