# Capturing Data Elements and the Role of Imaging Informatics

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### Disclosures

• None





### Overview

- Lung cancer screening is relatively nascent with unanswered questions related to appropriate eligibility criteria and screening algorithm
- Implementation of a data registry is critical to collect information about patients for reimbursement and quality improvement







# Objectives

- Understand the requirements put forth by the Centers for Medicare & Medicaid Services (CMS) for lung cancer screening reimbursement
- 2. Discuss the ACR Lung Cancer Screening registry and required data elements
- 3. Motivate structured reporting for lung cancer assessment: Radiation Dose SR, LungRADS
- 4. Illustrate an example screening data collection workflow implemented at UCLA



Mazzone, Peter, et al. "Components necessary for high-quality lung cancer screening: American College of Chest Physicians and American Thoracic Society policy statement." *CHEST Journal* 147.2 (2015): 295-303.



### CMS Statement

### Radiology imaging facility eligibility criteria:

- Performs LDCT with volumetric CT dose index (CTDIvol) of ≤ 3.0 mGy for standard size patients (5' 7", 155 pounds) with reductions in CTDIvol for smaller patients and increases in CTDIvol for larger patients;
- Utilizes a standardized lung nodule identification, classification and reporting system;
- Makes available smoking cessation interventions for current smokers; and
- Collects and submits data to a CMS-approved registry for each LDCT lung cancer screening performed.





### CMS Statement

Data Type	Minimum Required Data Elements				
Facility	Identifier				
Radiologist (reading)	National Provider Identifier (NPI)				
Patient	Identifier				
Ordering Practitioner	National Provider Identifier (NPI)				
CT scanner	Manufacturer, Model				
Indication	Lung cancer LDCT screening – absence of signs or symptoms of lung cancer				
System	Lung nodule identification, classification and reporting system				
Smoking history	Current status (current, former, never). If former smoker, years since quitting. Pack-years as reported by the ordering practitioner. For current smokers, smoking cessation interventions available.				
Effective radiation dose	CT Dose Index (CTDIvol).				
Screening	Screen date Initial screen or subsequent screen				



http://www.cms.gov/Medicare/Medicare-General-Information/MedicareApprovedFacilitie/Lung-Cancer-Screening-Registries.html



# ACR Lung Cancer Screening Registry

- First lung cancer screening registry approved by CMS
- Launching in 2015, accepting site registrations
- Participant responsibilities
  - Furnish data for a twelve (12) month period
  - Provide data for all eligible patients and exams to ACR
  - Submit follow-up information
  - Data from Medicare patients will be sent to CMS for validation
  - Format to be specified by the ACR
  - A Facility Administrator should be identified
  - Plans for ensuring data quality and security must be in place



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http://www.acr.org/Quality-Safety/National-Radiology-Data-Registry/Lung-Cancer-Screening-Registry



# LCSR: Key Data Elements

- Facility
  - Facility ID, Medicare NPI
- Patient information
  - SSN, Medicare ID, birthdate
- Patient demographics
  - Sex
- Smoking history
  - Current status, number of packs-year, years since quit
- Shared decision making

http://www.shouldiscreen.com/

- Clinical information at time of exam
  - Height/weight
- Study data
  - Radiologist NPI, Ordering NPI, exam date, signs or symptoms, indication,
- Follow-up
- Additional risk factors (optional)
  - Education, occupational exposures, family history



http://www.acr.org/~/media/ACR/Documents/PDF/QualitySafety/NRDR/Lung %20Cancer%20Screening%20Practice%20Registry/Lung%20Cancer%20Scr eening%20Registry%20Draft%20Data%20Elements.pdf





#### ABOUT LUNG CANCER

#### & SCREENING

#### WHAT IS LUNG CANCER SCREENING

BENEFITS AND HARMS OF SCREENING

COMPARED TO OTHER TESTS

CAUSES OF LUNG CANCER

PACK YEAR CALCULATOR

REDUCING RISK OF LUNG CANCER

CALCULATE MY LUNG CANCER RISK

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Things you should know about lung cancer screening

#### Q1. WHAT IS LUNG CANCER CT SCREENING?

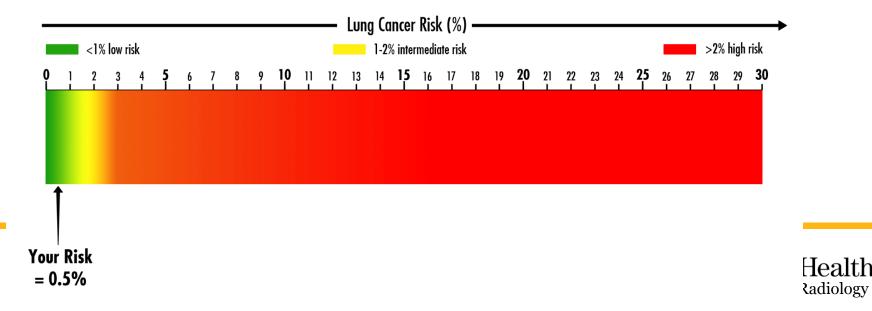
Lung cancer screening uses low-dose computed tomography (LDCT) i.e. a CT scan with a low dose of radiation, to find lung nodules, some of which may be cancer. People who take part in screening can lower their chances of dying from lung cancer.

