

# Integrating knowledge in inverse treatment planning

## Wilko Verbakel,

Jim Tol, Max Dahele VU university medical center





## **Disclosures**

 Vumc has a research collaboration with Varian Medical Systems



## **IMRT (VMAT)**



## Inverse optimization

- Allows to create complex plans
- Irregular dose distributions
- Sparing of OAR

### But

- Requires new knowledge
- Many parameters to set
- Which leads to optimal plans?
- How optimal is needed?
  - -Pareto optimal?
  - -Very optimal: for comparison with IMPT





## **Knowledge in inverse treatment planning**

- Knowledge of patient anatomy
  - locations of OAR PTV
  - -PTV size
- Knowledge of past treatment plans, DVHs
  - -Library of plans accroding to institutional standard
  - -General correlations between OAR and PTV
- Knowledge of what should be achieved, for clinical relevance
- Knowledge of the optimizer
  - -Avoid trial and error in optimization
  - -Improve planning efficiency



## What is a good IMRT plan?



- Differences in Treatment planning systems
- Differences in optimizer versions
  - -Eclipse: v10 versus v8.9, continute previous opt. (cpo)
- Depends on experience of planner
- Depends on institutional clinical protocol
  - PTV minimum dose coverage
    - RTOG: >95% should receive PD
    - EORTC: >95% should receive 95% of PD
  - PTV dose homogeneity (Dmax?)
- Depends on physician's experience
  - Who decides on OAR dose for a specific patient?
  - Or pareto-optimal?



## Head and Neck: typical example

VUmc (1)

- Large PTVs
- Many OAR, parallel and serial
- Often 2-3 PTV dose levels
- Sequential boost versus SIB. SIB:
  - -One plan for all, but different dose levels
  - -Radiobiological conversion of lower  $PTV_E$  dose fractions Take into account overall treatment time (46Gy in 23fr or 54.25Gy in 35fr)
  - Entry and exit dose of boost can be used for lower dose levels.
  - -How to deal with transition zones between PTVs





## Static IMRT:

- multiple fields, high modulation per field
- Longer delivery times
- Step and shoot versus sliding window

VMAT:

- Rotational IMRT
- Continuous modulation of leaves
- Mostly no higher modulation from preferred directions
- Short delivery times
- More variation between vendors in optimization algorithm
- Interactive non-interactive optimization



## **SmartArc (Pinnacle, Philips)** optimization scheme

VUmc (1)

# - 15 field IMRT optimization

- 3 segments, then discard 1

- Distribute 30 segm. over arc
- Interpolate to 46 segments
- Further optimization
- Dose calculation for 92 segments



## **RapidArc optimization**



K.Otto, MedPhys 2008

- Different optimization strategies between versions
- Arc optimization by progressively increasing control points (up to 177)
  - start optimization (direct aperture) for few control points
  - As optimization progresses, new beams are inserted into the plan
- Dose (rate) and leaf positions are optimized at each control point;
- Sliding window interpolation
- Optimization by
  - DVH constraints
  - MU objective
  - Max leaf speed 2.5 cm/s → 0.5 cm per degree



## **Different VMATs**



- Different optimization strategies:
  - Direct aperture optimization (RapidArc)
  - -Segmented IMRT optimization, followed by further optimization (SmartArc)
- RapidArc: no gantry slow down for more modulation
- No interactive optimization possible:
  - -Start with initial guess of OAR sparing
  - Often multiple optimizations necessary
    - -Can be automated
- Interactive optimization possible:
  - -Find trade-off between OAR sparing and PTV coverage while optimizing
  - Adapt objectives accordingly

#### VOLUMETRIC INTENSITY-MODULATED ARC THERAPY VS. CONVENTIONAL IMRT IN HEAD-AND-NECK CANCER: A COMPARATIVE PLANNING AND DOSIMETRIC

STUDY

IJROBP 2009; 74: 252-9

WILKO F. A. R. VERBAKEL, PH.D.,\* JOHAN P. CULIPERS, PH.D.,\* DAAN HOFFMANS, B.SC.,\* MICHAEL BIEKER, M.D., PH.D.,\* BEN J. SLOTMAN, M.D., PH.D.,\* AND SURESH SENAN, M.R.C.P., F.R.C.R., PH.D.\*

- 12 patients treated with 7-field sliding window IMRT
- Compare with RapidArc plans using 1and 2 arcs
- Film dosimetry in 3-5 coronal planes of QA phantom
- ⇒ 60% reduction in MU achieved (1108 to 439 MU)
- ⇒ comparable or better sparing of the organs at risk
- double arc plans improved dose homogeneity to PTVs
  - V95 =99.4% (IMRT: 98.8%), V107 = 0.2% (IMRT: 0.8%)
- ⇒ film measurements showed good agreement
  - Better than for IMRT
- ⇒ delivery time 73 seconds per arc



# I arc versus 2 arc RA:VUmcdose homogeneity improves



## **DVH: single arc versus double**



 2 arcs RA higher dose homogeneity in PTV Slightly better sparing of OAR

## VMAT for H&N

![](_page_13_Picture_1.jpeg)

