

# Review of the Radiobiological Principles of Radiation Protection

Cari Borrás, D.Sc., FAAPM, FACR  
Radiological Physics and Health Services Consultant  
Washington DC, USA

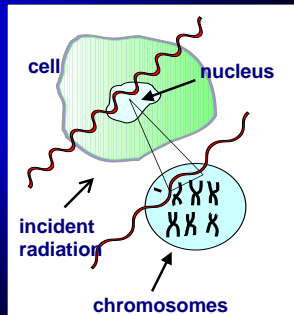
## Learning Objectives

1. To understand the radiobiological basis of radiation protection standards.
2. To define the radiation protection magnitudes and units, their values and their practical measurement.
3. To distinguish between stochastic and deterministic effects.

## Radiation Effects

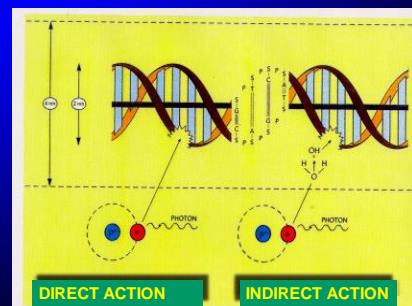
Ionizing radiation interacts at the cellular level:

- ionization
- chemical changes
- biological effect

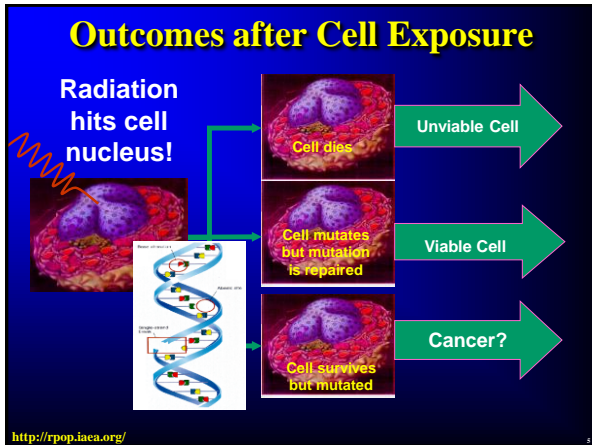


<http://rpop.iaea.org/>

## Interaction of ionizing radiation with DNA, the critical target



<http://rpop.iaea.org/>



## DNA Damage

**There are qualitative and quantitative differences in initial DNA damage caused by radiation**

- DNA damage caused by radiation exhibits multiply damaged sites and clustered lesions
- Double strand breaks are more common in radiation-induced damage than single strand breaks, which are more common in normal endogenous DNA damage.

[http://lowdose.energy.gov/pdf/Powerpoint\\_WEBBystander.pdf](http://lowdose.energy.gov/pdf/Powerpoint_WEBBystander.pdf)

## How does radiation interact with cells?

### Past Theory

**Hit theory**

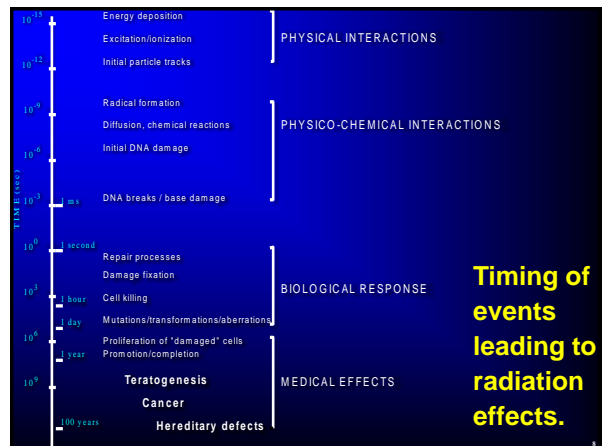
Radiation causes free radicals to damage only the cell that is "hit" by direct ionization

### Present Theories

**Bystander effects**

Radiation causes free radicals to trigger cell-cell communication and cell-matrix communication to cells other than those which are "hit" by the direct ionization.

[http://lowdose.energy.gov/pdf/Powerpoint\\_WEBBystander.pdf](http://lowdose.energy.gov/pdf/Powerpoint_WEBBystander.pdf)



## RP Dosimetric Quantities and Units Tissue Reactions

Dose to Tissue = Absorbed Dose \* RBE (Gy)

RBE : radiobiological effectiveness

differs for

- different biological endpoints and
- different tissues or organs

## RP Dosimetric Quantities and Units Stochastic Effects

### Evolution of Terminology

ICRP 26 (1977)	ICRP 60 (1991)	ICRP 103 (2007)
*	Equivalent Dose	Equivalent Dose <sup>#</sup>
Effective Dose Equivalent	Effective Dose	Effective Dose

