

# Clinical Implications Of Dose Summation And Adaptation

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August 2012

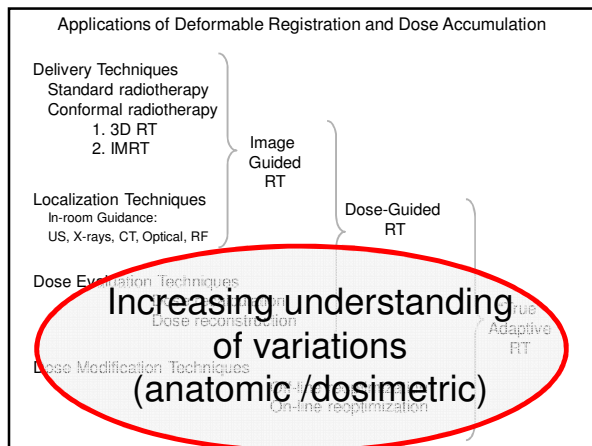
## Objectives

1. Understand the need for anatomy-based adaptation and methods to safely implement this in the clinic
2. Recognize the need for physiological-based adaptation and methods to safely implement this into the clinic
3. Appreciate the radiobiological limitations and concerns associated with dose summation, and adaptation
4. Describe the clinical implications of dose summation and adaptation on individual patient treatments, clinical trials, and outcomes assessment.

## Important Disclosures

Research grants / Honoraria / Advisory Board:

Accuray  
Bayer Healthcare  
Elekta  
Varian Medical  
Viewray Inc.




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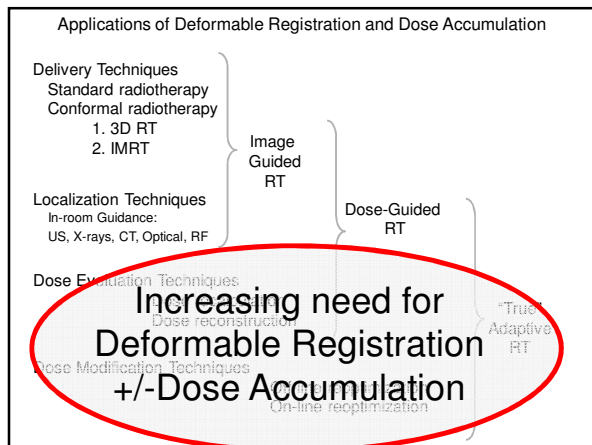
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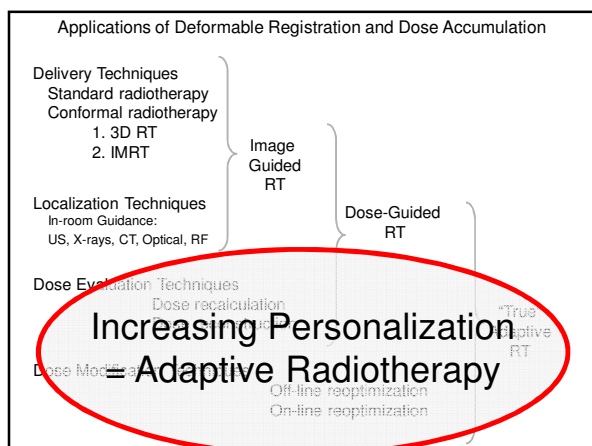
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## DOCUMENTATION OF “TRUE” DELIVERED DOSES

### IMPLICATIONS FOR OUTCOMES ASSESSMENTS

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## A TYPICAL RADIATION THERAPY COURSE

### PRE-TREATMENT EVALUATION



**“We’ve found a mass. The good news is  
we have weapons of mass destruction.”**

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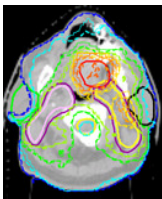
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## DESIGN TREATMENT DELIVERY PLAN

### TREATMENT PLAN



- Planned Doses
- Single snapshot
- Static Dose/Volume Information

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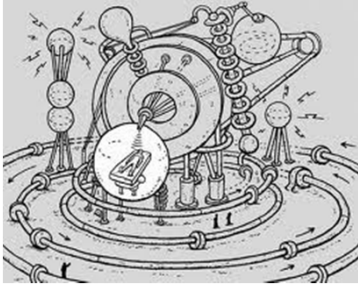
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## RADIATION THERAPY DELIVERY- TREATMENT COURSE




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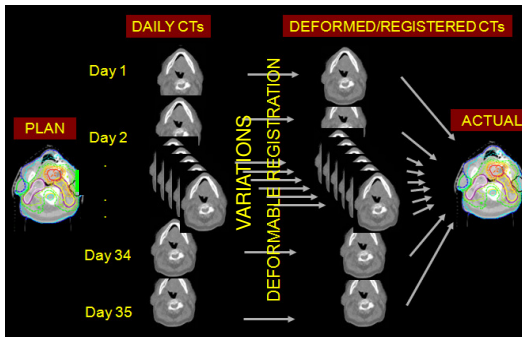
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## TREATMENT COURSE: MULTIPLE REPEATS OF PLANNED DELIVERY




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## POST-TREATMENT EVALUATION



