

Integrating Omics in the Era of AI for Better Patient Specific Outcomes

**Presentation Title:** Utilizing Multi-Omics Data To Improve the Prediction of Toxicities

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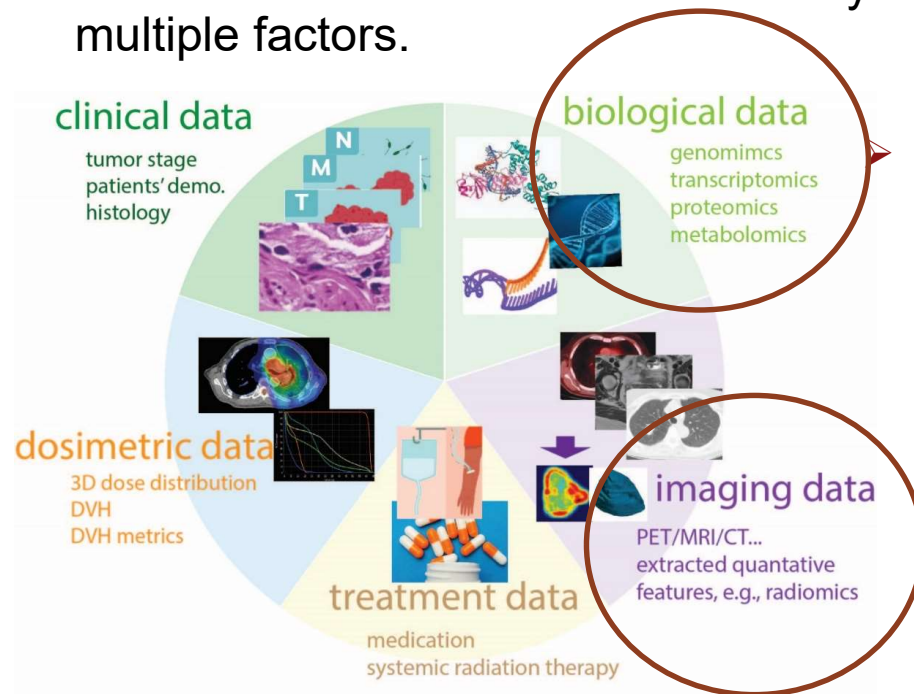


## Outlines

- *Motivation:*
  - *what is and why we use multi-omics data?*
- *Method:*
  - *How to build a multi-omics model to predict toxicity*
  - *Validation scheme*
  - *Interpretability of the model*
- *Two case studies: prediction of radiation pneumonitis in non-small cell lung cancer (NSCLC)*

## Motivations: Available data

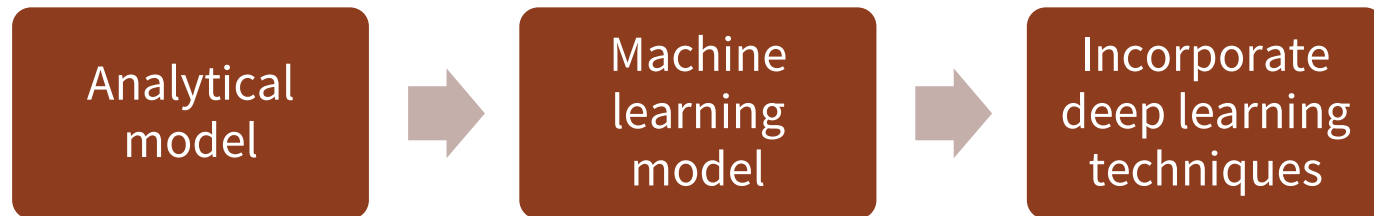
- Conventional radiotherapy outcome models only utilize information about
  - the dose distribution and fractionation.
- Treatment outcomes are mediated by the complex interactions among multiple factors.