\* No specific term

<sup>#</sup> Radiation Weighted Dose proposed but not accepted

The SI unit is  $\text{J kg}^{-1}$  and the special name is sievert (Sv)

10

## RP Dosimetric Quantities and Units Stochastic Effects (Sv)

Equivalent Dose,  $H_T$ , in a tissue T:

$$H_T = \sum_R w_R D_{T,R}$$

$w_R$  is the radiation weighting factor, which accounts for the detriment caused by different types of radiation relative to photon irradiation

$D_{T,R}$  is the absorbed dose averaged over the tissue T due to radiation R

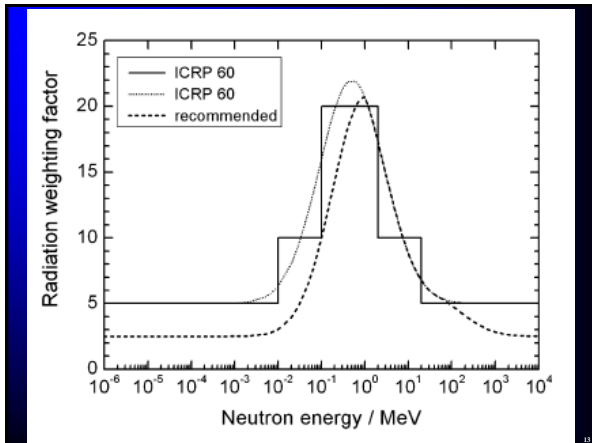
$w_R$  values are derived from in vivo and in vitro RBE studies  
They are independent of dose and dose rate in the low dose region

11

## Radiation Weighting Factors (ICRP 103)

Radiation type and energy range	$w_R$
Photons	1
Electrons and muons	1
Protons (1991, 2007), pions (2007)	2
Alpha particles, fission fragments, heavy ions	20
Neutrons, energy < 10 keV	Continuous Function
10 keV to 100 keV	
> 100 keV to 2 MeV	
> 2 MeV to 20 MeV	
> 20 MeV	

12



## RP Dosimetric Quantities and Units Stochastic Effects (Sv)

### Effective Dose, E

$$E = \sum_T w_T H_T = \sum_T \sum_R w_T w_R D_{R,T}$$

$w_T$  represents the relative contribution of that tissue or organ to the total detriment resulting from uniform irradiation of the body

$$\sum_T w_T = 1$$

A uniform dose distribution in the whole body gives an effective dose numerically equal to the radiation-weighted dose in each organ and tissue of the body

## Tissue Weighting Factors (ICRP 103)

Tissue	$w_T$	$\sum w_T$
Bone-marrow (red), Colon, Lung, Stomach, Breast, Remainder Tissues*	0.12	0.72
Gonads	0.08	0.08
Bladder, Oesophagus, Liver, Thyroid	0.04	0.16
Bone surface, Brain, Salivary glands, Skin	0.01	0.04

**Total 1.00**

\* Remainder Tissues: Adrenals, Extrathoracic region, Gall bladder, Heart, Kidneys, Lymphatic nodes, Muscle, Oral mucosa, Pancreas, Prostate, Small intestine, Spleen, Thymus and Uterus/cervix

## RP Dosimetric Quantities and Units

### Activity, A

The activity **A** of an amount of a radionuclide in particular energy state at a given time **t** is

$$A = dN / dt$$

where **dN** is the expectation value of the number of spontaneous nuclear transitions from that energy state in the time interval **dt**

The SI unit of activity is the Becquerel (Bq)

$$1 \text{ Bq} = 1 \text{ s}^{-1}$$

## RP Dosimetric Quantities and Units Stochastic Effects (Sv)

### Committed Equivalent Dose

For radionuclides  
incorporated in the body

$$H_T(\tau) = \int_{t_0}^{t_0+\tau} \dot{H}_T(t) dt$$

where  $\tau$  is the integration time following the intake at time  $t_i$

### Committed Effective Dose

$$E(\tau) = \sum_T w_T \cdot H_T(\tau)$$

$\tau$   
Adults: 50 y  
Children: 70 y

## Limitations of Equivalent and Effective Doses

- ▲ Are not directly measurable
- ▲ Point quantities needed for area monitoring (in a non-isotropic radiation field, effective dose depends on the body's orientation in that field)
- ▲ Instruments for radiation monitoring need to be calibrated in terms of a measurable quantity for which calibration standards exist

**Operational protection quantities are needed!**

## RP Operational Quantities - ICRU Dose Equivalent, H

$$H = Q * D \text{ (Sv)}$$

Where: D = Absorbed Dose

Q = Quality Factor, function of  $L_x$  (LET)

$$Q(L) = \begin{cases} 1 & \text{for } L < 10 \text{ keV}/\mu\text{m} \\ 0.32 L - 2.2 & \text{for } 10 \leq L \leq 100 \text{ keV}/\mu\text{m} \\ 300/\sqrt{L} & \text{for } L > 100 \text{ keV}/\mu\text{m} \end{cases}$$

