

## Lessons from the Conception and Development of a Prospective National SRS Registry

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### Conflicts of interest

I have served as an (uncompensated) beta tester for some aspects of the registry solution discussed in this presentation.

Some of the material in this presentation was provided by Brainlab.

I have no financial COI.

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### Objectives

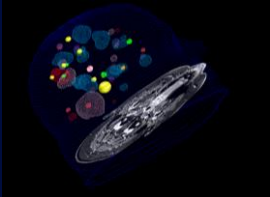
- To discuss the historical limitations of clinical evidence generation for SRS.
- To review the organization and governance of the NeuroPoint Alliance (NPA) Prospective SRS registry.
- To discuss some of the features of the NPA SRS Registry that are relevant to longitudinal follow-up for brain metastases.
- To discuss the successes and challenges of implementing the SRS registry at the University of Virginia.

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### How do we decide if a treatment is safe and effective?

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### One brain, multiple radiosurgeries



Date	# tumors	Vol 12Gy (cc)	Skull mean(sD) dose (Gy)
7/2013	1	0.6	0.1 (0.3)
10/13	5	3.4	0.4 (0.8)
2/14	5	15.9	0.9 (1.7)
5/14	11	5.1	0.6 (0.9)
6/15	8	43.8	1.4 (2.3)
7/15	4	2.0	0.2 (0.5)
10/15	6	14.6	0.6 (1.4)
2/16	9	46.2	1.6 (2.3)
5/16	6	6.2	0.4 (0.9)

55 tumors, 9 SRS procedures, 3 years

9/2014 - WBRT (30 Gy in 10 fractions)

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### What is the traditional path for evidence for SRS?

What is a prospective registry?

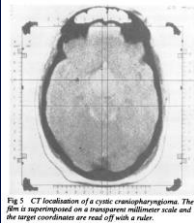
What does the NPA registry do?

What are some successes and challenges?

What might the future look like for registries?

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### In 1987 – SRS as a concept was already ~35 years old



Indication	Report date
Gammathalamotomy	1968
Acoustic tumors	1971
Arteriovenous malformation	1972
Trigeminal neuralgia	1971
Gammacapsulotomy	1979
Craniopharyngioma	1979
Cushing's Disease	1980

Fig 5 CT localization of a cystic craniopharyngioma. The film is superimposed on a millimeter millimeter scale and the target coordinates are read off with a ruler.

L. Leksell, *Neuro, Neurosurg and Psych* 46, 1983.

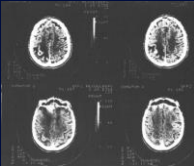
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### SRS Evidence: First someone has an idea...

1987

STEREOTACTIC PERCUTANEOUS SINGLE DOSE IRRADIATION OF BRAIN METASTASES WITH A LINEAR ACCELERATOR

YVESKER STURM, M.D.,\* BERND KOBER, M.D.,† KARL-HEINZ HÖVKE, Ph.D.,‡ WOLFGANG SCHLEGEL, Ph.D.,‡ ROBERT BOESCHKE, Ph.D.,§ OTTO PASTVE, ENG.,‡ GÜNTHER H. HOFMANN, Ph.D.,§ RICHARD SCHARBERT, M.D.,\* KARL ZUM WINKEL, M.D.,† STEFAN KOSSEL, M.D.,\* and WALTER J. LÖWEN, Ph.D.,‡



**n=7** patients w deep-seated, "radioresistant" metastases

20 Gy – 30 Gy, single-fraction SRS on a linac

100% symptomatic improvement

Tumor volume reduction in 5 of 7 patients

No unwanted side effects (one patient herniated due to untreated second metastasis)

V. Sturm, et al., *IRCOBP* 1322, 1987

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Table 1.