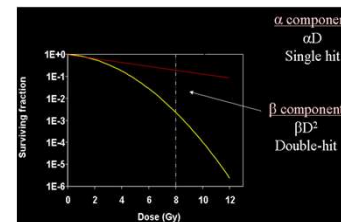
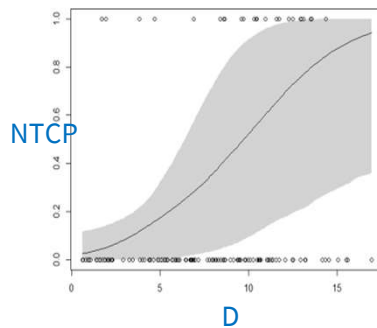
Data driven models are supported by the explosive growth of patient-specific information powered by advances in

- biotechnology
- imaging
- computational capabilities
- the evolution of electronic health records.

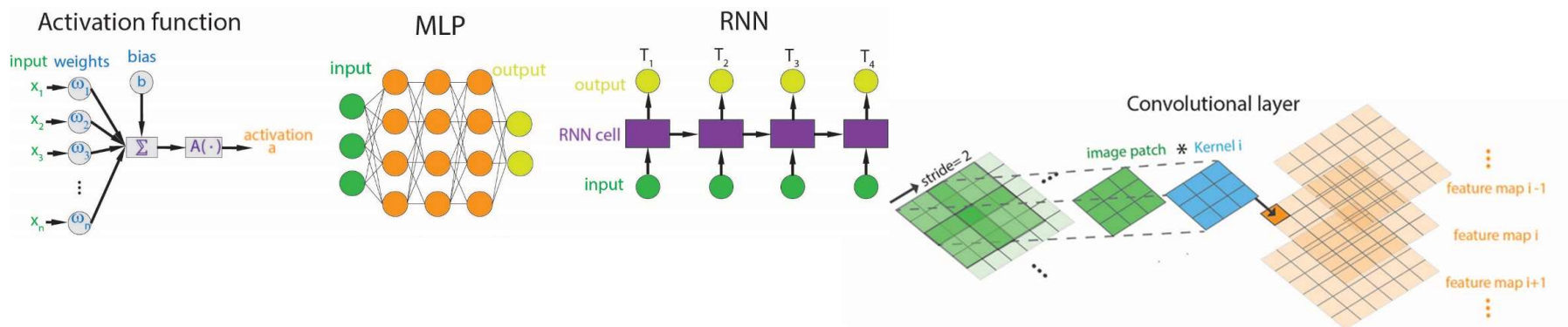
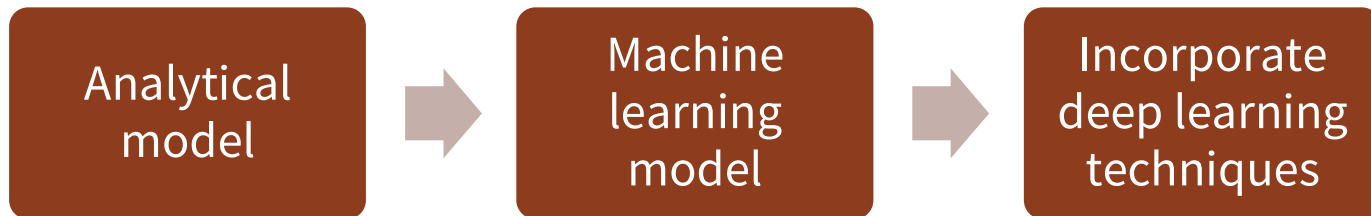
## Motivations: Available models



- Analytic models e.g., Linear quadratic (LQ), Lyman models
  - simple understanding of radiobiological effects
  - use dosimetric information only