**At a point in tissue:**

$$Q = \frac{1}{D} \int_{L=0}^{\infty} Q(L) D_L dL$$

Where:  $D_L$  is the distribution of D in L for the charged particles contributing to D

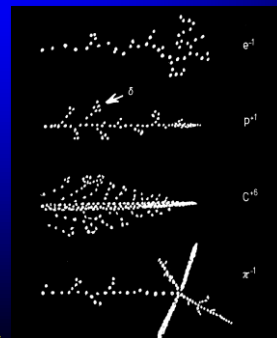
**LET: average measure of the rate at which energy is imparted to the absorbing medium per unit distance of track length** ( $\text{keV } \mu\text{m}^{-1}$ )

electrons

protons

carbon ions

negative pions



alphas



<http://rpop.iaea.org/>

C. Borrás D.Sc. Thesis

Task	Operational quantities for	
	area monitoring	individual monitoring
Control of effective dose	ambient dose equivalent $H^*(10)$	personal dose equivalent $H_p(10)$
Control of skin dose	directional dose equivalent $H'(0.07, \Omega)$	personal dose equivalent $H_p(0.07)$

**$H^*(10)$  and  $H_p(10)$  – photons > 12 keV and neutrons**

**$H_p(0.07)$  –  $\alpha$  and  $\beta$  particles and doses to extremities**

$\Omega$  in RP usually not specified. Instead,  
Maximum  $H'(0.07, \Omega)$  is obtained  
by rotating meter seeking maximum reading

21

## Assessment of Effective Dose from Individual Monitoring Data

$$E = H_p(10) + \sum_j e_{j,inh}(\tau) \cdot I_{j,inh} + \sum_j e_{j,ing}(\tau) \cdot I_{j,ing}$$

- $H_p(10)$  personal dose equivalent from external exposure
- $e_{j,inh}(\tau)$  is the committed effective dose coefficient for activity intakes by inhalation of radionuclide  $j$
- $I_{j,inh}$  is the activity intake of radionuclide  $j$  by inhalation
- $e_{j,ing}(\tau)$  is the committed effective dose coefficient for activity intakes of radionuclide  $j$  by ingestion
- $I_{j,ing}$  is the activity intake of radionuclide  $j$  by ingestion

22

## RP Dosimetric Quantities and Units

### Stochastic Effects

### Collective Effective Dose, S

(due to Individual Effective Doses  $E_1$  and  $E_2$ )

$$S(E_1, E_2, \Delta T) = \int_{E_1}^{E_2} E \frac{dN}{dE} dE$$

- $dN / dE$  : number of individuals who experience an effective dose between  $E$  and  $E + dE$
- $\Delta T$  specifies the time period within which the effective doses are summed

23

## System of Quantities for Radiological Protection

### Absorbed dose, D

#### Dose Quantities defined in the body

Equivalent dose,  $H_T$ , in an organ or tissue T



Effective dose, E

Committed doses,  $H_T(\tau)$  and  $E(\tau)$

Collective effective dose, S

#### Operational Quantities

##### For external exposure

Dose quantities for area monitoring individual monitoring

##### For internal exposure

Activity quantities in combination with biokinetic models and computations

24

## RP Dosimetric Quantities and Units

**E** is calculated averaging  
gender, age and individual sensitivity

### Caveats

**Effective Dose** should not be used for

- ▲ Retrospective dose assessments
- ▲ Estimation of specific individual human exposures and risks
- ▲ Epidemiological studies without careful consideration of the uncertainties and limitations of the models and values used

24

## RP Dosimetric Quantities and Units Caveats

### Dose to Individuals

Absorbed doses to organs or tissues should be used with the most appropriate biokinetic parameters, biological effectiveness of the ionizing radiation and risk factor data, taking into consideration the associated uncertainties.

**Medical exposures fall in this category!**

25

## Effective Dose vs Organ Doses in Medical Exposures

**Effective Dose** is an adequate parameter  
to intercompare doses from different  
radiological techniques

**However, to assess individual risks it is  
necessary to determine organ doses**

27

### POINT/COUNTERPOINT

**The use of effective dose for medical procedures is inappropriate**

Carolee Horton, D.Sc.  
Radiation Physics and Health Sciences, University of Toronto, Toronto, ON, Canada  
and, School of Health, Behavior and Society, Johns Hopkins University, Baltimore, MD, USA

Walter Huda, Ph.D.  
Radiation Physics and Health Sciences, University of Toronto, Toronto, ON, Canada  
(Tel: 416-978-2075; E-mail: whuda@utoronto.ca)

Carolee G. Horton, Ph.D., Moderator  
(Received 3 March 2010; accepted for publication 4 March 2010; published 15 June 2010)  
(DOI: 10.1198/15377909)

#### OVERVIEW

The quantity "effective dose" was originally introduced as a way to quantify the potential adverse effects (cancer and hereditary effects) of ionizing radiation exposure of populations of workers and the general public for radiation protection purposes. It was not intended to be used to compare patient exposures, let alone the past decade, the limited correspondence to quantify doses to patients and patient populations undergoing imaging procedures in some of our clinical sites, has been proposed for this use.