OUTCOMES EVALUATION  
Cure / Toxicity

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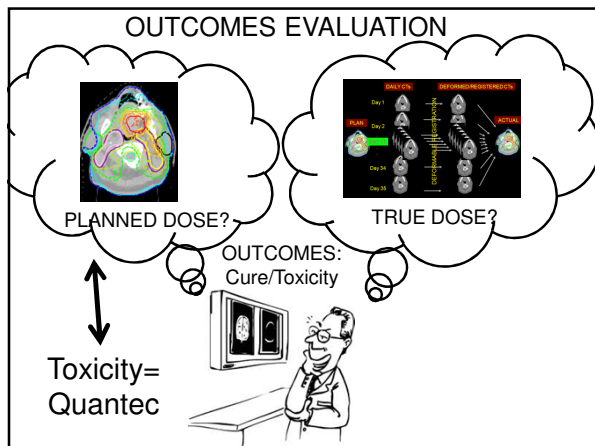
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**CLINICAL IMPLICATIONS:  
TOXICITY EVALUATIONS  
QUANTEC**  
(Quantitative Analysis of Normal Tissue Effects in the Clinic)

IJROBP, Vol. 76, No. 3, Supplement

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Int. J. Radiation Oncology Biol. Phys., Vol. 76, No. 3, Supplement, pp. S135-S139, 2010  
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0360-3016/10/\$ - see front matter

doi:10.1016/j.ijrobp.2009.06.093

**QUANTEC: VISION PAPER**

**ACCURATE ACCUMULATION OF DOSE FOR IMPROVED UNDERSTANDING OF  
RADIATION EFFECTS IN NORMAL TISSUE**

DAVID A. JAFFRAY, PH.D.,\* PATRICIA E. LINDSAY, PH.D.,\* KRISTY K. BROCK, PH.D.,\*  
JOSEPH O. DEASY, PH.D.,<sup>†</sup> AND W. A. TOMÉ, PH.D.<sup>‡</sup>

From the \*Radiation Medicine Program, Princess Margaret Hospital, Department of Radiation Oncology, University of Toronto,  
Toronto, Ontario, Canada; †Department of Radiation Oncology, Washington University, St. Louis, MO; and ‡Departments of Human  
Oncology and Medical Physics, University of Wisconsin School of Medicine and Public Health, Madison, WI

**PLANNED DOSE VS TRUE DOSE ( $D_A$ )**

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## PLAN VS TRUE DOSE - IMPLICATIONS:

### **Current Practice:**

Study robustness of current RT deliveries  
Better reporting of dose/volume data  
Understand true dose correlations vs outcomes



### **Future Practice:**

Design of clinical trials: Dose prescriptions  
Reporting of clinical trial results  
Implementation of "true" Adaptive RT

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Cancer/Radiothérapie 15 (2011) 555–559

Disponible en ligne sur ScienceDirect  
www.sciencedirect.com

Elsevier Masson France  
EM|consulte  
www.em-consulte.com

Review

**State of the art on dose prescription, reporting and recording in Intensity-Modulated Radiation Therapy (ICRU report No. 83)**

*Recommandations de l'ICRU sur la prescription, le rapport et l'enregistrement de la dose en radiothérapie avec modulation d'intensité (RCMI)*

**V. Grégoire<sup>a,\*</sup>, T.R. Mackie<sup>b</sup>**

<sup>a</sup> Centre for Molecular Imaging and Experimental Radiotherapy & Radiation Oncology Department,  
Université catholique de Louvain, St-Luc University Hospital, avenue Hippocrate 10, 1200 Brussels, Belgium  
<sup>b</sup> University of Wisconsin – Madison, Departments of Human Oncology, Medical Physics, 600 Highland Avenue, Madison WI 53792, USA

Grégoire, Cancer/Radiothérapie 15 (2011) 555–559

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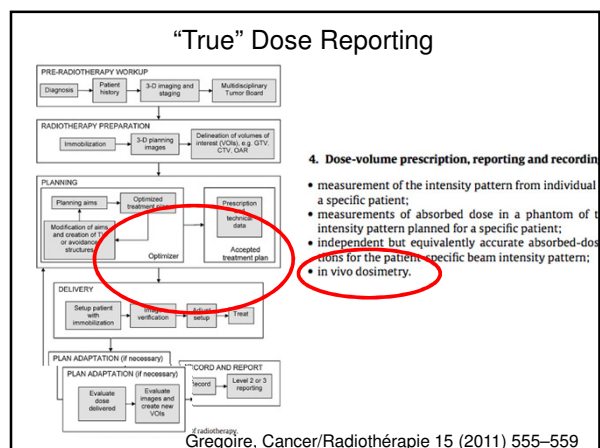
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### Delivery Variations / Adaptive RT – Anatomic Sites

Head & Neck	Adaptive radiotherapy of head and neck cancer. Castadot et al. Semin Radiat Oncol. 20:84, 2010  Adaptive radiation therapy for head and neck cancer-can an old goal evolve into a new standard? Schwartz et al. J Oncol. 2011;2011. pii: 690595. Epub 2010 Aug 18.
Lung	Role of Adaptive Radiotherapy During Concomitant Chemoradiotherapy for Lung Cancer: Analysis of Data From A Prospective Clinical Trial. IJROBP. 75(4):1092-7, 2009  Potential of adaptive radiotherapy to escalate the radiation dose in combined radiochemotherapy for locally advanced non-small cell lung cancer. Guckenberger et al. IJROBP. 79, 901–908, 2011
Bladder	Offline adaptive radiotherapy for bladder cancer using cone beam computed tomography. Foroudi et al. J Med Imaging Radiat Oncol. 2009;53(2):226-33.
Cervix	MRI assessment of cervical cancer for adaptive radiotherapy. Dimopoulos et al. Strahlenther Onkol. 2009;185(5):282-7.