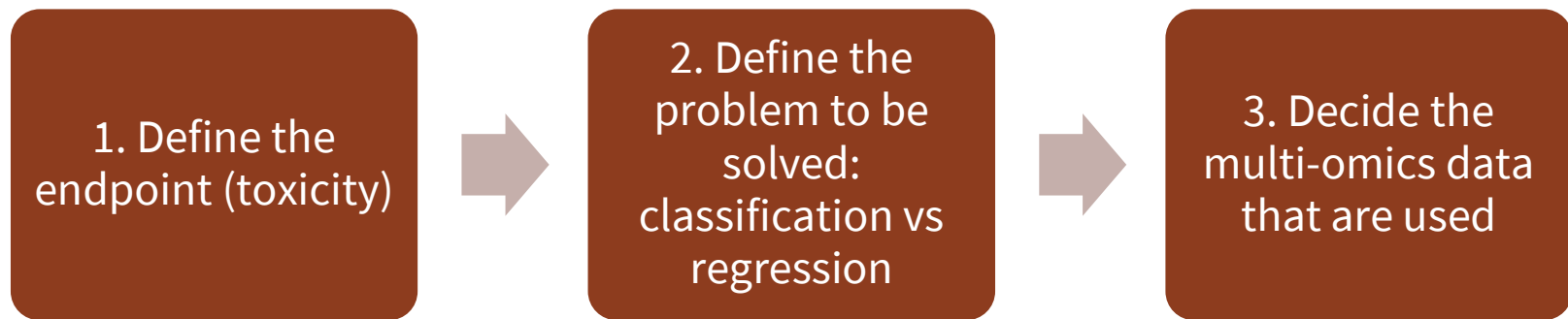


## Motivations: Evolutions of outcome models



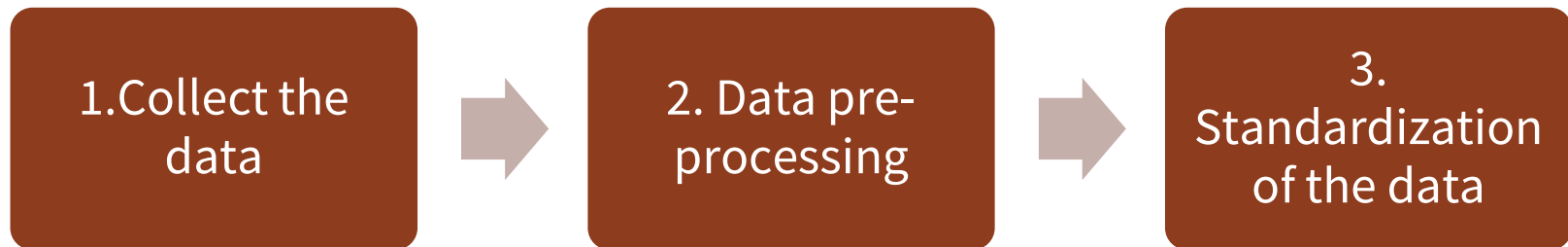
- Machine learning model e.g., Support vector machines (SVM), random forests (RF)
- Deep learning techniques, convolutional neural network (CNN), multi-layer perceptrons (MLPs), recurrent neural networks (RNN)

## Method: an outcome model to predict toxicity – define a problem



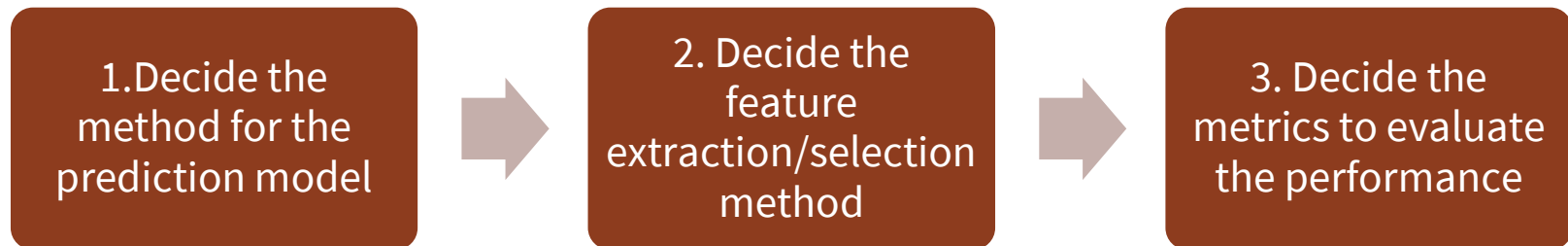
1. NTCP endpoints graded by RTOG or CTCAE criteria, several graded severity scales.
2. Toxicity outcome data are associated with a specific follow-up time, decide whether to use a cutoff time or consider time-to-event in the model.
3. Four major types of data include: clinical data, dosimetric data, imaging data and biological data.