Against for the Proposition is Carolee Horton, D.Sc. She is currently an Assistant Professor in the Department of Health, Behavior and Society, Johns Hopkins University, Baltimore, MD, USA. She has also worked at the University of Toronto, Toronto, ON, Canada.

For the Proposition is Walter Huda, Ph.D. He is currently a Professor of Radiation Physics and Health Sciences, University of Toronto, Toronto, ON, Canada.

Carolee Horton, D.Sc. is a radiation physicist at the University of Toronto, Toronto, ON, Canada. She is currently a Professor in the Department of Health, Behavior and Society, Johns Hopkins University, Baltimore, MD, USA. She has also worked at the University of Toronto, Toronto, ON, Canada.

Walter Huda, Ph.D. is a radiation physicist at the University of Toronto, Toronto, ON, Canada. He is currently a Professor of Radiation Physics and Health Sciences, University of Toronto, Toronto, ON, Canada.

DOI: 10.1198/15377909



Against for the Proposition is Carolee Horton, D.Sc. She is currently an Assistant Professor in the Department of Health, Behavior and Society, Johns Hopkins University, Baltimore, MD, USA. She has also worked at the University of Toronto, Toronto, ON, Canada.



For the Proposition is Walter Huda, Ph.D. He is currently a Professor of Radiation Physics and Health Sciences, University of Toronto, Toronto, ON, Canada.

#### FOR THE PROPOSITION: CAROLEE HORTON, D.Sc.

##### Opening statement

In 1981, the International Commission on Radiological Protection (ICRP) published ICRP 26, "Recommendations on the use of the quantity 'effective dose'." This was a landmark document in the history of radiation protection, as it was the first time that a single quantity was proposed to be used to assess the radiological effectiveness of different types of radiation in low-dose and dose-rate situations.

28



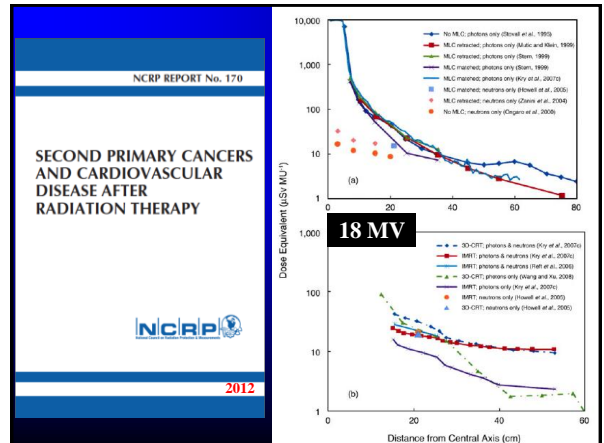
## Methods for Determining Organ and Tissue Doses in Medical Imaging (ICRU 74, 2005)

- ▲ Measurements in physical phantoms
- ▲ Monte Carlo radiation transport calculations
  - Mathematical phantoms
  - Special features of the active bone marrow
  - Voxel phantoms
  - Anthropometric phantoms

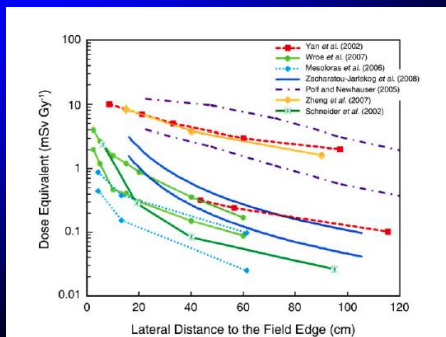
In radiation therapy, the TPS can calculate organ doses

How well?

29



## Neutron Dose Equivalent as a Function of Distance to the Field edge



NCRP 170

31

## THE AIM OF RADIATION PROTECTION

- ▲ To prevent (deterministic) harmful tissue effects
- ▲ To limit the probability of stochastic effects to levels deemed to be acceptable

32



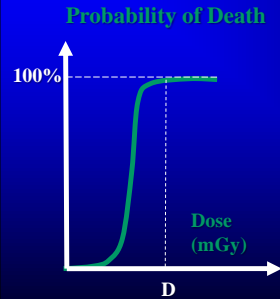
## Deterministic Effects

Radiation effects for which generally a threshold level of dose exists above which the severity of the effect is greater for a higher dose.