Case no.	Age [y]	Histology of primary tumour	Localization, diameter [cm] of metastasis	Irradiation dose [Gy]	Time of follow-up (months)	Clinical response	CT changes (tumour volume)	Alive/dead, cause of death
1	49 m	Hepatocellular carcinoma	Diencephalon 2	20	90	Complete disappearance for 9 months	Complete disappearance of metastasis, reduction of edema	Dead, generalised metastatization
2	60 f	Hepatocellular carcinoma	Cerebellum, 4th ventricle 2	24	9	Marked improvement	Marked reduction	Alive
3	64 m	Hepatocellular carcinoma	Motor region 1	20	3	Complete disappearance	Complete disappearance of edema (only native scan performed, better not visible)	Alive, worsening because of generalised metastatization after 20 months
4	74 m	Fibrosarcoma (post-arterial)	Cerebellum 3	22	3	Marked improvement	Unchanged	Dead, second cerebral metastases
5	57 m	Adenocarcinoma (lung) contralateral lung metastases	Central retrocentral 2, 2	30	30	Marked improvement	Subtotal disappearance of edema, decrease of contrast enhancement of metastases	Dead, generalised metastatization
6	60 f	Papillary thyroid carcinoma	Central 3	20	5	Complete disappearance	Marked reduction	Dead, generalised metastatization
7		Hepatocellular carcinoma	Frontal lobe 2	25	3	Moderate improvement	Slight reduction	Alive, after 3 months recurrence of other brain metastases

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...then observational studies.

1991

**RADIO-SURGERY FOR SOLITARY BRAIN METASTASES USING THE COBALT-60 GAMMA UNIT: METHODS AND RESULTS IN 24 PATIENTS**  
 ROBERT J. COFFEY, M.D., JOHN C. FLECKINGER, M.D., DAVID J. BISSONNETTE, PA-C<sup>2</sup> AND L. DADE LUNSFORD, M.D.<sup>1,3,4</sup>

n=24 consecutive patients w solitary brain mets (mixed histology, some "radioresistant")  
 20 patients: SRS was a boost (16-20 Gy) after 30-40 Gy WBRT  
 Median KPS = 90 (range 50-90)

Tumor size*	Neurologic status					Deaths		
	Aborted	Decreased	Stable	Increased	Improved	Stable	Worse	Systemic disease
3	8	6	1	6	8	1 <sup>†</sup>	8	2

\* On imaging studies (CT, MRI) or post-mortem examination.  
<sup>†</sup> Temporarily worsened but recurred in single patient who required open operation.

R. J. Coffey et al., IJROBP 20, 1991

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...then observational studies...

Multi-institutional

1994

**A MULTI-INSTITUTIONAL EXPERIENCE WITH STEREOTACTIC RADIO-SURGERY FOR SOLITARY BRAIN METASTASES**  
 JOHN C. FLECKINGER, M.D., DOUGLAS KONZDZIOKA, M.D., L. DADE LUNSFORD, M.D., ROBERT J. COFFEY, M.D., MICHAEL L. GOODMAN, M.D., EDWARD G. SHAW, M.D.,<sup>2</sup> W. ROBERT FLECKING, M.D.,<sup>3</sup> RICHARD WYNER, M.D.,<sup>4</sup> GREGG R. HARRIS, IV, M.D.,<sup>5</sup> PENNY K. SNEED, M.D.<sup>6</sup> AND DAVID J. LARSON, M.D., PA-C<sup>7</sup>

n=116 consecutive patients w solitary brain at 5 institutions  
 n=45 failed prior WBRT, 71 no prior radiation  
 Mean SRS dose 17.5 Gy (range 8-30)  
 65 combined with WBRT (mean 33.8 Gy)  
 2 year actuarial control rate = 67%

Fig. 6. Local tumor control for radiosurgery (SRS) alone compared to combinations GK plus radiosurgery (GK + SRS).

J.C. Fleckinger et al., IJROBP 29(4), 1994

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Meanwhile...someone has pushed the envelope

1992

**Stereotactic radiosurgical treatment of brain metastases**  
 JOHN R. ADLER, M.D., RICHARD S. COV, PH.D., IRVING KAPLAN, M.D., AND DAVID P. MARTIN, M.D.  
 Departments of Neurosurgery and Radiation Therapy, Stanford University Medical Center, Stanford, California

n=33 patients, 52 brain metastases  
 13 patients had multiple metastases (up to 4)  
 27 patients had WBRT (prior, concomitant, or after)  
 4 MeV linac, 80 cm SSD, 4 non-coplanar arcs  
 Mean dose = 25 Gy to 80% isodose (range 16-35 Gy)  
 91% local control (mean FU 5.5 months)

J. Adler et al., J. Neurosurg. 76, 1992

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### Multicenter randomized trials tend to come later...