#### http://www.shouldiscreen.com/





The chance of you developing lung cancer in the next 6 years is 0.5%. Talk to your doctor about the option to screen or not to screen as s/he will understand your situation best.



### LCSR: Exam Elements

- CT scanner
  - Manufacturer
  - Model
- Radiation exposure
  - CTDIvol (mGy)
  - DLP (mGy\*cm)
  - Tube current-time (mAs)
  - Tube voltage (kV)
  - Scanning time (s)
  - Scanning volume (cm)
  - Pitch
  - Reconstructed image width (mm)

- Additional elements
  - $\cdot$  CT exam result by Lung-RADS category
  - Reason for recall (if Lung-RADS category 0)
  - Other clinically significant or potentially significant abnormalities
  - Other findings
  - Other interstitial lung disease
  - Prior history of lung cancer
  - Years since prior diagnosis of lung cancer







# Structured Reporting

#### **Radiation Dose Structured Report**

- Accumulated dose data
  - CT dose length product
- Acquisition parameters
  - Exposure time, scanning length, collimation width, pitch factor, number of x-ray sources
- X-ray source parameters
  - KVP, maximum x-ray tube current, exposure time per rotation
- CT dose
  - Mean CTDIvol

D Clunie, AAPM 2013

Patient	Name:		Exam no:					
Accessi	on Numb	er:						
Patient	ID:		Discovery CT750 HD					
Exam Description: CT HALS/THORAX/ABDOMEN								
	Dose Report							
Series	Туре	Scan Range (mm)	CTDivol (mGy)	DLP (mGy-cm)	Phantom cm			
1	Scout							
2	Helical	\$15.750-1650.250	5.10	373.00	Body 32			
5	Helical	S188.000-I105.000	5.10	182.72	Body 32			
	Total Exam DLP: 555.72							

		NL	Rel with Parent	VT	Concept Name	VM	Req Type
1	I			CONTAINER	EV ( <u>113811, DCM, "CT</u> Accumulated Dose Data")	1	М
2	2	>	CONTAINS	NUM	EV ( <u>113812, DCM</u> , "Total Number of Irradiation Events")	1	М
3	3	>	CONTAINS	NUM	EV ( <u>113813, DCM, "CT</u> Dose Length Product Total")	1	М
4	1	>	CONTAINS	NUM	EV (113814, DCM, "CT Effective Dose Total")	1	U

NEMA Radiation Dose CT Template





### Structured Reporting

EXAM: CT LUNG LOW DOSE WO CONTRAST

COMPARE: Prior chest CT angiogram dated \_\_\_\_\_

HISTORY: Baseline lung screen. 62-year-old male former smoker of 50 pack-years. TECHNIQUE: A low dose helical CT CHEST was performed on a Siemens definition AS multi-detector scanner. The chest was studied in helical mode with prospective reconstructions of 1 and 3 mm slice thickness at dFOV = 34 cm. Coronal and sagittal MIPS were reconstructed from the axial images. NOTE: This study was performed for the specific purposes of lung cancer screening and is not an alternative to diagnostic chest CT.

RADIATION DOSE: 1 Volumetric series was performed for this exam. CTDIvol (CT dose Index-volume) = 2.5 mG DLP (Dose Length Product) = 80 mG cm

#### FINDINGS:

Indeterminate or Suspicious Lung Nodules (Category 3-4B): None Indeterminate/Non-actionable Nodules (Category 2): Present Two small nodules in RLL. These were not visible previously due to lung collapse. Representative locations:

Solid nodule less than 4 mm in subpleural right lower lobe (2-263)

Solid micronodule in subpleural RLL (2-249)

Benign nodules (Category 1): Scattered calcified granulomas in right upper, right middle, and both lower lobes.

#### LUNG PARENCHYMA

Emphysema: Trace centrilobular emphysema, upper lobe predominant Airways disease: Mild bronchial wall thickening and ectasia of medium-sized airways, particularly in

the lower lobes

Fibrosis: Surgical microstaple line juxtadiaphragmatic right base with linear, band like scarring in right middle lobe

#### OTHER ANATOMIC REGIONS

Lymph Nodes: Small calcified and noncalcified prevascular, right paratracheal, right hilar and interlobar lymph nodes

Pleura: Minor right pleural thickening Cardiac: Heart size normal. Pericardium normal. No significant coronary artery calcifications. OTHER FINDINGS: None

#### IMPRESSION:

1. Lung Cancer Screening: LungRADS Category 2, Benign appearing (non-actionable) nodule(s). These types of nodules are commonly observed and require no immediate action. Current recommendations for eligible high risk individuals (criteria below) are routine annual screening with low dose CT.