## Stochastic Effects

Radiation effects, generally occurring without a threshold level of dose, whose probability is proportional to the dose and whose severity is independent of the dose.

## Effects of Cell Death

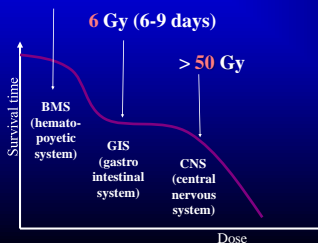


Co-60 Radiotherapy  
Overexposure  
Panama 2000-2001

## Radiation Syndromes (Whole Body Exposures)

### Acute

Threshold: 0.5 Gy (3-7 days)  
Death: 1 Gy, 2-3 Gy with medical care



### Chronic

Annual radiation exposures exceeding 0.7 - 1.0 Gy and cumulative doses > 2-3 Gy over 2-3 years

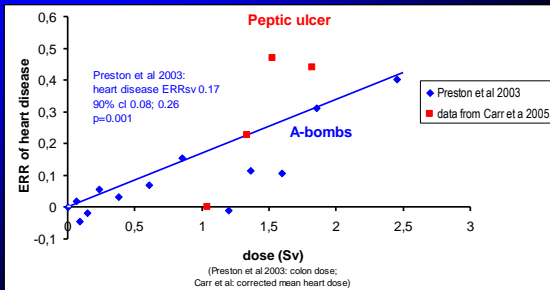
### LD<sub>50/60</sub> (Acute)

3.3 to 4.5 Gy no medical management  
6 to 7 Gy with medical management

## Radiation-induced Cardiovascular Disease

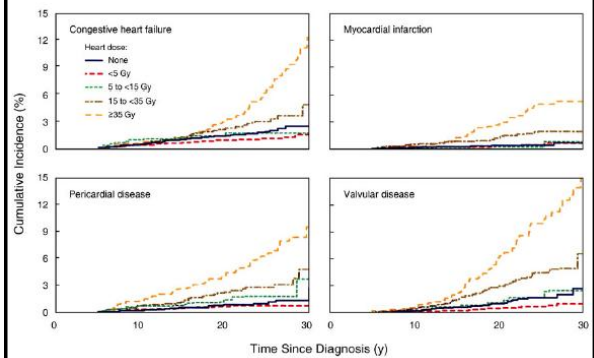
- ▲ **Radiotherapy** – well documented side effect of irradiation for breast cancer, Hodgkin's disease, peptic ulcers & others.
- ▲ **A-bomb data** – statistically significant dose-related incidence.
- ▲ **Chernobyl** – some evidence in the Russian study on emergency workers for a dose-related increase.

## Excess Relative Risk of Heart Disease



27

## Cardiac Disorders among Childhood Cancer Survivors



NCRP 170

28

From current evidence, a judgement can be made of a **threshold acute dose of about 0.5 Gy (or 500 mSv)** for both **cardiovascular disease and cerebrovascular disease**. On that basis, **0.5 Gy may lead to approximately 1% of exposed individuals developing the disease in question, more than 10 years after exposure**. This is in addition to the high natural incidence (circulatory diseases account for **30-50% of all deaths in most developed countries**).

Draft ICRP on Tissue Effects, <http://www.icrp.org/page.asp?id=116>

29

## Irradiation of Gonads Threshold doses for approximately 1% incidence in morbidity

Effect	Organ/tissue	Time to develop effect	Acute exposure (Gy)	Highly fractionated (2 Gy per fraction) or equivalent protracted exposures (Gy)	Annual (chronic) dose rate for many years ( $\text{Gy y}^{-1}$ )
Temporary sterility	Testes	3-9 weeks	~0.1	NA	0.4
Permanent sterility	Testes	3 weeks	~6	<6	2.0
Permanent sterility	Ovaries	< 1 week	~3	6.0	>0.2