**Whole brain radiation therapy with or without stereotactic radiosurgery boost for patients with one to three brain metastases: phase III results of the RTOG 9508 randomised trial**  
David P. Anthony, Charles H. Barlow, Paul H. Brown, et al. J Clin Oncol. 2004;22(16):3607-15.

2004  
 Enrolled 333 patients from 1996-2001, 55 centers (about 4.5 years)

KPS > 70, 1-3 metastases  
 Randomized to WBRT+SRS(167) or WBRT (164)  
 WBRT (all patients): 37.5 Gy (2.5 Gy/fx)  
 SRS Group: Dose from RTOG 9005 (156 patients, 100 solitary brain mets) - pub 2000

Significantly better local control in the SRS+WBRT group, better survival for single mets only in the SRS+WBRT group

D. W. Andrews et al., Lancet 363, 2004

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### ...but some practices have already pushed further

**STEREOTACTIC RADIOSURGERY FOR FOUR OR MORE INTRACRANIAL METASTASES**  
Ajay K. Bhatnagar, M.D.,\* John C. Flickinger, M.D.,\*† Douglas Kondzalka, M.D., M.Sc., F.R.C.S.(C),\*† AND L. Dade Lunsford, M.D.,\*†

2006  
 205 patients (some with prior/concurrent WBRT)

Yes, SRS seems to be effective for 4 or more (4-18) metastases.

Variable	p value
Total treatment volume	0.002
Age	0.328
Receptive partitioning analysis class	0.376
Marginal dose	0.850
Number of intracranial metastases	0.091
Gender	0.336
Visceral metastases vs. nonvisceral metastases	0.310
Brain cancer vs. other histologic subtypes	0.651
Non-small-cell lung carcinoma vs. other histologic subtypes	0.671
Melanoma vs. other histologic subtypes	0.639

Fig. 3. Local control for all patients with 4 or more brain metastases.

A. Bhatnagar et al., JROOP 64, 2006

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### ....and further.

**Survival and Intracranial Control of Patients With 5 or More Brain Metastases Treated With Gamma Knife Stereotactic Radiosurgery**  
Am C. Rabkin, BS,\* Fernan C. Chang, MD,\* Jonathan P. Attley, MD, FRCS,\* and James R. Yu, MD\*

2013 n=103 patients (46 with FU imaging), 2000-2010  
 Mix of prior treatments (prior SRS, WBRT, etc.)

Yes, SRS seems to be effective for 5 or more metastases.

FIGURE 1. Overall survival for patients with 5 to 9 versus 10+ brain metastases.

FIGURE 2. Intracranial failure for patients with 5 to 9 vs. 10+ brain metastases.

A.C. Rabkin, et al., Am J Clin Oncol 36, 2013

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### ....and further.

**Radiosurgery for Five to Fifteen Brain Metastases: A Single Centre Experience and a Review of the Literature**  
Susanne J. Rogers\*, Nicoletta Lomax\*, Sara Alonso\*, Tessa Lazeroni and Oliver Riesterer

2022 n=35 patients from 2015-2021  
 Yes, SRS seems to be effective in carefully selected patients with 5-15 mets

S.J. Rogers et al., Front Oncol, May 10, 2022

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### RCTs or Observational Studies: Which is better?

*Fool's gold, lost treasures, and the randomized clinical trial*  
 Technology  
 David J Stewart and Nicole Hutvick  
 Randomized Controlled Assessment: Sarah M. Goss  
 Beyond randomized versus observational studies  
 James G. Goss, John J. Lynch, and Sarah M. Goss  
 Should Randomized Clinical Trials Proton Radiotherapy?  
 Robert Goss, Department of Radiation Oncology, General Medical School, University of Toronto, Ontario, Canada  
 Randomized controlled trials based on observational studies: why not together?  
 David J. Stewart and Simon Thomas Schulz  
 evidence

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### Quality of evidence remains low

Review to summarize the evidence for SRS+WBRT (by looking for RCTs)

Found 3 studies and 1 abstract for inclusion

Could only include 2 studies, 358 patients.