## Method: an outcome model to predict toxicity – data pre-processing and preprocessing



1. Collect clinical data from EHR, dosimetric data from TPS, imaging data from PACS, biological data from lab tests.
2. Decide how to handle missing data; patient include/exclude criteria; check the accuracy and reliability of data
3. Multi-omics data including biological and imaging data may highly vary in the magnitude, data may need to be standardized, e.g., score normalization, min-max scaling

## Method: an outcome model to predict toxicity – train the model



1. Common classification/regression models include SVM, RF, Bayesian network, deep neural network;
2. 3 types of feature selection methods: filter methods, wrapper methods, and embedded methods. In deep learning this step is implicit and is realized by the multi-level deep learning architectures
3. Classification: accuracy, confusion matrix, true positive rate (TPR), false positive rate (FPR), operating characteristic curve (ROC). Regression: the concordance index (C-index) can be applied.



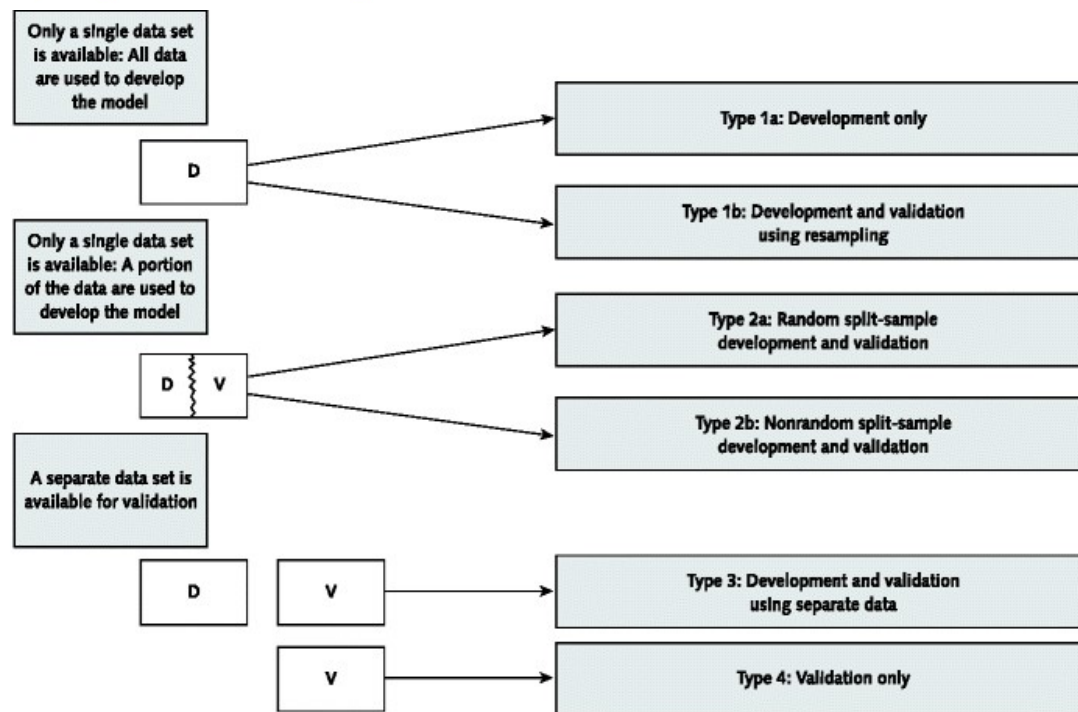
# Important consideration – validation scheme

Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD):  
the TRIPOD Statement

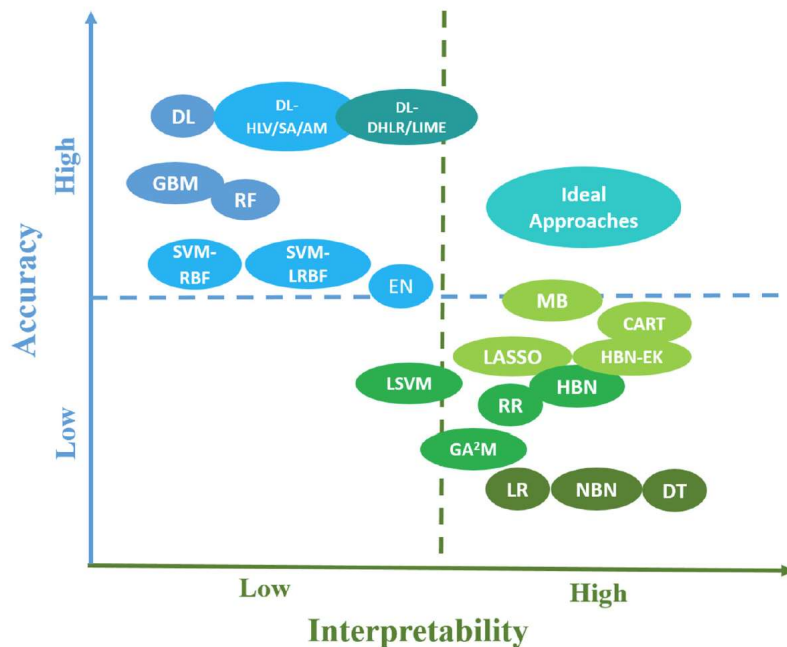
[Gary S Collins](#) , [Johannes B Reit](#)

*BMC Medicine* 13, Article number

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## Important consideration – interpretability of the model



- The transition of the outcome model into relies on how the clinician can interpret or understand the specific decision made by the model.
  - Gain trust, increase its credibility
  - Safeguard mechanism
- The interpretability and explainability of models are crucial for clinical implementation.

Adapted from *Balancing accuracy and interpretability of machine learning approaches for radiation treatment outcomes modeling*, Yi Luo, Huan-Hsin Tseng, Sunan Cui, Lise Wei, Randall K. Ten Haken, and Issam El Naqa *BJR|Open* 2019 1:1

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## Two case studies

Prediction of radiation pneumonitis in non-small cell lung cancer patients

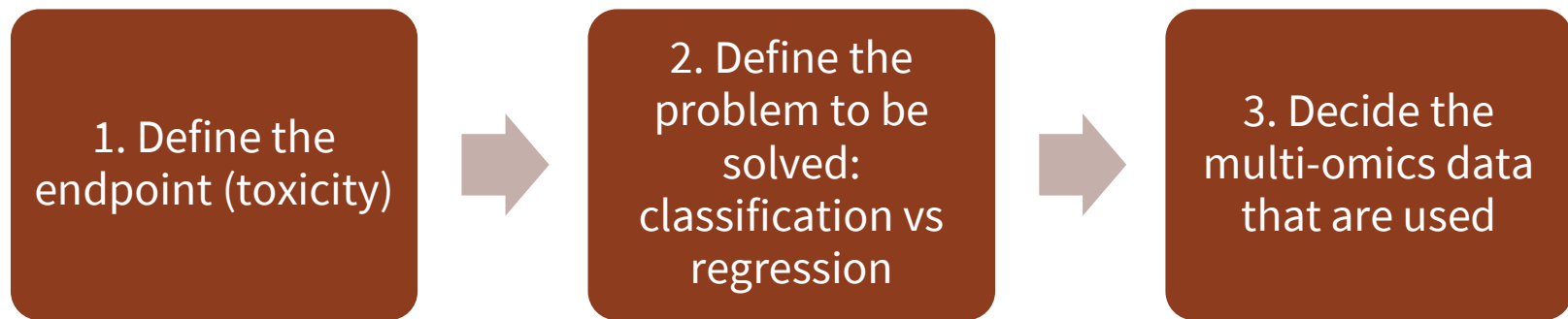
1. Variation autoencoder (VAE) + multilayer perceptrons (MLP) joint architecture
  - Feature extraction
  - Model selection
2. Deep-learning based composite architecture
  - Validation scheme
  - Interpretability of the model

[1] Combining handcrafted features with latent variables in machine learning for prediction of radiation-induced lung damage. *Medical Physics*, 2019. 46(5): p. 2497-2511.

[2] Integrating Multiomics Information in Deep Learning Architectures for Joint Actuarial Outcome Prediction in Non-Small Cell Lung Cancer Patients After Radiation Therapy, *International Journal of Radiation Oncology\*Biography\*Physics*, 2021 110 (3).