Draft ICRP on Tissue Effects, <http://www.icrp.org/page.asp?id=116>

29

## Skin Injuries



Multiple  
Coronary  
Angiography  
& Angioplasty  
Procedures  
USA, 1991 →



**Industrial Irradiator Accident**  
El Salvador, 1989



RADIODERMATITIS, 17 YEAR OLD PATIENT  
TWO YEARS AFTER 2 CARDIAC ABLATIONS  
E. VARGO, L. ARRANZ et al. BJR, 1998

**Spain, 1998**

## Hair Loss

Co-60 Overexposure  
Costa Rica 1996

→






CT Brain  
Perfusions, USA  
2011

←

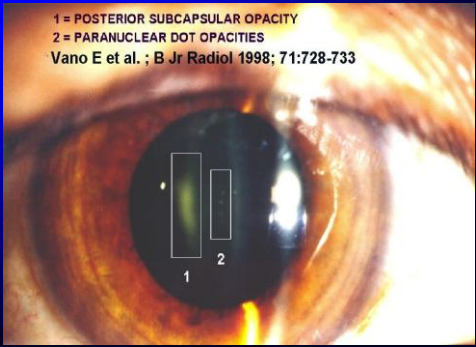


## Threshold doses for approximately 1% incidence in morbidity

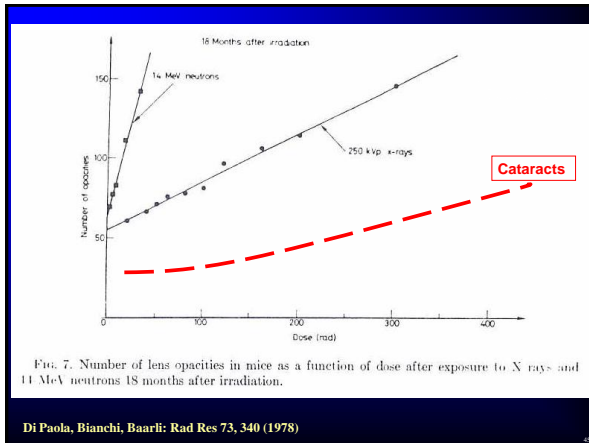
Effect	Organ/tissue	Time to develop effect	Acute exposure (Gy)	<sup>b</sup> Highly fractionated (2 Gy per fraction) or equivalent protracted exposures (Gy)	Annual (chronic) dose rate for many years (Gy y <sup>-1</sup> )
Main phase of skin reddening	Skin (large areas)	1-4 weeks	<3-6	30	NA
Skin burns	Skin (large areas)	2-3 weeks	5-10	35	NA
Temporary hair loss	Skin	2-3 weeks	~4	NA	NA
Late atrophy	Skin (large areas)	> 1 year	10	40	NA
Telangiectasia @ 5 years	Skin (large areas)	> 1 year	10	40	NA

Draft ICRP on Tissue Effects. <http://www.icrp.org/page.asp?id=116>

## Eye Injuries



1 = POSTERIOR SUBCAPSULAR OPACITY  
2 = PARANUCLEAR DOT OPACITIES  
Vano E et al. ; B Jr Radiol 1998; 71:728-733



## Increased Risk of Cortical and Posterior Subcapsular Cataract Formation

- ▲ Reanalysis of Atomic Bomb Survivors
- ▲ A Cohort Of Patients With Chronic Exposure to Low-dose-rate Radiation
- ▲ From Cobalt-60 Contaminated Steel in their Residences
- ▲ Studies of Children Exposed to Low Doses from the Chernobyl (Ukraine) Accident
- ▲ Chernobyl Clean-up Workers
- ▲ Commercial Airline Pilots
- ▲ Space Astronauts

## Threshold doses for approximately 1% incidence in morbidity

Effect	Organ/tissue	Time to develop effect	Acute exposure (Gy)	Highly fractionated (2 Gy per fraction) or equivalent protracted exposures (Gy)	Annual (chronic) dose rate for many years ( $\text{Gy y}^{-1}$ )
Cataract (visual impairment)	Eye	>20 years	~0.5	~0.5	~0.5 divided by years duration

Draft ICRP on Tissue Effects, <http://www.icrp.org/page.asp?id=116>

## Dose Limits – ICRP 1991, 2007

For occupational exposure of workers over the age of 18 years

- An effective dose of 20 mSv per year averaged over five consecutive years (100 mSv in 5 years), and of 50 mSv in any single year;
- An equivalent dose to the lens of the eye of 150 mSv in a year;
- An equivalent dose to the extremities (hands and feet) or the skin of 500 mSv in a year

For apprentices (16-18 years of age)

- effective dose of 6mSv in a year.

## Dose Limits – ICRP 2011

### For occupational exposure of workers over the age of 18 years

- An effective dose of 20 mSv per year averaged over five consecutive years (100 mSv in 5 years), and of 50 mSv in any single year;
- An equivalent dose to the lens of the eye of 20 mSv in a year;
- An equivalent dose to the extremities (hands and feet) or the skin of 500 mSv in a year

### For apprentices (16-18 years of age)

- effective dose of 6mSv in a year.

## Harmful Tissue Effects

Radiation effects for which generally a threshold level of dose exists above which the severity of the effect is greater for a higher dose.

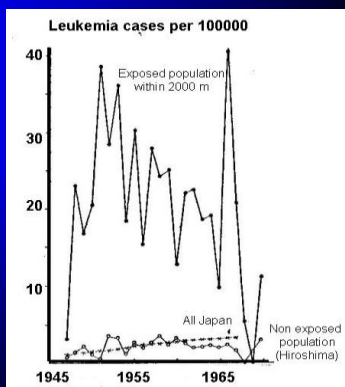
## Stochastic Effects

Radiation effects, generally occurring without a threshold level of dose, whose probability is proportional to the dose and whose severity is independent of the dose.