No difference in overall survival (OS) HR=0.82 (CI 0.65-1.02) - moderate quality evidence

WBRT+SRS had decreased local failure HR=0.27 (CI 0.14-0.52) - moderate quality evidence

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### Quality of evidence remains low

#### Radiation therapy for Brain Metastases: An ASTRO Clinical Practice Guideline

Key Recommendation	Strength of Recommendation	Quality of Evidence
1. For patients with 1-5 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low
2. For patients with 6-10 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low
3. For patients with >10 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low
4. For patients with 1-5 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low
5. For patients with 6-10 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low
6. For patients with >10 brain metastases, SRS alone is preferred over WBRT.	Conditional	Low

V. Ganji et al., PRO 12, 2022.

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### Why not more RCTs?

Randomized Control Trials (RCTs) are the gold standard

- Prospective
- Randomization and blinding mitigates bias
- Well-defined endpoints and data collection
- Can control for unmeasured confounders

**BUT...**

- Cost ————— Avg \$47,000 / patient in 2011)
- (Lack of) Clinical equipoise / study ethics
- Too many possible comparisons
- Too few patients for some indications
- Limited duration / lack of long-term follow-up

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What is the traditional path for evidence for SRS?

What is a prospective registry?

What does the NPA registry do?

What are some successes and challenges?

What might the future look like for registries?

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### What are patient outcome registries?



Frameworks that make possible observational study designs.

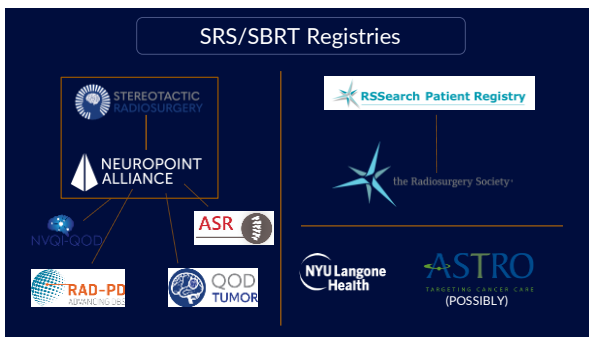
Patients are observed as they present for care and collected data reflect what is needed for clinical practice.

Inclusion criteria are kept to a minimum to study a broad range of patients.

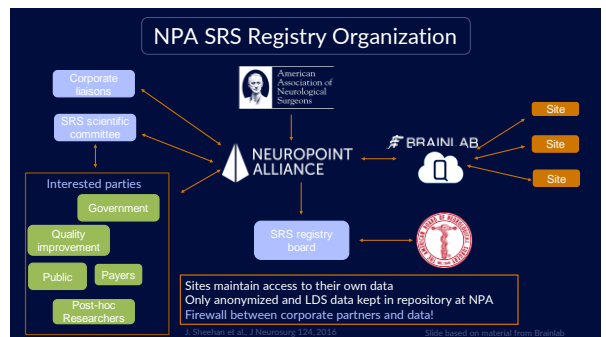
Can provide outcomes information on diverse populations under real-world conditions.



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### Registry Patients and Events

**Patient Enrollment**  
Baseline, Disease  
Baseline including co-morbidities, functional status, neurological exam findings, quality of life, and disease extent

**Radiotherapy & Radiotherapy**  
SRS/RT, WBRT Events  
Diagnosis, volume targets & normal structures, device & treatment delivery details

**Surgery**  
Surgical Resection Events  
Pre/post-operative scans, tumor volumes, histopathological events, surgical details

**Follow-Up Encounter**  
Follow-Up Events  
Post-treatment imaging, subjective assessments, neurological exam findings, adverse events, functional status, quality of life

Image courtesy of Brainlab

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### Registry key outcome variables

Category	Parameters
Disease	Disease classification, initial diagnosis date, primary/systemic disease controlled, genetic alteration
Lesions	Location, volume (cm <sup>3</sup> ), RECIST, Macdonald, disappearance, recurrence, hemorrhage, radiation necrosis
Dosimetry	Fractionation and dose to targets, DNRs & Whole Brain
Quality of Life	Patient-reported EQ-5D-3L, EQ-VAS
Adverse Events	NCI CTCAE
Neurological Exam	Physician-reported observations
Functional Status	Karnofsky Performance Status (KPS)
Survival	Date, Cause(s) of Mortality