- In 2008 at Vumc: large variation between 8 planners
- Individual preferences of different planners
- Often replanning needed
- → Systematic evaluation of optimal optimization:
  - → How to get (close to) pareto optimal plans
- Standardization of optimization
  - Choice of location and number of objectives
  - Priorities
  - -How to deal with overlap
  - -How to deal with different PTV doses
- Original time investment pays back in clinical cases
- Knowledge of VMAT optimizer

![](_page_13_Picture_14.jpeg)

## **Knowledge of OAR position**

![](_page_14_Picture_1.jpeg)

- Example: parotid glands
- Where to put objectives?
- Potentially, calculate overlapping parts: above PTV, inside boost PTV, inside elective PTV

![](_page_14_Figure_5.jpeg)

![](_page_14_Picture_6.jpeg)

## **"Standard" constraint set**

![](_page_15_Picture_1.jpeg)

- PTVb: 69 / 71 Gy (p=130)
- PTVe: 57 / 58.5 Gy (p=130)
- Standard ring
- SC/brainstem (p=120)
- Shoulders
- PG-IL, PG-CL: (p=75)
- Adapt PG during first few minutes
- PG always tighter than DVF
- Exact location of OAR objectives not so important

![](_page_15_Figure_11.jpeg)

![](_page_15_Picture_12.jpeg)

## PG objectives not tight enough VUmc

![](_page_16_Figure_1.jpeg)

## **Tighter constraints, more PG** +SC sparing

![](_page_17_Figure_1.jpeg)

VUmc (1)=

## More PG and SC sparing (right)

![](_page_18_Picture_1.jpeg)

## **Optimization objectives**

![](_page_19_Figure_1.jpeg)

![](_page_19_Picture_2.jpeg)

VUmc (1)=

## **Introduction of more OAR**

![](_page_20_Picture_1.jpeg)

- 2008: parotid glands (+spinal cord, brainstem)
  - -Oral cavity and other OAR by general ring structures
- 2009: sparing of Submandibular glands
- 2009: lower spinal cord dose if possible
- 2011: sparing of swallowing structures
- Sparing is possible
- Sometimes small underdosage of PTV locally
- Influence sparing new OAR on "old" OAR?
- "Dose dumping" elsewhere?

![](_page_20_Picture_11.jpeg)

# SMG-sparing versus non-sparing VUmc (

![](_page_21_Figure_1.jpeg)

![](_page_21_Picture_2.jpeg)

# SMG-sparing versus non-sparing VUmc (

![](_page_22_Figure_1.jpeg)

▲ clinical plan non-sparing plan

Now also sparing of swallowing structures Acceptance of more dose spread to posterior neck Locally PTV underdosage

![](_page_22_Picture_4.jpeg)

![](_page_23_Picture_0.jpeg)

## Local PTV underdosage

- Not always visible in DVH
- More OAR, more sparing → more underdosage
   Solutions
- Local PTV expansion (+2mm)
  - -Also results in slightly higher OAR dose
- Extra local separate PTV in optimization:
  - PTV near OAR (OAR + 5mm expansion)
  - Extra minimum dose objective

![](_page_23_Picture_9.jpeg)

## **Avoid local PTV underdosage**

![](_page_24_Figure_1.jpeg)

![](_page_24_Figure_2.jpeg)

![](_page_24_Figure_3.jpeg)

![](_page_24_Picture_4.jpeg)

## **Effect of more OAR sparing**

![](_page_25_Picture_1.jpeg)

## • No dose increase for other OAR (PG, SMG)

![](_page_25_Figure_3.jpeg)

![](_page_25_Picture_4.jpeg)

# **More sparing of OAR:** loss of PTV coverage

![](_page_26_Figure_1.jpeg)

VUmc (1)

## **Knowledge of past plans**

![](_page_27_Picture_1.jpeg)

- Library of good plans
- Relationship of geometry and achievable OAR dose
- Match new patient with model
- What about Pareto-optimality of library plans?
- Aim for best plans in library
- Differences in plan acceptance:
  - -V95: from 95-99% of PD, or D95 = PD
  - -Which maximum dose in PTV (V107, D2)
  - Between centers, between clinical studies: RTOG, EORTC, ...
- How does dose homogeneity to PTV influence OAR sparing?

