# The Promise and Pitfalls of Mechanistic Modeling in Radiation Oncology

## Robert D. Stewart, Ph.D.

Associate Professor of Radiation Oncology University of Washington School of Medicine Department of Radiation Oncology 1959 NE Pacific Street Seattle, WA 98195-6043 206-598-7951 office 206-598-6218 fax trawets@uw.edu



Presented at the 2013 AAPM Conference as part of the symposium

#### How Do We Couple Quantitative Imaging and Models of Tumor Response to Improve Multimodality Therapy?

**Date and Time:** Tuesday August 6, 2013, 2:00 pm to 3:50 pm **Location:** Indianapolis, IN (Wabash Ballroom)

© University of Washington Department of Radiation Oncology

# Conclusions

- Local tumor control probability (TCP) modeling is not sufficiently accurate to provide patient- and tumor-specific guidance
  - Cannot know  $\alpha$ ,  $\alpha/\beta$  and the pre-treatment number of tumor cells ( $\rho V$ ) with sufficient accuracy
- But... relative patient- and tumor-specific guidance derived from isoeffect calculations may very well be useful and practical
  - Effects of (1) fraction size, (2) total dose, (3) particle linear energy transfer (LET), and (4) oxygen-related radiation resistance

## **Radiation Biology is Complex**



# The LQ in Radiation Therapy

**Inaccurate and too simplistic** (compared to known biology)

$$S(D) = \exp\left(-\alpha D - \beta G D^2\right)$$
**Dose-rate and dose-fractionation effects (**"dose protraction factor")
**one-hit damage inter-track damage interaction**

Parameters (e.g.,  $\alpha$  and  $\beta$ ) derived from analysis of clinical outcomes are uncertain and averaged over a <u>heterogeneous</u> tumor and patient population

JF Fowler, R Chappell, M Ritter, IJROBP **50**, 1021-1031 (2001)

$$\alpha = 0.039 \text{ Gy}^{-1}$$
  
 $\alpha/\beta = 1.49 \text{ Gy}$   
 $S = 1.159 \times 10^{-3} (37 \times 2 \text{ Gy})$ 

JZ Wang, M Guerrero, XA Li, IJROBP **55**, 194-203 (2003)

> $\alpha = 0.15 \text{ Gy}^{-1}$  (4X higher)  $\alpha/\beta = 3.1 \text{ Gy}$  (2X higher)  $S = 2.677 \times 10^{-8}$  (10<sup>4</sup> smaller)

### SF for a Heterogeneous Cell Population



Can't use a single (*average*) set of LQ radiation sensitivity parameters ( $\alpha$ ,  $\alpha/\beta$ ) to predict overall shape of doseresponse curve

 $S \neq \exp(-\alpha D - \beta G D^2)$ 

Five Reasons (many others possible)

- Genomic Instability
- Repair
- Repopulation
- Reassortment
- Reoxygenation



# Local Tumor Control Probability (TCP)

In the Poisson TCP model, the distribution of the <u>number</u> of tumor cells that survive a treatment is modeled as

 $\mathbf{TCP} = \exp\{-\rho VS(D)\}$ 

product  $\rho V$  = pre-treatment number of tumor cells

Typical uncertainty in  $\rho V$ ? factor of 10<sup>3</sup> to 10<sup>6</sup>!

# Will even multi-modality imaging ever get us to a sufficiently accurate estimate of the number *and* spatial distribution of tumor cells?

CT imaging  $\rightarrow$  uncertainty in manually draw GTV and CTV contours

PET imaging  $\rightarrow$  How is SUV related to cell density?

Do tightly packed tumor cells produce a different MR signal than normal tissue?

Can single- or multi-modality imaging differentiate *cancer stem cells* from the less important cells that make up the bulk of the GTV?

### Accuracy of TCP Modeling (The Pitfall...)



Even small levels of uncertainty in the biological parameters ( $\alpha$  and  $\alpha/\beta$ ) have a large impact on our ability to predict the TCP for individual patients

#### **Reproductive Death as a Surrogate for TCP?**



# **Methods – A Multiscale Approach**

### Monte Carlo Damage Simulation (MCDS)

- Effects of LET and Oxygen on DNA double strand break (DSB) induction
- Microdosimetry (lineal energy, frequency-mean specific energy, CSDA range)