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### Clinical Applications: Observing vs Reacting (Adaptive RT)

#### Maintain initial plan integrity:

Tumor Progression: ~10%

Dosimetric Variations: ~20-30%

Changing anatomy: e.g. Weight loss / Tumor response

#### Change plan to benefit from response:

Change occurring early enough to change plan: ~20%?

#### FUTURE CLINICAL TRIALS: AIMS?

- Maintain integrity: Head/Neck, esophagus, prostate?
- Benefit from response: Lung, Gliomas, GYN?

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### IMPACT OF ANATOMIC VARIATIONS / ADAPTIVE RT CLINICAL QUESTIONS

- Types of cases and delivery techniques?
- Timing and techniques of dose assessments?
- Magnitude of dosimetric variation vs clinical impact?
- If adaptive, timing of replanning?
- Shrinking margins - Residual microscopic disease?
- Maintaining versus escalating doses?
- Cost effectiveness?

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## Clinical Scenarios

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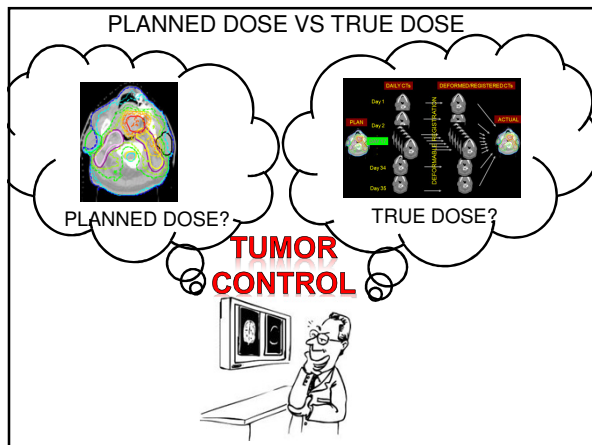
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Local Control Assessment  
vs  
True Tumor Doses

**Tumor progression**  
**Tumor response**  
**Overall anatomic variations**

Clinical Contexts:

1. Head & Neck Ca: Toxic treatment
2. Lung Ca: Poor tumor control

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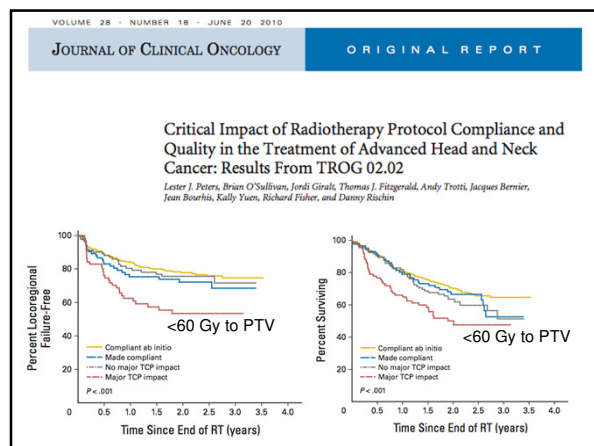
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### Adaptive RT: Clinical Observations

Maintaining the integrity of the initial delivery plan

#### Tumor progression

Planning CT 2 weeks into treatment

Lei Dong, MDACC

UM: 2/14 lung Ca patient progression  
Feng et al, IJROBP, 73, 1228, 2009

VU: 1/21 lung Ca patients progression  
Spoelstra et al, IJROBP, Vol. 75, 1092, 2009

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### Head & Neck: Adaptive RT

- Duma et al. Adaptive radiotherapy for soft tissue changes during helical tomotherapy for head and neck cancer. *Strahlenther Onkol* 2012.
- Loo et al. Tumour shrinkage and contour change during radiotherapy increase the dose to organs at risk but not the target volumes for head and neck cancer patients treated on the TomoTherapy HiArt system. *Clin Oncol (R Coll Radiol)* 2011;23:40-47.
- You et al. Is There a Clinical Benefit to Adaptive Planning During Tomotherapy in Patients with Head and Neck Cancer at Risk for Xerostomia? *Am J Clin Oncol* 2011.
- Capelle et al. Adaptive Radiotherapy Using Helical Tomotherapy for Head and Neck Cancer in Definitive and Postoperative Settings: Initial Results. *Clin Oncol (R Coll Radiol)* 2011.
- Fung et al. Dosimetric evaluation of a three-phase adaptive radiotherapy for nasopharyngeal carcinoma using helical tomotherapy. *Med Dosim* 2011.
- Fiorino et al. Introducing the Jacobian-volume-histogram of deforming organs: application to parotid shrinkage evaluation. *Phys Med Biol* 2011;56:3301-3312.

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## Head & neck: True Dose Documentation

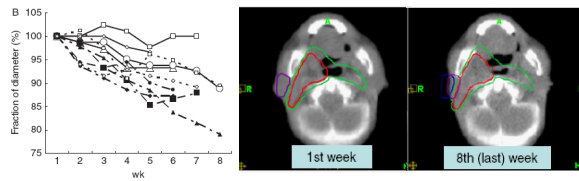
Yonsei University / Korea:

10 patients with weight loss or neck diameter decrease:

Higher rates of Grade 2 xerostomia

MVCTs were retrospectively contoured, 1 MVCT/week

Doses recalculated based on deformed MVCTs



You et al. Am J Clin Oncol 35(3):261-6, 2011.