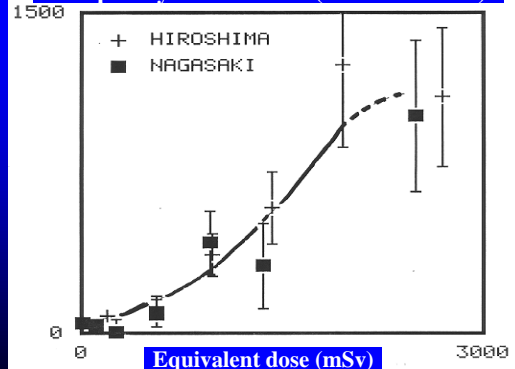
Cancer

Heritable Effects

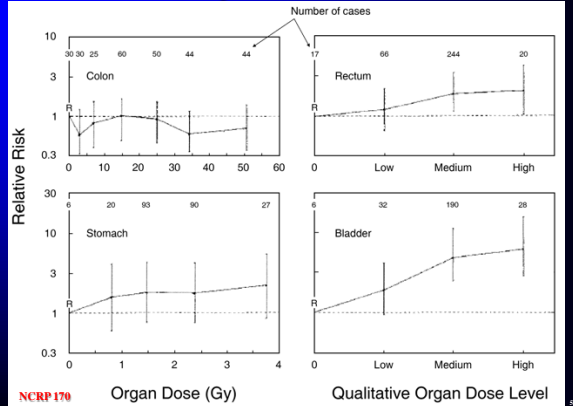
## Stochastic Effects of Ionizing Radiation



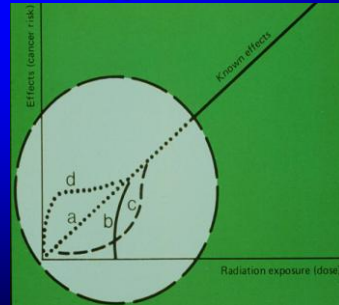
## Frequency of leukemia (cases/1 million)



### Cancers for $\geq 10$ y survivors of cervical cancer

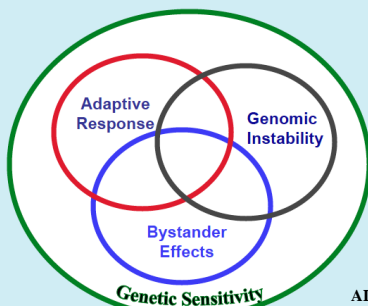


### What happens at the low-dose end of the graph?



For radiation protection purposes, ICRP has chosen a), acknowledging that below 100 mSv or 0.1 Gy no deleterious effects have been detected in humans.

### Biological Responses Induced by Low Doses of Radiation



AL Brooks 2012

### Dose and Dose-Rate Effectiveness Factor (DDREF)

A judged factor that generalizes the usually lower biological effectiveness [per unit of dose] of radiation exposures at low doses and low dose rates as compared with exposures at high doses and high dose rates)

ICRP is taking a value of 2 for the DDREF

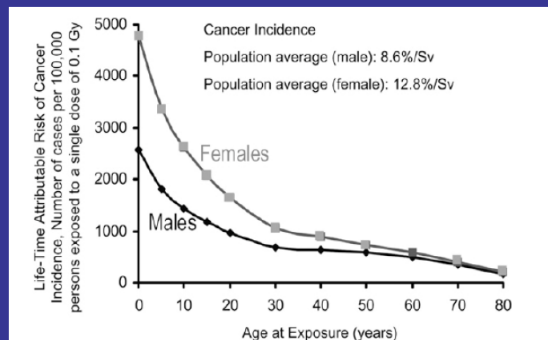
BEIR VII chose a value of 1.5

**ICRP Detriment-Adjusted Nominal Risk Coefficient for Cancer Induction**  
(ICRP 103, 2007)  
( $10^{-2} \text{ Sv}^{-1}$  – Percent per Sievert)