## Repair-Misrepair-Fixation (RMF) Model

- Motivated by the breakage and reunion theory of chromosomal aberrations
- Coupled system of non-linear differential equations link DSB induction to the formation of lethal and non-lethal chromosomal aberrations
- RMR (CA Tobias) and LPL (S Curtis) models (circa -1980-1985) are special cases of the RMF
  - In the RMF, DSB induction is modeled with a compound Poisson distribution instead of a Poisson distribution (LPL and RMR models)

R.D. Stewart, V.K. Yu, A.G. Georgakilas, C. Koumenise, J.H. Park, D.J. Carlson, *Radiat. Res.* **176**, 587-602 (2011). D.J. Carlson, R.D. Stewart, V.A. Semenenko and G.A. Sandison, *Rad. Res.* **169**, 447-459 (2008)

# **RMF Model** $\rightarrow$ **LQ Formula**

### LQ model is a low dose approximation to the RMF system of non-linear differential equations<sup>(Carlson et al. 2008)</sup>



 $\theta$ ,  $\kappa$  are *adjustable cell- or tissue-specific* parameters related to the biological processing of DNA damage (*independent* of LET and O<sub>2</sub> concentration)

 $\Sigma$  is the number of DSB Gy<sup>-1</sup> Gbp<sup>-1</sup> (or per cell) – (*strong* function of LET and O<sub>2</sub> concentration)

 $\overline{z}_F$  is the frequency-mean specific energy (in Gy) for the cell nucleus (*strong* function of LET but independent of O<sub>2</sub> concentration)

D.J. Carlson, R.D. Stewart, V.A. Semenenko and G.A. Sandison, Rad. Res. 169, 447-459 (2008)

# Results-1 Human Kidney T1 Cells (aerobic)



Measured data from Barendsen circa 1960-1966

**Solid line:** two-parameter model fit to survival data ( $\theta = 3.07 \times 10^{-2}$  Gbp/DSB,  $\kappa = 7.05 \times 10^{-4}$  Gbp/DSB).

**Dashed lines:** <u>predicted</u> surviving fraction for higher LET radiations – estimate of  $\theta$ and  $\kappa$  from x-ray data

MCDS used to compute  $\Sigma$  and  $\overline{z}_F$  from "first principles."

#### Results-2 Human Kidney T1 Cells (anoxic)



 $\alpha = \theta \Sigma + \kappa z_F \Sigma^2$  $\beta = \frac{\kappa}{2} \Sigma^2$ 

 $\kappa$  and θ determined from survival data for cells exposed to *x-rays* under aerobic conditions (i.e., same as previous slide). Use MCDS to compute Σ for anoxic conditions.

### **Patient-Specific TCP Guidance?**

Even small levels of uncertainty in the biological parameters ( $\alpha$  and  $\alpha/\beta$ ) have a large impact on our ability to predict the TCP for individual patients

# Is there a clever way to overcome the uncertainty?



## **Iso-TCP Calculations** $\leftrightarrow$ Equivalent Tumor Dose

# What dose should be delivered to achieve the same level of local control as another treatment?

Reference Treatment Alternate Treatment  $TCP(D_R) = TCP(D)$ 

 $\exp(-\rho VS(D_R)) = \exp(-\rho VS(D)) \quad Poisson \ TCP \ model$  $\rho = cell \ density \ (\# \ cm^{-3}) \qquad V = tumor \ volume \ (cm^{3})$ 

When comparing or ranking plans for the same patient,  $\rho V$  may be considered modality and plan independent constants (same number of diseased cells regardless of treatment modality and plan).

> $S(D_R) = S(D)$  Two biological parameters ( $\rho$  and V) eliminated from modeling process (*uncertainty in \rho V doesn't matter!*)

#### For individual patients, iso-TCP = iso-(cell survival)

### **Iso-Survival Formula**

Reference Treatment = Alternate Treatment  

$$S(D_R) = S(D)$$

$$\exp(-\alpha D_R - \beta G D_R^{-2}) = \exp(-\alpha D - \beta G D^2)$$

$$G \text{ is the dose protraction factor}$$

$$\int \text{Take logarithm, apply quadratic formula}$$

$$D = \frac{n}{2} (\alpha / \beta) \left\{ -1 + \sqrt{1 + \frac{4D_R}{n(\alpha / \beta)}} \left(1 + \frac{D_R}{n_R(\alpha / \beta)}\right) \right\}$$

**Reference Treatment** ("clinical experience")  $D_R = \text{total dose (Gy)}$  $n_R = \text{number fractions}$  $d_R = D_R/n_r$  (fraction size) *New (alternate) Treatment n* = desired number fractions

Uncertainty in *D* arises from uncertainties associated with  $\alpha/\beta$ .