## Head and Neck Ca: Adaptation with Functional Changes?

Dirix P et al. Dose painting in radiotherapy for head and neck squamous cell carcinoma: value of repeated functional imaging with (18)F-FDG PET, (18)F-fluoromisonidazole PET, diffusion-weighted MRI, and dynamic contrast-enhanced MRI. J Nucl Med 2009;50:1020-7.

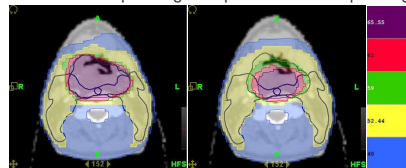
Madani I et al. Positron emission tomography-guided, focal-dose escalation using intensity-modulated radiotherapy for head and neck cancer. Int J Radiat Oncol Biol Phys 2007;68:126-35.

Geets X, Tomsej M, Lee JA, et al. Adaptive biological image-guided IMRT with anatomic and functional imaging in pharyngo-laryngeal tumors: impact on target volume delineation and dose distribution using helical tomotherapy. Radiother Oncol 2007;85:105-15.

Duprez F, De Neve W, De Gerssem W, et al. Adaptive Dose Painting by Numbers for Head-and-Neck Cancer. Int J Radiat Oncol Biol Phys 2011; 80: 1045-55.

## Adaptive RT: Impact on dose distribution

Classic CT-based planning Adaptive PET-based planning



**SIB-IMRT**  
30x2.3 Gy  
30x1.85 Gy

P<0.001

Planning	V <sub>10</sub>	V <sub>50</sub>	V <sub>80</sub>	V <sub>90</sub>	V <sub>95</sub>	V <sub>100</sub>
Classic CT-based	100%	100%	100%	100%	100%	100%
Adaptive CT-based	99%	100%	100%	85%	80%	66%
Classic PET-based	99%	99%	98%	83%	82%	81%
Adaptive PET-based	99%	100%	98%	73%	67%	58%

Courtesy Vincent Gregoire, 2010

Geets, Radiother Oncol 2007;85:105-15

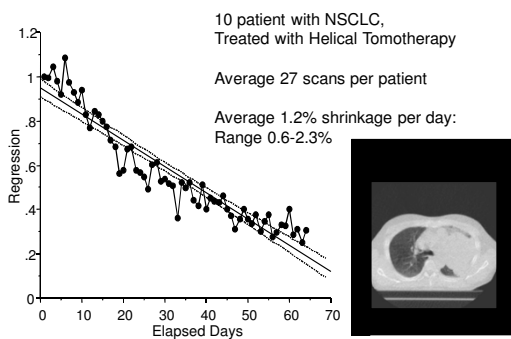
**There is evidence that Adaptive Radiotherapy in Head and Neck cancer patients:**

1. will not benefit any patient, regardless of any endpoint (dosimetric or clinical).
2. will not benefit patients who show progression during radiotherapy.
3. might benefit a subset of patients who display tumor regression or significant weight loss.
4. should be performed on-line to be truly effective.
5. improves survival in nasopharyngeal cancers.

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**Lung Ca: Tumor Regression – Anatomic Changes**

Kupelian et al., IJROBP, 2005



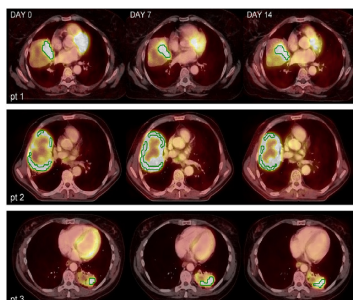
**Lung Ca: Functional Changes  
TARGETS OF ADAPTATION TO TUMOR RESPONSE**

Aerts et al, IJROBP, Vol. 71, No. 5, pp. 1402–1407, 2008

N=23 patients  
ST I-III NSCLC

VARYING VOLUMES  
BUT  
STABLE LOCATION:

SUITABLE  
TARGETS FOR  
ADAPTATION



**FDG-PET scans might provide attractive targets for adaptive radiotherapy in lung cancer because:**

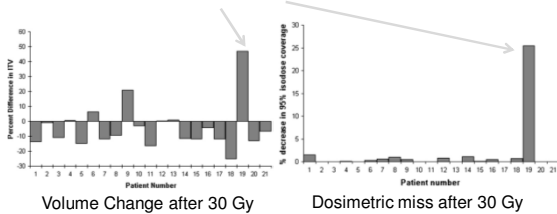
1. they mostly display changes in volume but not location.
2. they mostly display changes in location but not volume.
3. FDG-PET scans are routinely obtained throughout a course of radiotherapy.
4. auto-contouring is possible by SUV values on FDG-PET scans.
5. they have been shown to reveal areas of future in-field recurrences.

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Clinical Application:  
Change plan to benefit from response  
  
LUNG CANCERS

**ADAPTIVE RT:  
NOT FOR EVERY PATIENT  
NOT FOR EVERY TECHNIQUE**

N=21 evaluable patients  
8% reduction after 30 Gy  
Only patient needing replan had progression...