Image courtesy of Brainlab

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### NPA SRS Registry High Level Architecture

Site

Client (Elements)

Query Data Processing  
Automated analysis enriches and standardizes data based on image and object analysis

Query Data Entry  
Web platform for clinical and outcome data entry, management, analysis, QA/QC


De-identified and Limited Data Set (LDS) long-term registry data store

NEUROPOINT ALLIANCE

Info courtesy of Brainlab

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### Query Data Processing

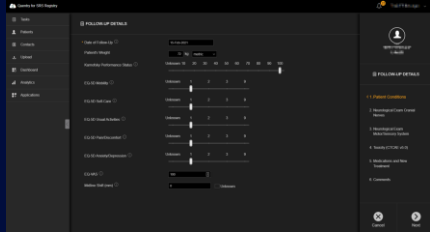


- Registry software captures DICOM-RT (imaging, treatment plans, contours)
- Auto-contouring of normal anatomy
- Automated lesion matching across studies
- Reduces variability and minimizes clinician effort
- Possibilities for dosimetric and radiomics analysis

Image courtesy of UVA

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### Query Data Entry

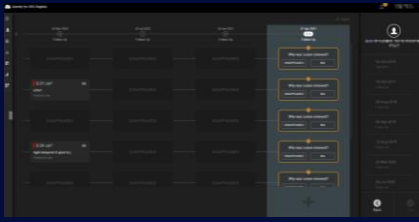


- Web-platform simplifies distribution of labor
- Limited number of data entry fields

Image courtesy of UVA

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### Longitudinal Lesion Tracking




- Software assists in matching lesions across primary and follow-up scans
- UI allows user to quickly confirm/edit lesion matches to link through time
- Still requires discipline in naming conventions!

Image courtesy of UVA

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### Per-patient tracking

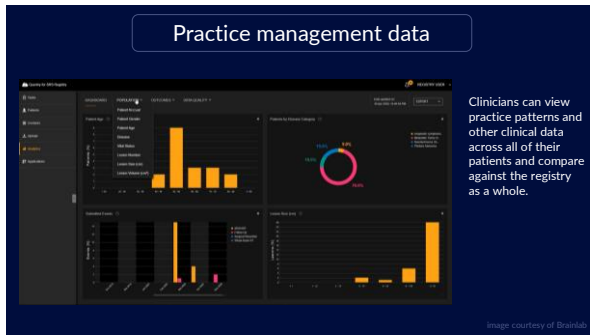


- Clinicians can view patient progress over time via web interface

Image courtesy of Brainlab

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### Real world lessons

Getting people to do things is hard ...*especially without funding!*

Junk in= junk out (contouring, clinical data)

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Efficiently dividing work among the team is critical!


Automation is important to limiting effort and standardizing data.

Developing standardized nomenclature helps data consistency

Internal discipline around things like naming conventions helps with longitudinal tracking

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### How is the registry doing?



Initial phase envisioned 30 pilot sites

By year 3: 27,000 patients accrued

Current phase has 10 centers, with more currently contracting

- >4000 patients accrued
- >4500 SRS events
- >3500 follow-up events

Map of current registry sites  
Brainlab NPA (Neurological Practice Analysis) is a national, multi-center, multi-institutional, multi-specialty registry for stereotactic radiosurgery (SRS) for brain metastases. Last updated: 7/11/2022

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### What have we learned about brain metastases?

**Factors associated with progression and mortality among patients undergoing stereotactic radiosurgery for intracranial metastasis: results from a national real-world registry**

Mohammed Ali Alci, MBBS, MEd<sup>1,2</sup>, Anthony L. Asher, MD<sup>1</sup>, Giorgio D. Michalopoulos, MD<sup>1,2</sup>, Inga B. Grillin, MD<sup>1</sup>, Ronald E. Warnick, MD, James McHenry, MD<sup>1</sup>, Veronica L. Chang, MD<sup>1</sup>, Albert Mills, MD, Robert Timmerman, MD, Eric Chang, MD<sup>1</sup>, Brian S. Karanaghi, MD<sup>1</sup>, David W. Andrews, MD<sup>1</sup>, Kevin Walter, MD<sup>1</sup>, Mohamed Eytan, MD<sup>1,2</sup> and Jason P. Sheehan, MD, PhD<sup>1,2</sup>