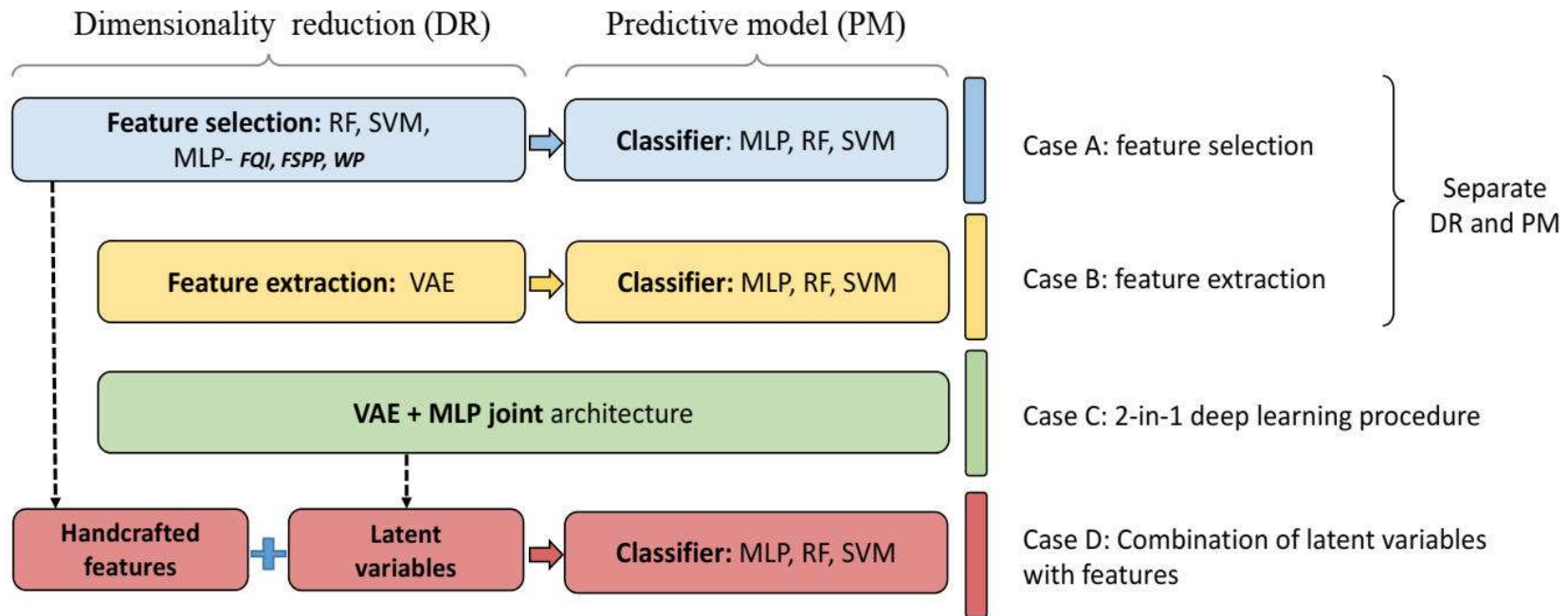
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## Case 1 Define the problem: prediction of RP2 in NSCLC:



1. Endpoint radiation pneumonitis (RP) CTCAE, graded severity scales 1-5, we predicted grade  $\geq 2$
2. Classification problem, i.e., binary classification.
3. 13 clinical data including age, stage, smoking, etc, 5 dosimetric data including mean lung dose, V20, V5, etc; 30 cytokines, 62 mi-RNA, 60 SNPs.

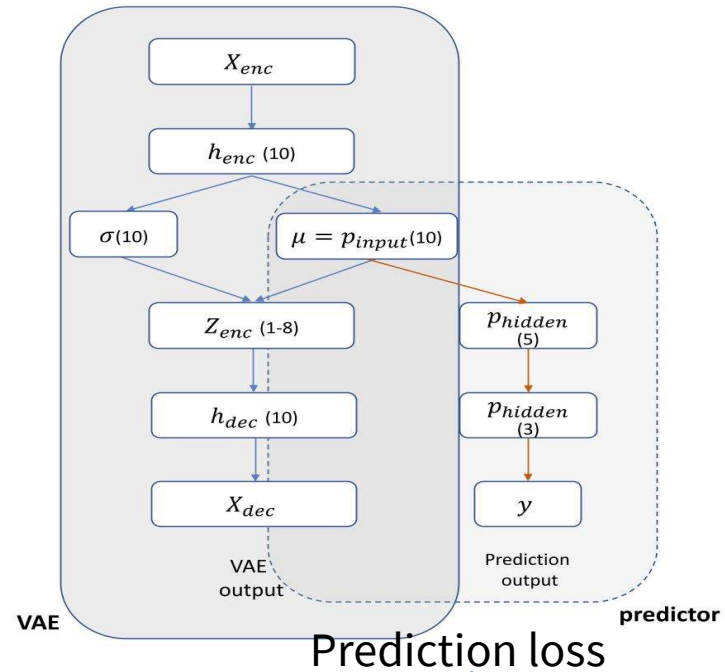
# Case 1 Feature extraction: prediction of RP2 in NSCLC



