



Grant Management, Reporting, and Public Access Requirement

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Making Cancer History®

The Notice of Award (NoA)

- Legally Binding Document from the Federal Government
- Identifies grant number, grantee, PI/PD
- Establishes funding level and period of approved support

- Sets forth terms and conditions
- Includes NIH Contact Information for assigned Program Director & Grants Management Specialist
- Available in eRA Commons

The Notice of Award (NoA)

- **QUESTION: How many have actually read all of it?**
- A few key areas:
 - Make sure it went to the right place
 - Confirm your understanding of the time period and funding provided
 - Understand overarching policies and regulations
 - Special consideration for the terms of award
 - The Institute/Center contact information (Program Director and Grants Specialist)
 - Call/contact your office of grants & contracts

NIH Grants Policy Statement

❖ http://grants.nih.gov/grants/policy/nihgps_2012/



The screenshot shows the NIH Office of Extramural Research website. The main navigation bar includes links for HOME, ABOUT GRANTS, FUNDING, FORMS & DEADLINES, GRANTS POLICY (highlighted), eRA, NEWS & EVENTS, and ABOUT OER. A search bar and a link to the Glossary & Acronyms are also present. The page title is "Grants & Funding". The left sidebar lists various policy areas, with "Policy & Guidance" selected. The main content area displays the "NIH Grants Policy Statement (10/12)" with a "Table of Contents" section. The table of contents includes links for "Introduction", "Part I: NIH Grants—General Information", "1 Glossary", "1.1 Abbreviations", "1.2 Definitions of Terms", "2 The National Institutes of Health as a Grant-Making Organization", "2.1 Roles and Responsibilities", "2.1.1 NIH and HHS Staff", "2.1.2 Grantee Staff", and "2.2 eRA Commons".

NIH National Institutes of Health
Office of Extramural Research

Search 

Glossary & Acronyms

HOME ABOUT GRANTS FUNDING FORMS & DEADLINES GRANTS POLICY eRA NEWS & EVENTS ABOUT OER

Grants & Funding

Grants Policy

- Policy & Guidance
- Compliance & Oversight
- Research Involving Human Subjects
- Office of Laboratory Animal Welfare (OLAW)
- Animals in Research
- Peer Review Policies & Practices
- Intellectual Property Policy
- Acknowledging NIH Funding
- Invention Reporting (iEdison)
- NIH Public Access
- Research Integrity

NIH Grants Policy Statement

(10/12)

Table of Contents

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NIH Grants Policy Statement (10/1/2012) - Effective for all NIH grants and cooperative agreements with budget periods beginning on or after *October 1, 2012*. See [10/1/2011 NIHGPS](#) for prior budget periods. See [NOT-OD-12-157](#) for description and notable changes since the 2011 version. See also the [Significant Changes document](#) (MS Word - 91 KB) which summarizes the significant changes in this revision of the NIH GPS.

Download PDF File - A PDF file containing the NIHGPS is available for viewing, searching and/or printing. You may download the [Complete NIHGPS in a Single File](#) (PDF - 2.8 MB).

Introduction

Part I: NIH Grants—General Information

- 1 Glossary
 - 1.1 Abbreviations
 - 1.2 Definitions of Terms
- 2 The National Institutes of Health as a Grant-Making Organization
 - 2.1 Roles and Responsibilities
 - 2.1.1 NIH and HHS Staff
 - 2.1.2 Grantee Staff
 - 2.2 eRA Commons

NIH Grants Policy Statement

2.5.1 Just-in-Time Procedures

2.5.2 Submitting Revised Project Summary/Abstracts, Specific Aims, and/or Public Health Relevance Statement

2.5.3 Determining Applicant Organization Eligibility

2.5.4 Determining Eligibility of Individuals

2.5.5 Cost Analysis and Assessment of Management Systems

Part II: Terms and Conditions of NIH Grant Awards

Subpart A: General

3 Overview of Terms and Conditions

3.1 Federalwide Standard Terms and Conditions for Research Grants

3.2 NIH Standard Terms of Award

4 Public Policy Requirements, Objectives and Other Appropriation Mandates

4.1 Public Policy Requirements and Objectives

4.1.1 Animal Welfare Requirements

4.1.1.1 Animal Welfare Assurance Requirements

4.1.1.2 Verification of IACUC Approval

4.1.1.3 Consortia

4.1.1.4 Foreign Grantees and Foreign Performance Sites

Research Performance Progress Report (RPPR)

- **RPPR:** Research Performance Progress Report
- **SNAP:** Streamlined Non-Competing Award Process
- Introduction and proliferation of the RPPR
 - RPPR Required for: SNAP, Fellowship, Multi-Year Funded (ex. R15)
 - All others have the option of paper-submission utilizing the PHS 2590...for now
 - Anticipated to be required for all non-SNAP progress reports by October 2014
- <http://grants.nih.gov/grants/rppr/>

SNAP RPPRs

- To be submitted via the eRA Commons no later than 45 days prior to the listed start date
- Detailed budgets not required
- Does not require submission of IRB and/or IACUC approval dates
- Federal Financial Report due at end of competing segment

- There are still the “SNAP questions:”
 - Change in Other Support
 - Change in effort for key personnel
 - **Reported unobligated balance greater than 25%**

What can delay the Award process?