Spoelstra et al, IJROBP, Vol. 75, 1092, 2009

#### PHYSICS CONTRIBUTION

##### ADAPTIVE RADIOTHERAPY PLANNING ON DECREASING GROSS TUMOR VOLUMES AS SEEN ON MEGAVOLTAGE COMPUTED TOMOGRAPHY IMAGES

CURTIS WOODFORD,\* SLAV YARTSEY, Ph.D.,\* A. RASHID DAR, M.D.,\*<sup>†</sup> GLENN BAUMAN, M.D.,\*<sup>†</sup>  
AND JAKE VAN DYK, M.Sc.\*<sup>†</sup>

\*London Regional Cancer Program, London Health Sciences Centre, London, Ontario, Canada; and  
<sup>†</sup>The University of Western Ontario, London, Ontario, Canada

17 lung Ca Cases. RT ~30 fractions.

In **40%** of patients in this study, GTV changes were of sufficient magnitude and occurred sufficiently early in the treatment course that one could realistically anticipate that adapted radiotherapy would improve the therapeutic ratio.

If GTV decreases by greater than 30% at any point in the **first 20 fractions of treatment**, adaptive planning is appropriate to further improve the therapeutic ratio.

Woodford et al, IJROBP 69, p 1316, 2007

#### PHYSICS CONTRIBUTION

##### USING FLUORODEOXYGLUCOSE POSITRON EMISSION TOMOGRAPHY TO ASSESS TUMOR VOLUME DURING RADIOTHERAPY FOR NON-SMALL-CELL LUNG CANCER AND ITS POTENTIAL IMPACT ON ADAPTIVE DOSE ESCALATION AND NORMAL TISSUE SPARING

MARY FENG, M.D.,\* FENG-MING KONG, M.D., Ph.D.,\* MILTON GROSS, M.D.,<sup>†</sup>  
SHANELI FERNANDO, M.D.,\* JAMES A. HAYMAN, M.D.,\* AND RANDALL K. TEN HAREN, Ph.D.\*

Departments of \*Radiation Oncology, and <sup>†</sup>Nuclear Medicine, University of Michigan, Ann Arbor, MI

Mid-RT PET volumes were used to design boost fields.

N=14              Complete CR in 2 patients  
                         Progression by PET in 2 patients

Mid-RT PET in remaining 10 patients:  
Mean decrease in PET volumes: 44% range 10-100%

RT boosts could be designed in the **10/14** patients to increase doses above 100 Gy and reduce normal tissue complication probabilities.

Feng et al, IJROBP, 73, 1228, 2009

#### Adaptive RT; Dose Escalation – Lung Ca

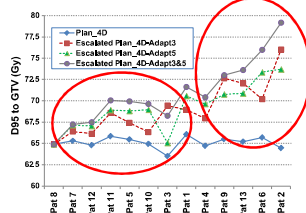
University of Wuerzburg, Guckenberger et al. IJROBP, 79, 901, 2011

N=13 patients, advanced NSCLC, weekly CT images

Dose accumulation performed (Surface-based DIR algorithm)

Adaptive plans: Once week 3 / Once in week 5 / Both in weeks 3 then 5

#### Results;



Safe dose escalation **on average** from 66 Gy to 74 Gy in all patients

1. Adaptive RT failed in some patients
2. Dose escalation to ~74 Gy was possible in some patients

# FUTURE CLINICAL TRIALS?

## Adaptive RT; Dose Escalation / Lung Ca – RTOG 1106

RADIATION THERAPY ONCOLOGY GROUP  
American College of Radiology Imaging Network

RTOG 1106/ACRIN 6697

RANDOMIZED PHASE II TRIAL OF INDIVIDUALIZED ADAPTIVE RADIOTHERAPY USING  
DURING-TREATMENT FDG-PET/CT AND MODERN TECHNOLOGY IN LOCALLY  
ADVANCED NON-SMALL CELL LUNG CANCER (NSCLC)