## Trade-off between PTV – OAR dose VUmc (

- 10 H&N patients (54.25Gy with SIB to 70Gy)
- RapidArc (Eclipse v10), 2arcs
- All plans CPO (continue previous optimization)
  - Proven to improve PTV dose homogeneity
- Multiple plans (13) per patient
- Spinal cord and brainstem +PRV Dmax < 48Gy
- Decreasing PTV priority (200-80)
- OAR priority constant 85, institutional interactive optimization
- Salivary OAR (PG, SMG, if mostly outside PTV)
- Swallowing OAR (indicated by clinician)
- $\mathsf{D}_{\mathsf{mean}}$  to salivary and to swallowing OAR

## Trade-off between PTV – OAR dose VUmc (

## Example of increased OAR sparing

![](_page_29_Figure_2.jpeg)

![](_page_29_Picture_3.jpeg)

Some structures are unapproved or rejected

## Trade-off between PTV – OAR dose VUmc

- PTV dose homogeneity = 1 V95 + V107
- Average  $\mathsf{D}_{\text{mean}}$  swallowing and  $\mathsf{D}_{\text{mean}}$  salivary

![](_page_30_Figure_3.jpeg)

![](_page_30_Picture_4.jpeg)

## **Effect of PTV dose homogeneity**

![](_page_31_Figure_1.jpeg)

![](_page_31_Picture_2.jpeg)

![](_page_31_Figure_3.jpeg)

![](_page_31_Picture_4.jpeg)

VUmc (1)

## **Distance measure – OAR dose at IH<sub>B</sub>=5%** VUmc (

# DM= adapted Euclidean distance OAR-PTV → Predict achievable OAR sparing at certain IH<sub>B</sub>

![](_page_32_Figure_2.jpeg)

![](_page_32_Picture_3.jpeg)

## **Further improving VMAT**

![](_page_33_Picture_1.jpeg)

### • Effect of more arcs (2, 4, 6, 8)

![](_page_33_Figure_3.jpeg)

![](_page_33_Picture_4.jpeg)

# Knowledge for automated planning VUmc (ME

- Effect of choosing very homogeneous PTV dose
   Choose priorities accordingly
- Distance measure of OAR-PTV
  - Works for PG IL, PG CL, SMG, swallowing OAR separately
- For chosen PTV dose homogeneity, predict possible OAR doses
- → Not needed to have a library of good plans, but library of plans exploring all trade-offs

![](_page_34_Picture_6.jpeg)

## **Automatic planning strategies**

![](_page_35_Picture_1.jpeg)

- Using prior knowledge of patients
- Iteratively running optimizations, increasingly sparing OAR
- Theoretical work on smart multi-criteria optimization

![](_page_35_Picture_5.jpeg)

## Yuan L, Med Phys 2012

- Duke University mc, USA
- Automate VMAT or IMRT for H&N
- Library of previous patients: distance to target histogram
- Correlation between OAR-PTV geometry and OAR DVH
- For prostate and H&N IMRT
- Prediction of OAR DVH
- Use to set objectives in optimizer
- Requires library of **optimal** plans

![](_page_36_Picture_9.jpeg)

![](_page_36_Figure_10.jpeg)

![](_page_36_Picture_11.jpeg)

## Voet PWJ, IJROBP 2012

![](_page_37_Picture_1.jpeg)

- Erasmus mc, Netherlands
- Automated multicriteria IMRT plan generation for H&N
- iCycle: beam angle and fluence profile optimization
- Create Pareto-optimal plans by first satisfying most important objective, then next, etc. stopping when deteriorating a more important objective

Constraints			
	Volume	Type	Limit
	PTV	Maximum	107% of prescribed dose
	Spinal cord	Maximum	48 Gy*
	Unspecified tissue	Maximum	107% of prescribed dose
Objectives			
Priority	Volume	Туре	Goal
1	PTV	<b>LTCP</b>	1
2	Parotid/SMG	↓Mean	39 Gy
3	Parotid/SMG	↓Mean	20 Gy
4	Oral cavity	↓Mean	39 Gy
5	Spinal cord/brain stem	↓ Maximum	30 Gy
6	External ring <sup>†</sup>	↓Maximum	90% of prescribed dose
7	Larynx + swallowing muscles	↓Mean	75% of prescribed dose
8	PTV shell 1 cm <sup>‡</sup>	↓Maximum	75% of prescribed dose
9	Parotid/SMG	↓Mean	10 Gy
10	PTV shell 4 cm <sup>‡</sup>	↓Maximum	40% of prescribed dose
11	Parotid/SMG	1 Mean	2 Gy

Final step: optimization by Monaco (for IMRT / VMAT)

![](_page_37_Picture_8.jpeg)

## Wu B, Med Phys 2013

![](_page_38_Picture_1.jpeg)

- Johns Hopkins, USA
- Automate VMAT or IMRT for H&N
- Model based automated planning. Requires database of previous patients to determine location of optimization objectives for new pt
- Overlap Volume Histogram for 3D spatial relationship between OARs and PTVs
- Pinnacle + C++ subroutines
- Generate objectives for VMAT optimization

![](_page_38_Picture_8.jpeg)

## Quan EM, IJROBP 2012

![](_page_39_Picture_1.jpeg)

- MD Anderson, USA
- Automated VMAT for st III lung cancer
- Uses Smartarc module (Pinnacle)
- Multiple optimizations

   necessary,
   progressively
   increasing OAR weights
- Long planning times

![](_page_39_Figure_7.jpeg)

# **Acknowledgement**

![](_page_40_Picture_1.jpeg)

- VUmc: Jim Tol, Max Dahele, Ilonka Lischer, Ben Slotman
- Duke university: Jackie Wu

![](_page_40_Picture_4.jpeg)

![](_page_40_Picture_5.jpeg)