



# The nuts and bolts of getting your research funded by NIH

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#### • Start planning early

- Apply for the right opportunities and contact appropriate program staff early
- Present ideas clearly
- What to do after review



#### Lifecycle of a Grant application & Whom should I talk to?



- Advises on funding opportunities and requirements for applications
- Observes review meetings and helps PI interpret summary statements
- Approves funding and monitors scientific progress
- Anticipates future scientific directions, assesses research gaps and opportunities

- Manages, coordinates and conducts initial peer review
- Ensures fairness and administrative compliance of applications
- Prepares summary statements

https://grants.nih.gov/grants/how-to-apply-application-guide.html grants.nih.gov/grants/grants\_process.htm



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## Find your application's funding home

- Search RePORTER (<u>reporter.nih.gov</u>) by keyword to find the projects most similar to yours
  - Identify the Program Officials (POs) who are associated with these projects
- Find a Funding Opportunity Announcement (FOA) that Fits Your Research (search by keyword)
  - <u>https://grants.nih.gov/funding/index.htm</u>
- Call the target PO, discuss
  - Your idea (provide specific aims page)
  - Fit (is idea fit for institute or center (IC))
  - FOA
  - Funding mechanisms the IC supports



#### Early career funding mechanisms



#### https://researchtraining.nih.gov/career-path



- Facilitate transition of outstanding postdoctoral researchers with a PhD or MD degree from mentored, postdoctoral research positions to independent, tenuretrack or equivalent faculty positions
- Eligibility: Any qualified individual, < 4 years of post-doc. US institutions, small and large businesses, non-governmental agencies etc</p>
  - Having held an independent NIH award (R03, R21, R01), or a training award (K01, K07, K08, K23, K25) disqualifies a candidate. Must be on a non-tenure track at time of application.
- Notable participating institutions: NCI, NHLBI, NIBIB, NINDS. Almost all institutes participate.
- The applicant needs to identify a mentor (who will supervise the training and research experience) for the K99 phase-- an active investigator in the area of the proposed research
- Funding covers salary (min 75% dedicated to research). Up to 2 years of K99, up to 3 years of R00
- Success rate of 10-45% (depending on IC)\*\*

\*https://grants.nih.gov/grants/guide/pa-files/PA-20-188.html
\*https://grants.nih.gov/grants/guide/pa-files/PA-20-187.html
\*https://grants.nih.gov/grants/guide/pa-files/PA-20-189.html
\*\*https://report.nih.gov/reports/catalog#/



#### Mid-late career funding mechanisms

	Туре	Supports	Notes	Institution
	R21	Exploratory and developmental research grant	<ul> <li>Limited or no preliminary data</li> <li>Each institute may have its own PA</li> <li>Up to \$275k (direct costs) total over 2 years</li> </ul>	US and/or Foreign
	R21 trailblazer	Exploratory and developmental research grant	<ul> <li>NIBIB and NIA alone</li> <li>Limited to early-stage investigators and new investigator applicants</li> <li>Max of ½ page/ 1 figure with preliminary data</li> <li>Up to \$400k (direct costs) total over 3 years</li> </ul>	US
	R01	Established research grant	<ul> <li>Significant preliminary data expected</li> <li>Multiple FOA's available</li> <li>Up to \$500k (direct costs)/year times 4/5 years</li> </ul>	US and/or Foreign
	U01	Established research– cooperative agreement	<ul> <li>Significant preliminary data expected</li> <li>Multiple FOA's available</li> <li>Up to \$500k (direct costs)/year times 4/5 years</li> </ul>	US and/or Foreign
	P01	Program project applications	<ul> <li>Multi-disciplinary, multi-component projects (at least 3 component; administrative core</li> <li>Multiple FOA's available</li> <li>Up to \$2000k (direct costs)/year times 5 years</li> </ul>	US



## Katz R01: a good option for early career investigators

- Grant mechanism named in honor of the late National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) Director, Stephen I. Katz, M.D., Ph.D
- Standard R01 mechanism (up to \$500k/yr in direct costs for up to 5 years)
  - Intended for early-stage investigators
  - Research needs to represent a change in direction for the PI (or for ALL MPI's, if MPI's)
  - > No preliminary data required or accepted!
- Most (but not all) institutes participate in at least one of the Katz FOA's. NCI, NIBIB, NHLBI, e.g. do.



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## Write a Winning Application

- Read instructions on the application form
- Define research needs and develop testable hypothesis with quantifiable outcomes
  - Spell everything out clearly. Reviewers do not read your mind. Do not assume they read between the lines or know what you intend!
- Design research strategy, build research team
- Discuss potential problem areas and possible solutions
- Justify your numbers
- Get letters of support
- Draft and seek feedback, polish



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## **After review**

- Read and re-read the summary statement
- Contact your program officer and be prepared to discuss:
  - Reviewer comments from summary statement
  - Scores and percentiles
  - Funding prospects
  - Resubmission and other options



## Volunteer to be a reviewer!

- Better understand the review process
- Improve your own grant writing skills by getting an insider's view of how grant applications are evaluated
- Early Career Reviewer program

https://public.csr.nih.gov/ForReviewers/BecomeAReviewer/ECR

- At least 1 year of experience as a fulltime faculty member/researcher in a similar role (Assistant Professor or equivalent) role.
- At least 1 senior-authored research publication in a peer-reviewed journal in the last 2 years plus at least 1 additional senior-authored research publication since receiving a doctorate.
- Have submitted a grant proposal, in the PI/PD role, to the NIH and received the associated summary statement.
- Have not reviewed before and do not hold an R01 (or R01 equivalent) grant



## THANK YOU

Later questions: feel free to contact me @ ileana.hancu@nih.gov