### Principal Investigator

Radiation Oncology/Translational Research

Feng-Ming (Spring) Kong, MD, PhD

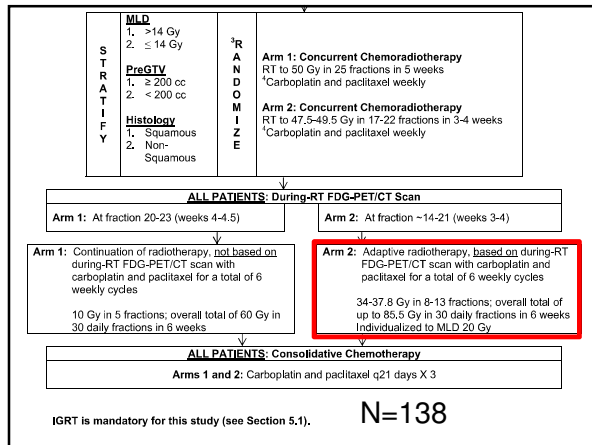
University of Michigan

1500 E. Medical Center Dr./UH B2 C490, SPC 5010

Ann Arbor, MI 48109

734-936-7810/FAX 734-763-7370

fengkong@umich.edu



## Personalized RT prescriptions

Table 6.1.2a: Individualized Doses and Fraction Sizes for Arm 2 (Based on 74 Gy Screening Plan)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Mean Lung Dose for the screening plan (74 Gy PTV dose)	Initial Dose per fr (Gy)	# Fractions for ~30 Gy EQD2 Tumor Dose	Physical Dose at this time point (Gy)	Minimum # Fractions Before 2 <sup>nd</sup> PET scan	Adaptive Phase Largest allowed Boost Dose per fr (Gy)	Adaptive Phase # of Fractions	Adaptive Phase Largest allowed Physical Boost Dose (Gy)	Largest allowed Total Physical Prescription Dose (Gy)
a)	<13.5	2.85	17	48.45	14	2.85	13	37.05	85.5
b)	13.5	2.85	17	48.45	14	2.85	13	37.05	85.5
c)	13.9	2.80	17	47.6	14	2.9	13	37.7	85.3
d)	14.3	2.75	18	49.5	15	3	12	36	85.5
e)	14.7	2.70	18	48.6	15	3.05	12	36.6	85.2
f)	15.1	2.65	18	47.7	15	3.15	12	37.8	85.5
g)	15.5	2.60	19	49.4	16	3.25	11	35.75	85.2
h)	16.0	2.55	19	48.45	16	3.3	11	36.3	84.8
i)	16.5	2.50	19	47.5	16	3.4	11	37.4	84.9
j)	17.0	2.45	20	49	17	3.55	10	35.5	84.5
k)	17.5	2.40	20	48	17	3.65	10	36.5	84.5
l)	18.1	2.35	21	49.35	18	3.85	9	34.65	84.0

Keep total 30 fractions:  
Largest fraction size allowed: 3.85 Gy

## Ablative doses in Locally Advanced Lung Cancer?

### An Adaptive RT application

Conventional dose escalation ineffective in  
advanced lung Ca:

RTOG 0617:

No difference 60 Gy vs 74 Gy

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### SBRT Boost to Residual Disease

Feddock J et al. IJROBP, 78, S108, 2010  
ASTRO 2010

PET-CT one month following conventional CRT

PET positive residual disease ( $\leq 7$  cm)  
An additional 20 Gy in 2 SBRT fractions in 1 week.

N=19.  
Median time interval from CRT to SBRT boost : 2 months.

Median follow-up time: 11 months  
1 patient experienced grade 3 radiation pneumonitis (5%).

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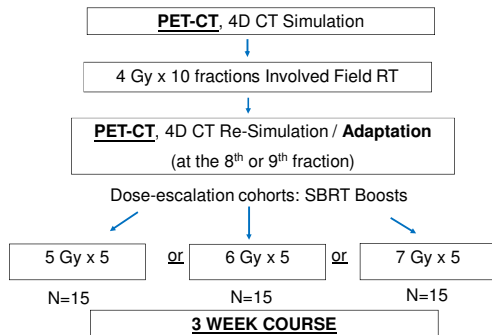
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Image-Guided Hypofractionated Radiotherapy with  
Stereotactic Boost and Chemotherapy  
for Inoperable Stage II-III Non-Small Cell Lung Cancer  
Phase I/II Protocol (UCLA) - PI: Percy Lee



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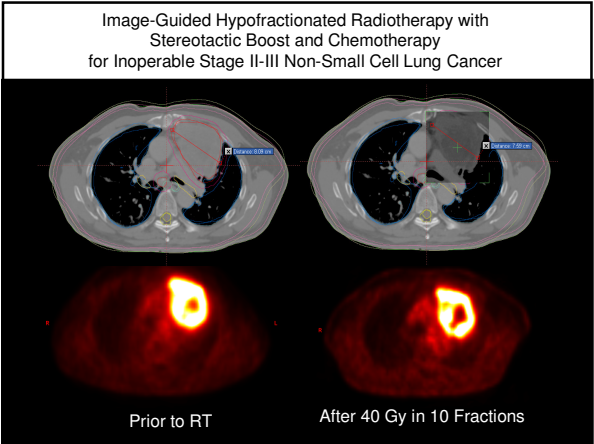
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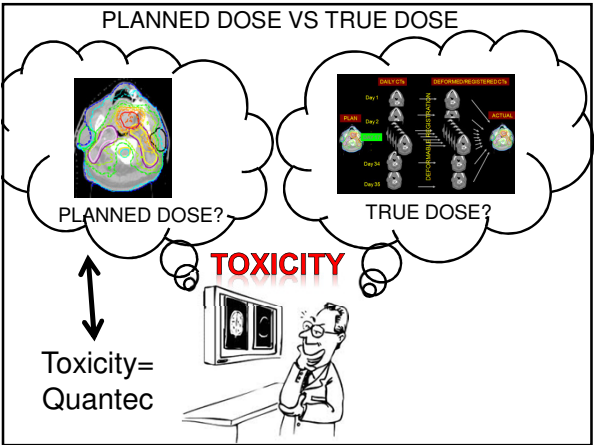
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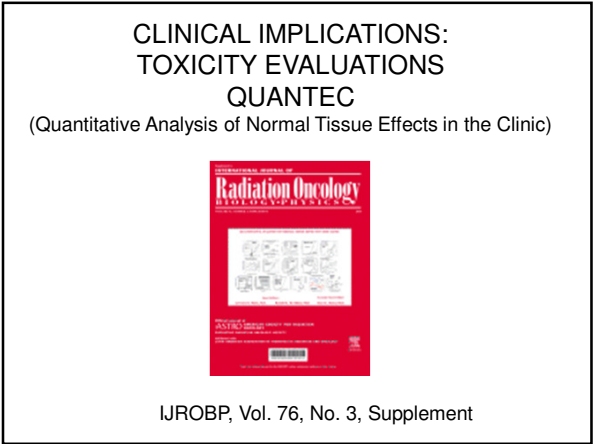
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Toxicity Assessment  
vs  
True Tumor Doses