*J Neurosurg*, 2022

**Quality of life outcomes for brain metastasis patients treated with stereotactic radiosurgery: pre-procedural predictive factors from a prospective national registry**

Jason P. Sheehan, MD, PhD<sup>1</sup>, Inga Grillin, MD<sup>1</sup>, Veronica L. Chang, MD<sup>1</sup>, Heena Dang, PhD<sup>1</sup>, Arthur Berg, PhD<sup>1</sup>, Ronald E. Warnick, MD<sup>1</sup>, Douglas Kondratieff, MD, MEd, and Brian Karanaghi, MD, MPH<sup>1</sup>

*J Neurosurg*, 151, 2019

**Local failure after stereotactic radiosurgery (SRS) for intracranial metastasis: analysis from a cooperative, prospective national registry**

Anthony L. Asher<sup>1</sup>, Muhammad Ali Alci<sup>1,2</sup>, Mohamed Eytan<sup>1,2</sup>, Nadir Pouranian<sup>1</sup>, Ronald E. Warnick<sup>1</sup>, James McHenry<sup>1</sup>, Inga S. Grillin<sup>1</sup>, Jason Sheehan<sup>1,2</sup>

*JNO* 152, 2021

**Quality-of-life trajectories after stereotactic radiosurgery for brain metastases**

Adnania Baronecchia, MD, PhD<sup>1</sup>, Karen Lavetto, RN, BSN<sup>1</sup>, Leah Shabo, BS<sup>1</sup>, Jesse McClure, PharmD, PhD<sup>1</sup> and Jason P. Sheehan, MD, PhD<sup>1</sup>

*J Neurosurg*, 134, 2021

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### Leveraging AI and Big Data



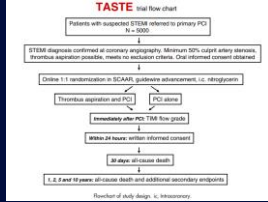
Agreement with University Medical Center, Hamburg-Eppendorf

Advanced analysis and research using the registry image repository

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### Using registries as a platform for RCTs

Thrombus Aspiration in ST-Elevation myocardial infarction in Scandinavia (TASTE) trial



Study investigating the efficacy of thrombus activation in a particular type of myocardial infarction (ST-segment elevation MI – STEMI)

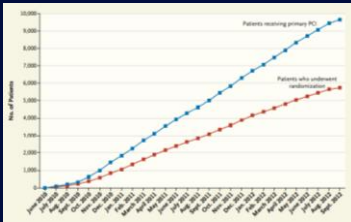
Used the Swedish national angiography and angioplasty registry as a platform for the trial (SCAAR) – all PCI centers in Sweden, Iceland, and selected in Denmark

Patients were consented orally and randomized at time of referral. Written confirmation within 24 hours.

©, Frilberg et al., Am Heart J 160(6): 2019

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## Using registries as a platform for RCTs



Trial was able to accrue patients quickly

Majority of PCI patients participated

Total incremental trial cost ~\$300,000 (about \$50 per patient)

Results generalizable because of diverse patient population

**Questions: Data quality in registries? Is blinding possible?**

M. Lauer et al., NEJM 369(17), 2013.

## Conclusions

Prospective registries have the potential to create large standardized datastores of clinical information and relatively low cost

Can be used for observational studies, and potentially for registry-based RCTs

Allows investigation of indications that are rare or that don't warrant an RCT

Data collection effort and center recruitment remain a formidable problems

More work required to understand effects of data quality

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## Interested in participating?

**Objectives:**

- Establish a national, multi-center registry of patients undergoing stereotactic radiosurgery for the treatment of brain metastases.
- Standardize data collection across all participating centers.
- Facilitate the collection of high-quality, longitudinal data on patient outcomes and quality of life.
- Enable the identification of best practices and the development of evidence-based clinical guidelines.

<https://www.neuropoint.org/registries/stereotactic-radiosurgery-registry/>

## Acknowledgements



Jason Sheehan, MD, PhD, FAANS  
(Chair, SRS Registry)

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(Gamma Knife Nurse)



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