Grant Management, Reporting, and Public Access Requirement

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The Notice of Award (NoA)

- Legally Binding Document from the Federal Government
- Identifies grant number, grantee, PI/PD
- Establishes funding level and period of approved support
- Sets forth terms and conditions
- Includes NIH Contact Information for assigned Program Director & Grants Management Specialist
- Available in eRA Commons
The Notice of Award (NoA)

- QUESTION: How many have actually read all of it?

- A few key areas:
  - Make sure it went to the right place
  - Confirm your understanding of the time period and funding provided
  - Understand overarching policies and regulations
  - Special consideration for the terms of award
  - The Institute/Center contact information (Program Director and Grants Specialist)
  - Call/contact your office of grants & contracts
NIH Grants Policy Statement

2.5.1 Just-in-Time Procedures

2.5.2 Submitting Revised Project Summary/Abstracts, Specific Aims, and/or Public Health Relevance Statement

2.5.3 Determining Applicant Organization Eligibility

2.5.4 Determining Eligibility of Individuals

2.5.5 Cost Analysis and Assessment of Management Systems

Part II: Terms and Conditions of NIH Grant Awards

Subpart A: General

3 Overview of Terms and Conditions

3.1 Federalwide Standard Terms and Conditions for Research Grants

3.2 NIH Standard Terms of Award

4 Public Policy Requirements, Objectives and Other Appropriation Mandates

4.1 Public Policy Requirements and Objectives

4.1.1 Animal Welfare Requirements

4.1.1.1 Animal Welfare Assurance Requirements

4.1.1.2 Verification of IACUC Approval

4.1.1.3 Consortiums

4.1.1.4 Foreign Grantees and Foreign Performance Sites
Research Performance Progress Report (RPPR)

• **RPPR**: Research Performance Progress Report
• **SNAP**: Streamlined Non-Competing Award Process

• Introduction and proliferation of the RPPR
  • RPPR Required for: SNAP, Fellowship, Multi-Year Funded (ex. R15)
  • All others have the option of paper-submission utilizing the PHS 2590...for now
    • Anticipated to be required for all non-SNAP progress reports by October 2014

SNAP RPPRs

• To be submitted via the eRA Commons no later than 45 days prior to the listed start date
• Detailed budgets not required
• Does not require submission of IRB and/or IACUC approval dates
• Federal Financial Report due at end of competing segment

• There are still the “SNAP questions:”
  ▪ Change in Other Support
  ▪ Change in effort for key personnel
  ▪ Reported unobligated balance greater than 25%
What can delay the Award process?

• Late submission of the progress report
• Inadequate description of progress
• Missing information for Key Personnel
• Out-of-date IRB/IACUC approvals
• Lack of population data for clinical trials
• Budgets with inadequate justification
• Other Support for an individual that exceeds 12 CM (100%)
Requesting a carryover

- Requires a timely, written request from the grantee including a detailed budget page and budget justification
- Justification requires a rationale for why funds were not spent in the year in which they were awarded and how they will be spent in the next year
- Justification must be tied to the scientific aims of the project
- Be sure you have a timely Federal Financial Report submission
Closeout: Final Reports

• Final Progress Report
• Final Invention Statement
• Final Federal Financial Report (FFR)

*Failure to submit timely and accurate closeout reports may affect future funding!*

*90-day requirement is a term and condition of NIH grant awards*

• Grantees must ensure there are no discrepancies between the final FFR expenditure data (in eRA Commons) and the FFR cash transaction data in the Payment Management System.
NIH Public Access Policy

Stay tuned for the next WGFG Symposium in 2015
NIH Public Access Policy

• For non-competing continuation grant awards with a start date of **July 1, 2013** or beyond

• NIH will **delay the processing of an award** if publications arising from it are not in compliance with the NIH Public Access Policy.

• Investigators will need to use **My NCBI** to enter articles onto progress reports.

When and How to Comply

1. Preparing a manuscript
   Address copyright
   show me

2. Accepted for publication
   Post it to PubMed Central and track it in My NCBI
   show me

3. Reporting to NIH
   Include PMCID in citations
   show me

Overview:
To advance science and improve human health, NIH makes the peer-reviewed articles it funds publicly available on PubMed Central. The NIH public access policy requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMed Central immediately upon acceptance for publication. [more]

Show me specific instructions for my publication

Public Access Policy Video Training

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WGFG

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Q&A SESSION