**Anatomic variations of organs at risk**

Clinical Context: Head & Neck Ca

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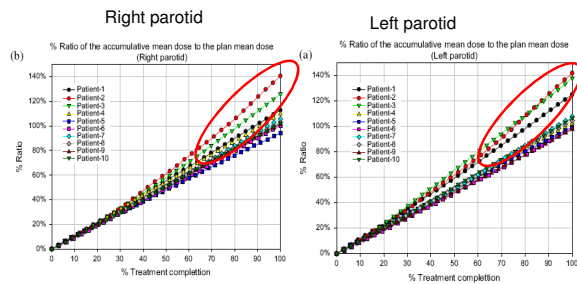
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**Parotids can get hot in some patients**

Deformable registration and dose accumulation on MVCTs  
Lee et al. IJROBP 71, 1563-71, 2008



Overdose: Average= 15 %  
3 of 10 patients: >10% higher mean parotid dose  
7 of 10 patients: <10% (6-8%)

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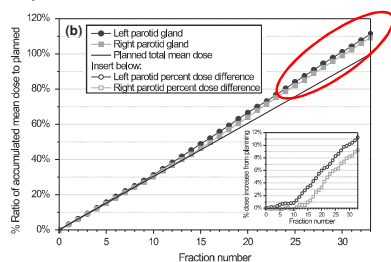
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**Parotids can get hot in some patients**

Deformable registration and dose accumulation on CBCTs  
Elstrom et al. Acta Oncologica, 2010; 49: 1101–1108

Single case, 33 CBCTs: Deformation, dose accumulation.  
Commercially available software



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## Head & neck: Adaptive

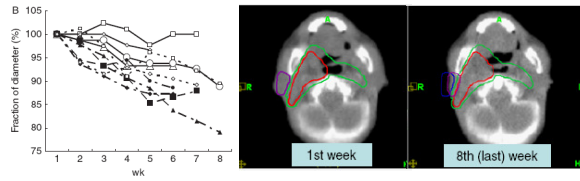
Yonsei University / Korea:

10 patients with weight loss or neck diameter decrease during

H&N treatment: higher rates of Grade 2 xerostomia

MVCTs were retrospectively contoured, 1 MVCT/week

Planned Adaptive; recalculate dose based on deformed MVCTs



You et al. Am J Clin Oncol 35(3):261-6, 2011.

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**Clinical Oncology**

Journal homepage: [www.clinicaloncologyonline.net](http://www.clinicaloncologyonline.net)

**Original Article**

**Adaptive Radiotherapy Using Helical Tomotherapy for Head and Neck Cancer in Definitive and Postoperative Settings: Initial Results**

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20 head and neck cancer patients:  
All treated in 30 fractions  
Replan after fraction #15  
Start second plan with fraction #20

The two plans were added assuming first 19 fractions identical (plan 1) and last 11 fractions identical (plan 2)

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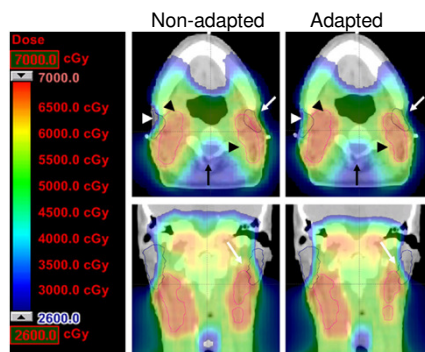
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## Adaptive Radiotherapy in Head and Neck Ca



Capelle et al. Clinical Oncology, 24 (2012) 208-215

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### Adaptive Radiotherapy in Head and Neck Ca

- No benefit in patients treated postoperatively.
- In patients treated definitively:
  1. Reduction in mean parotid dose
  2. Reduction in normal tissue volume outside PTV >50 Gy
  3. Patients with the following had the greatest benefit:
    - Nasopharyngeal carcinoma patients
    - Initial stage T3/4
    - Weight loss
    - Reduction in lateral neck separation
- Safety of adaptive approach:
  - No evidence of in-field or marginal failures

Capelle et al. Clinical Oncology, 24 (2012) 208-215

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### During a course of head and neck radiotherapy, daily parotid doses are expected to:

1. remain constant in all patients, since daily positioning variations do not occur in a face mask.
2. increase in all cases, since parotid glands swell during radiotherapy.
3. increase whenever parotid glands migrate medially due patient weight loss and/or tumor regression.
4. increase in all cases, since all head and neck cancer patients invariably lose weight during treatment.
5. decrease in all cases, since all head and neck cancer patients invariably lose weight during treatment.

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### Adaptive Dose Painting in Head and Neck Cancer

#### An Adaptive RT application

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**CLINICAL INVESTIGATION**

**Head and Neck**

**ADAPTIVE DOSE PAINTING BY NUMBERS FOR HEAD-AND-NECK CANCER**

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21 non-metastatic SCC Head and Neck  
3 separate plans

Phase I; 2 dose levels – RT Alone (no chemotherapy)  
Median doses: 80.9 Gy to CTV N=7  
85.9 Gy to GTV (smaller) N=14

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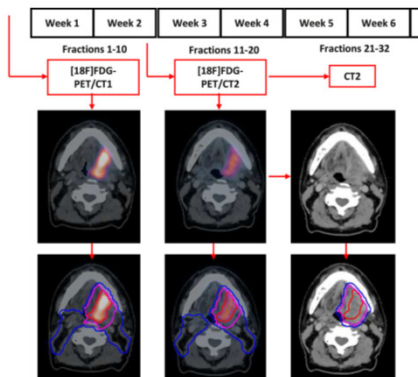
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**ADAPTIVE DOSE PAINTING**

Duprez F et al.IJROBP, 2011; 80; 1045-55.