# Equivalent Prostate Tumor Doses – Effects of Uncertainty in $\alpha/\beta$



# **Clinical Application of the MCDS+RMF?**

- MCDS+RMF is a useful, mechanistic system of models to link DSB induction to reproductive cell death *in vitro*
  - Independent testing of the MCDS against measured data for the number of DSB
  - Additional testing of the MCDS+RMF against cell survival data
  - Substantial predictive power with *two adjustable parameters* (θ and κ). Effects of *fraction size, dose rate, total dose, LET and oxygen-related radiation resistance*

### Virtual Clinical Trials

- Fit  $\theta$  and  $\kappa$  to *clinical data* for x-rays (100+ years of experience)
- Use *isoeffect calculations* to compare *relative effectiveness* of alternate plans and modalities (IMRT, IMPT, SBRT, ...)
- Use quantitative imaging to help quantify spatial variations in  $\alpha$  and  $\alpha/\beta$  and among patients (*imaging as a surrogate endpoint*) predictions becomes more individualized (and accurate?)

### MV x-ray RT → Proton RT (5 cm SOBP)



M.C. Frese, V.K. Yu, R.D. Stewart, D.J. Carlson, A Mechanism-Based Approach to Predict the Relative Biological Effectiveness of Protons and Carbon Ions in Radiation Therapy, *Int. J. Radiat. Oncol. Biol. Phys.*, **83**, 442-450 (2012)

### MV x-ray $RT \rightarrow {}^{12}C$ ion RT (5 cm SOBP)



M.C. Frese, V.K. Yu, R.D. Stewart, D.J. Carlson, A Mechanism-Based Approach to Predict the Relative Biological Effectiveness of Protons and Carbon Ions in Radiation Therapy, *Int. J. Radiat. Oncol. Biol. Phys.*, **83**, 442-450 (2012)

# Food for Thought (Discussion)

- Is it reasonable to use a surrogate endpoint, such as reproductive cell death, to provide patient-specific guidance on the effects of one treatment <u>relative</u> to another (isoeffect calculation)?
  - Equivalent tumor doses?
  - Equivalent tolerance doses for normal tissue?
- How best might we derive patient-specific estimates of radiation sensitivity parameters (e.g., θ and κ) from multi-modality imaging before, during and/or after treatment?
  - Biology is not static

# **Selected Publications and Posters**

- D Corwin, C Holdsworth, R Rockne, **RD Stewart**, M Phillips, KR Swanson, Optimizing Radiotherapy for Glioblastoma Using A Patient-Specific Mathematical Model. AAPM Poster SU-E-T-295
- C Kirkby, E Ghasroddashti, Y Poirier, M Tambasco, RD Stewart, Monte Carlo Simulations of Relative DNA Damage From KV CBCT Radiation *Phys. Med. Biol.* 58, 5693-5704, 2013. AAPM poster SU-E-T-495
- A.G. Georgakilas, P. O'Neill, and R.D. Stewart, Induction and Repair of Clustered DNA Lesions: What Do We Know So Far? *Radiat. Res.* 180, 100-109 (2013)
- S Streitmatter, R Stewart, G Sandison, Relative Biological Effectiveness (RBE) of Protons in Pristine Bragg Peaks. AAPM talk WE-E-108-3 Wednesday 2:00PM - 3:50PM Rm 108
- M.C. Frese, V.K. Yu, R.D. Stewart, D.J. Carlson, A Mechanism-Based Approach to Predict the Relative Biological Effectiveness of Protons and Carbon Ions in Radiation Therapy, *Int. J. Radiat. Oncol. Biol. Phys.*, 83, 442-450 (2012)
- **R.D. Stewart**, V.K. Yu, A.G. Georgakilas, C. Koumenise, J.H. Park, D.J. Carlson, Effects of Radiation Quality and Oxygen on Clustered DNA Lesions and Cell Death, *Radiat. Res.* 176, 587-602 (2011)
- D.J. Carlson, R.D. Stewart, V.A. Semenenko and G.A. Sandison, Combined use of Monte Carlo DNA damage simulations and deterministic repair models to examine putative mechanisms of cell killing. *Rad. Res.* 169, 447-459 (2008)
- Y Hsiao and R.D. Stewart, Monte Carlo Simulation of DNA Damage Induction by X-rays and Selected Radioisotopes. *Phys. Med. Biol.* 53, 233-244 (2008)

#### http://faculty.washington.edu/trawets/