Adapted from Cui, S., et al., Combining handcrafted features with latent variables in machine learning for prediction of radiation-induced lung damage. *Medical Physics*, 2019. 46(5): p. 2497-2511.

# Case 1 Prediction model: prediction of RP2 in NSCLC

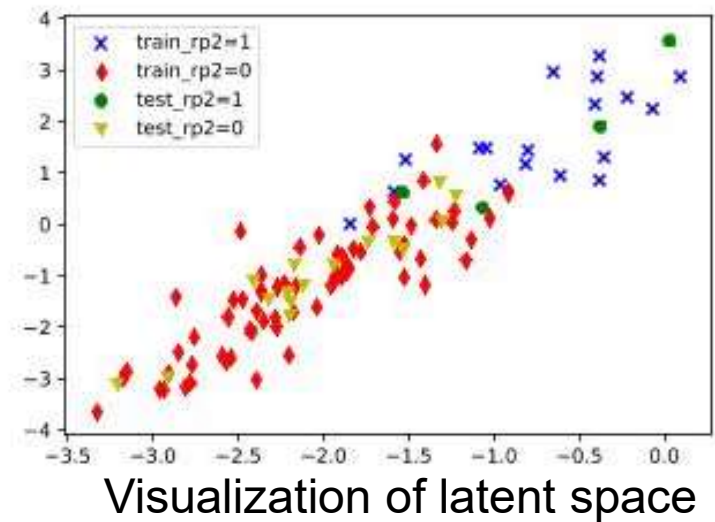
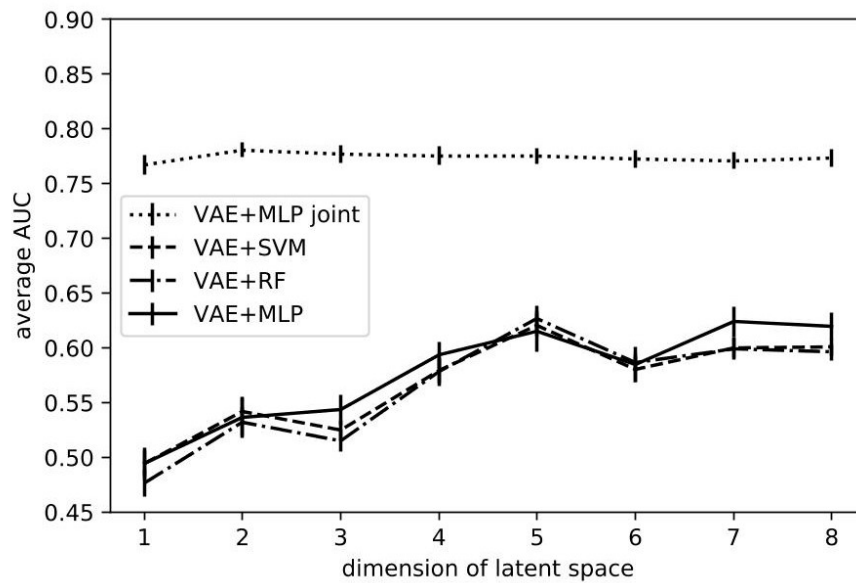
- A VAE-MLP joint architecture
  - enables simultaneous **dimensionality reduction** and **prediction**.
  - The learning of latent variables guided by **both** reconstruction task and the prediction task.