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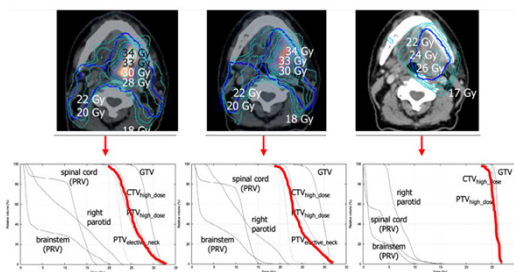
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**ADAPTIVE DOSE PAINTING: HETEROGENEOUS DOSE ESCALATION**

Duprez F et al.IJROBP, 2011; 80; 1045-55.



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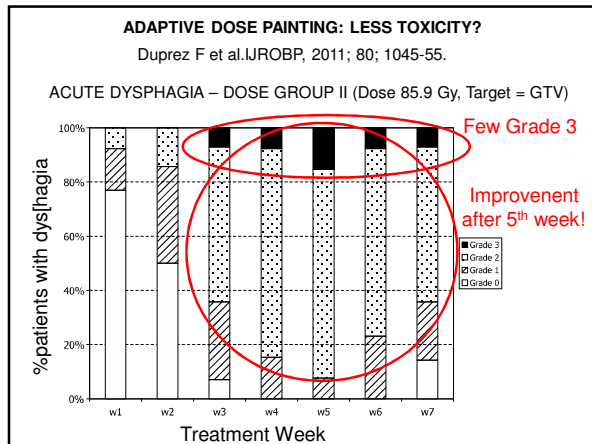
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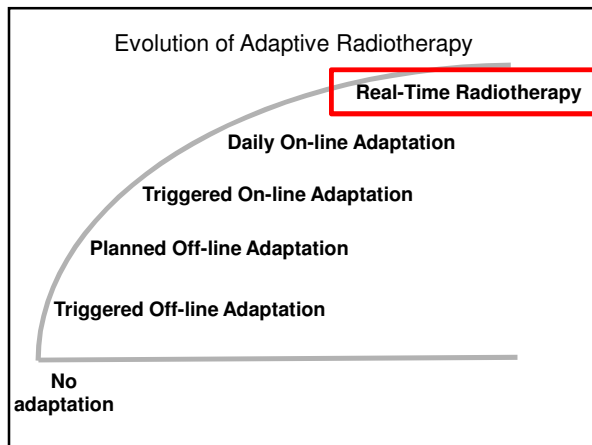
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**Real-Time Radiotherapy**

Assessment and adjustments:

- Daily (all fractions)
- On-line
- Intra-fraction variations included
- Deformable registration
- Dose accumulation (inter/intrafraction)
- Real-time adaptation

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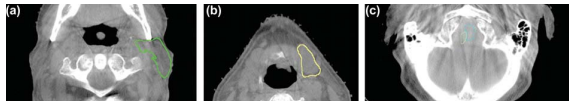
## Complexity of Process: ?On-line evaluation / adaptive Deformation / Dose accumulation / CBCTs

Elstrom et al. Acta Oncologica, 2010; 49: 1101–1108

Single case, 33 CBCTs: Deformation, dose accumulation.  
Commercially available software

165 contours evaluated: 33% good  
77% acceptable

~45 minutes to "prepare" each fraction, not counting verification by MD  
Off-line an option  
On-line would be too inefficient




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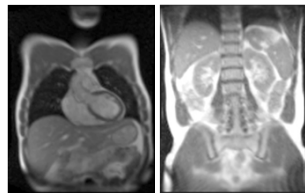
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## Real-Time Radiotherapy: In-room MRI

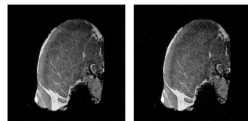
Inter/Intrafraction motion/deformation assessment

In-room MRI / Cobalt IMRT



(not approved for clinical use)

In-room MRI / Linac



Phys Med Biol. 2009 Jun 21;54(12):N229-37  
Phys Med Biol. 2009 Sep 21;54(18):N409-15

(not approved for clinical use)

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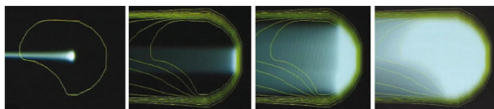
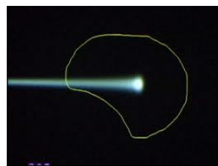
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## Real-Time Radiotherapy: A necessity for IMPT?




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### **Dose Summation And Adaptation Conclusions**

- Modern in-room imaging techniques enable documentation of anatomic and dosimetric variations throughout treatment courses.
- Deformable registration and dose accumulation allow determination of “true” delivered dose versus planned dose.
- Clinical correlations previously made with “planned” doses should be reassessed with “true” delivered doses.

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### **Dose Summation And Adaptation Conclusions**

- Adaptive Radiotherapy is a process that addresses deforming anatomy, allowing robustness of planned delivery and possibly enabling dose escalation whenever appropriate.
- The proportion of patients benefiting from Adaptive RT still unclear (10-40%?)...
- Future design of treatment protocols within or outside of clinical trials should include mechanisms to document “true” delivered doses.
- Tools are still to be refined.

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### **Clinical Implications Of Dose Summation And Adaptation**

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August 2012

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