2. Post inflammatory/infarct scarring in RML.

### Lung Cancer Screening Report

- Exam description / radiation dose
- Indeterminate or suspicious nodules (Category 3-4B)
  - Location, longest/shortest diameter, consistency, margin, evolution
- Benign appearing/non-actionable (Category 2)
  - Location, size, consistency, evolution
- Lung parenchyma
- Other anatomic regions
- Conclusions / recommendations





### Standardized Assessment

Lung-RADS Categories

- Incomplete Category 0
  - Additional imaging needed
- Negative/Benign Categories 1 & 2
  - Continue annual screening
- Probably benign Category 3
  - 6 month LDCT
- Suspicious Categories 4A/B
  - 4A: 3 month LDCT; PET/CT when  $\ge$  8 mm solid component exists
  - 4B: Chest CT w/wo contrast, PET/CT and/or biopsy





# Implementation Tools

- Vendors now have commercial software solutions to facilitate reporting and tracking
  - ACR screening registry compliance
  - Lung-RADS compliance
  - Ability to track recall/follow-up exams
  - Support for entering pathology information
  - Support for Radiation Dose Structured Report
  - Integration with computer aided detection tools







### Data Collection at UCLA

- Patient Questionnaire
  - Paper-based Scantron
  - Demographics, signs or symptoms, smoking history, environmental factors, cancer and family history
  - Shortened questionnaires to be given during follow-up exams
- Lung Screening Registry
  - Capture Lung-RADS categories and findings
  - Track individual nodules longitudinally
- Archive raw imaging from scanner
  - To evaluate reconstruction quality
- Investigating software tracking solutions

UCLA LUNG Q	JESTIONNAIRE					
conditions that Please answer	This survey gives your doctor information about t may affect your lung health or risk of cancer. questions by darkening the appropriate circles ack ink pen and print clearly in UPPERCASE letters.	Patient Sticker (3,5" x 1.5")				
Date:	MM-DD-YYYY					
A. DEMOGRAF	HIC INFORMATION					
Age	years old Weight	lbs Height ft in				
Sex	O Male O Female					
Race	O White O Black / Afric O Asian O Native Haw O American Indian / Alaska Native O More than o	alian / Pacific Islander Unknown / Prefer not to				
Ethnicity	O Non-Hispanic or Latino O Hispanic or	Latino O Unknown / Prefer not to Answer				
Education	O         Less than high school graduate         O         College grad           O         High school graduate or GED         O         Some college           O         Some training after high school         O         Postgraduate	e or technical school				
B SIGNS OR S	(MPTOMS Please indicate whether you NOW or have ex	perienced any of the following in the last 2 months:				
O Blood in sp		s of appetite				
O Chest pain		w or changing cough				
O Fatigue or weakness O Shortness of breath						
O Fevers/Chil	ls O Wh	eezing				
O Headache O Unexpected weight loss greater than 10 pounds						
O Hoarseness	/ Change in voice O I ha	we NO SIGNS OR SYMPTOMS				
C. CIGARETTE	SMOKING HISTORY					
	our present cigarette smoking status.	O Never smoker (less than 100 cigarettes in my life) O Prior smoker (quit more than 1 month ago)				
2. Is there no	we as has there ever been a smaller is using being had	O Current smoker (at least 1 cigarette daily) O NO O YES O UNKNOWN				
	w or has there ever been a smoker in your household? d that you currently or previously smoked cigarettes, ple					
3. At what a	e did you regularly start smoking cigarettes at least once a day)?	years old				
	any years have/did you smoke regularly cigarette daily)?	years				
	ntire time that you have smoked, what is the average cigarettes you smoke/did smoke per day?	cigarettes/day				
	ntire time that you have smoked, what is the highest f cigarettes you smoke/did smoke per day?	cigarettes/day				
7. If you no l	onger smoke, at what age did you quit smoking?	years old				
D. PARTICIPAT	ION IN THE UCLA LUNG REGISTRY					
collect inform	ct you about joining the UCLA Lung Registry, a project to ation on individuals seen as patients at UCLA who are f lung cancer, or have the diagnosis of lung cancer?	O NO O YES				

Form Version 2014-05

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# **Quality Improvement Metrics**

Screen Results	Total	Lung- RADS 1	Lung- RADS 2	Lung- RADS 3	Lung- RADS 4A	Lung- RADS 4B Imaging only	Lung- RADS 4B Biopsy ± Imaging	Variance FU imaging	Variance Biopsy	
USPSTF Eligible Screenees										
Expanded Eligibility Screenees										
Total Screens Performed										
Screen-detected Lung Cancers										
Interval lung cancers										
Breakdown of Lung-RADS score				• H	<ul> <li>Histology vs. screening result</li> </ul>					
<ul> <li># of diagnostic tests performed</li> </ul>				• Ty	• Type of 1 <sup>st</sup> line treatment vs. lung cancer					
<ul> <li># of complications</li> </ul>				sta	stage					
			• Lo	Long term outcomes						

T.





# Summary

- Lung cancer screening programs need to collect data on all enrolled patients related to the quality of the program
- Routine review of the data collected should be performed
  - Consistency of Lung-RADS assessments
  - Quality of the generated images
- Open questions regarding screening
  - Appropriateness of eligibility criteria, dose/reconstruction quality, risk stratification
  - Need for consistent, centralized reporting





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