Exposed Population	Cancer Induction
Whole	5.5
Adult	4.1

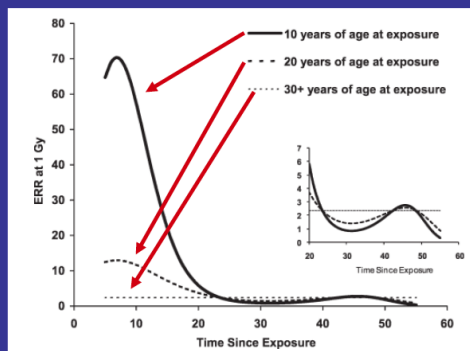
27

**Lifetime attributable risk of radiation-induced cancer incidence (based on BEIR VII)**



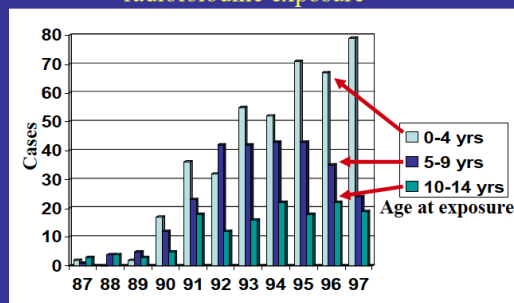
Hricak et al. Radiol 2010 258:3:889

**Leukemia (huge difference)**



Richardson et al. Rad Res 172:368-382 2009

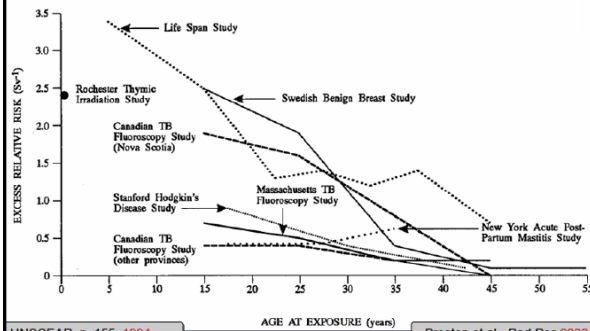
**Childhood thyroid cancer as a result of Chernobyl radioiodine exposure**



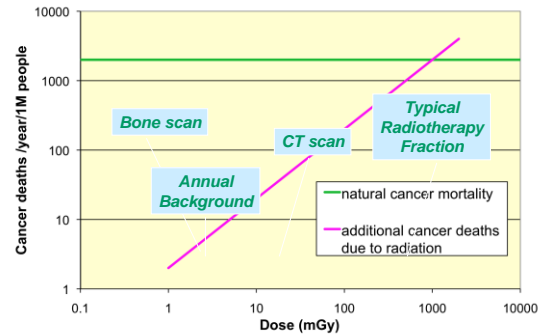
F Mettler 2012



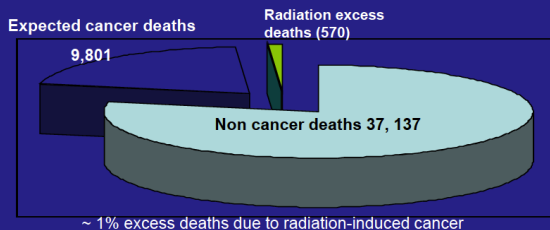
## Age at exposure response is similar in most radiation-induced breast cancer studies



## Scale of Radiation Exposures

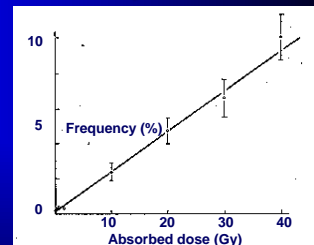
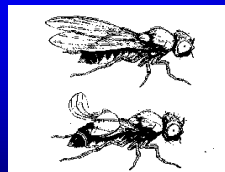


## Causes of Death in Atomic Bomb Survivors (2001)



## Genetic (Heritable) Effects

Fruit Fly Experiments - YES



**BUT**, intensive studies of 70,000 offspring of the atomic bomb survivors have failed to identify an increase in congenital anomalies, cancer, chromosome aberrations in circulating lymphocytes or mutational blood protein changes

**ICRP Detriment-Adjusted Nominal Risk  
Coefficient for Cancer and Heritable Effects  
(ICRP 103, 2007)**  
( $10^{-2}$  Sv<sup>-1</sup> – Percent per Sievert)

Exposed Population	Cancer Induction	Heritable Effects
Whole	5.5	0.2
Adult	4.1	0.1

**HERITABLE EFFECTS**

should not be confused with

**EFFECTS FOLLOWING  
IRRADIATION IN UTERO**

some of which are deterministic;  
some, stochastic

**IRRADIATION IN UTERO  
ICRP 103 (2007)**



End Point	Period	Dose Threshold	Normal incidence in live-born
Death	Pre-Implantation	100 mGy	---
Malformations	Major Organogenesis	100 mGy	1 in 17
Severe Mental Retardations	8 - 15 Weeks Post-Conception	300 mGy	1 in 200
Cancer Risk	In Utero Exposure	None*	1 in 1000

\* Lifetime cancer risk ~ 3 times that of the population as whole

**Principles of Radiation Protection**

General	Medical Exposure
<b>Justification of Practices</b>	
benefit to the exposed individuals or to society to outweigh the radiation detriment?	benefits and risks of available alternative techniques that do not involve ionizing radiation?
<b>Dose Limitation</b>	
for occupational and public exposure	not applicable to medical exposure
<b>Optimization of Protection</b>	
ALARA	dose minimum necessary to achieve the required diagnostic or therapeutic objective