- Late submission of the progress report
- Inadequate description of progress
- Missing information for Key Personnel
- Out-of-date IRB/IACUC approvals
- Lack of population data for clinical trials
- Budgets with inadequate justification
- Other Support for an individual that exceeds 12 CM (100%)

Requesting a carryover

- Requires a timely, written request from the grantee including a detailed budget page and budget justification
- Justification requires a rationale for why funds were not spent in the year in which they were awarded and how they will be spent in the next year
- Justification must be tied to the scientific aims of the project
- Be sure you have a timely Federal Financial Report submission

Closeout: Final Reports

- Final Progress Report
- Final Invention Statement
- Final Federal Financial Report (FFR)

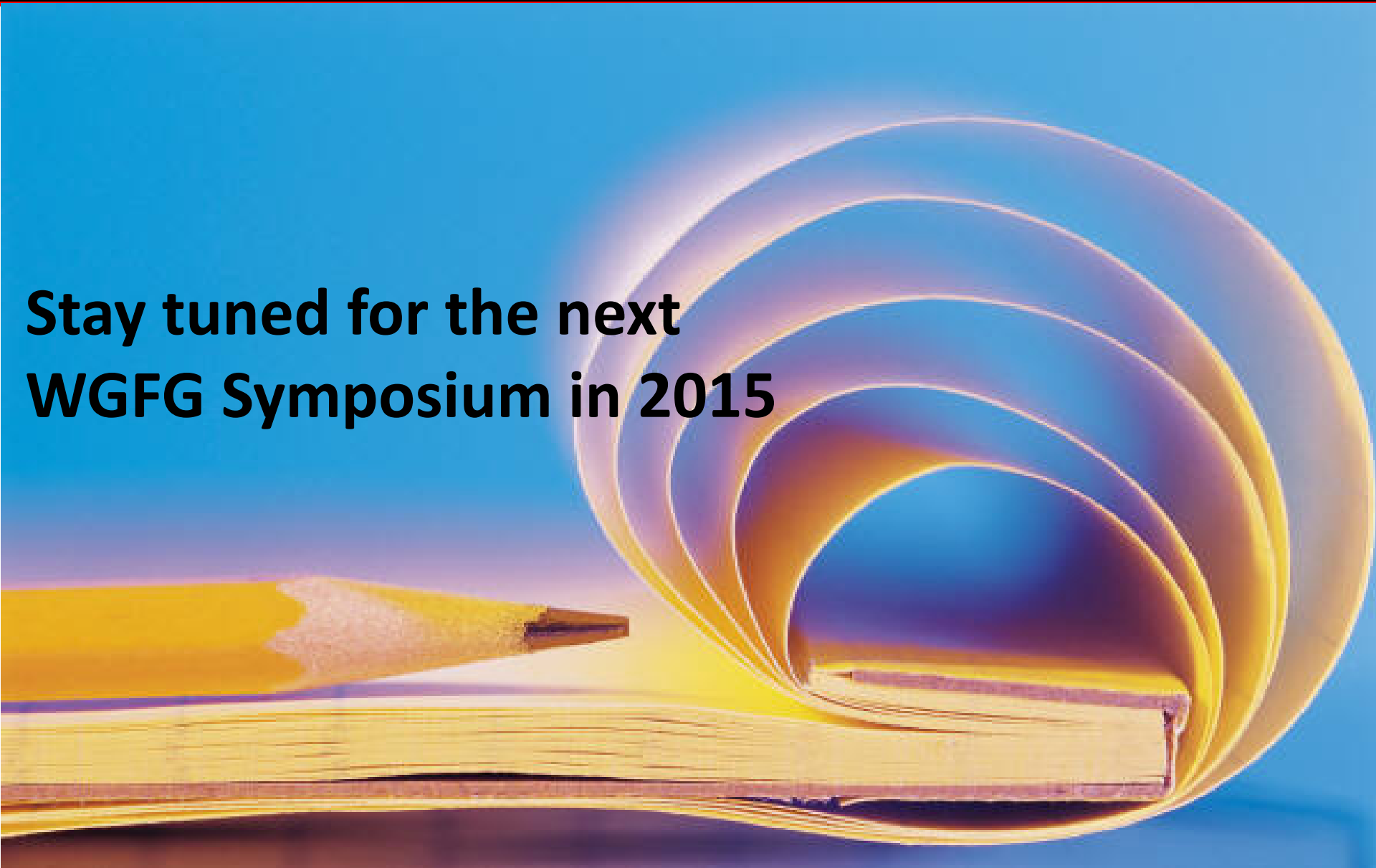
Failure to submit timely and accurate closeout reports may affect future funding!

90-day requirement is a term and condition of NIH grant awards

- Grantees must ensure there are no discrepancies between the final FFR expenditure data (in eRA Commons) and the FFR cash transaction data in the Payment Management System.

NIH Public Access Policy

**Stay tuned for the next
WGFG Symposium in 2015**



NIH Public Access Policy

- For non-competing continuation grant awards with a start date of **July 1, 2013** or beyond
- NIH will **delay the processing of an award** if publications arising from it are not in compliance with the NIH Public Access Policy.
- Investigators will need to use **My NCBI** to enter articles onto progress reports.
- <http://publicaccess.nih.gov/>

NIH Public Access Policy

When and How to Comply

1 Preparing a manuscript

Address copyright

[show me](#)

2 Accepted for publication

Post it to PubMed Central and track it in My NCBI

[show me](#)

3 Reporting to NIH

Include PMCID in citations

[show me](#)

Overview:

To advance science and improve human health, NIH makes the peer-reviewed articles it funds publicly available on [PubMed Central](#). The NIH public access policy requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMed Central immediately upon acceptance for publication. [\[more\]](#)

Show me specific instructions for my publication



1 NIHMS overview



2 My NCBI overview



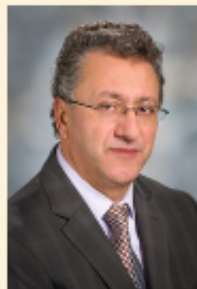
3 My Bibliography overview



4 Public Access Compliance

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WGFG



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Q&A SESSION