$$L(x_{enc}, x_{dec}, y) = \underbrace{\|x_{enc} - x_{dec}\|^2 + \frac{1}{2} \sum_{j=1}^J [1 + \log(\sigma_j^2) - \sigma_j^2 - \mu_j^2]}_{\text{VAE loss}} + \lambda \underbrace{\frac{1}{N} \sum_{i=1}^N y_i \log(p_1) + (1 - y_i) \log(p_2)}_{\text{Prediction loss}}$$

## Case 1 Model selection: prediction of RP2 in NSCLC

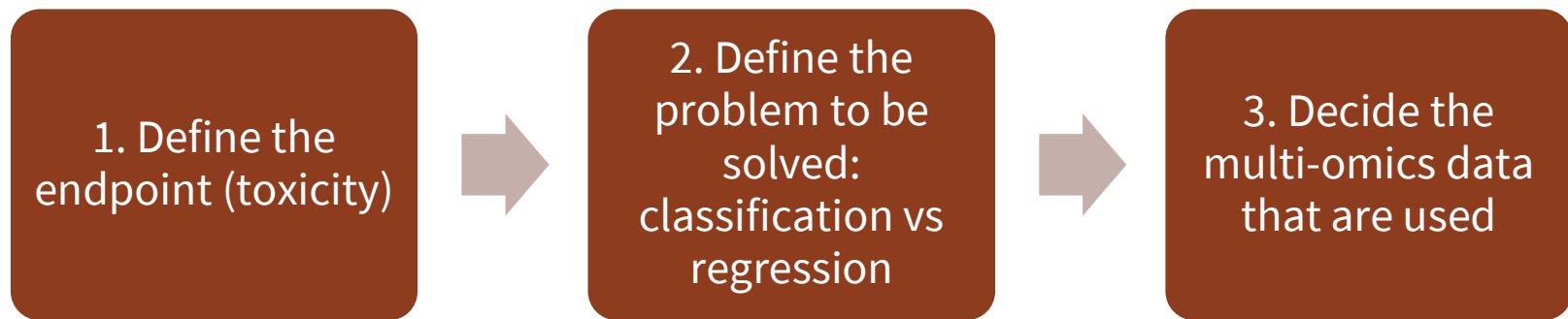
- VAE+MLP joint vs conventional separate VAE and classifier





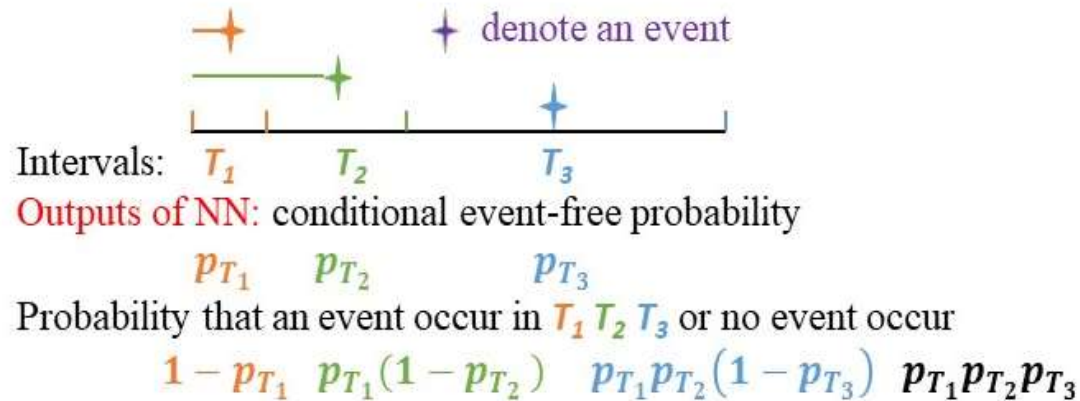


## Case 2 Define the problem: prediction of RP2 in NSCLC:



1. Endpoint radiation pneumonitis (RP) CTCAE, graded severity scales 1-5, we predicted grade  $\geq 2$
2. Consider both RP2 and time-to-event
3. The whole DVH, 30 cytokines, 62 mi-RNA, 60 SNPs.

## Case 2 Predict time-to-event RP2 in NSCLC



### Actuarial prediction:

$T$  intervals:

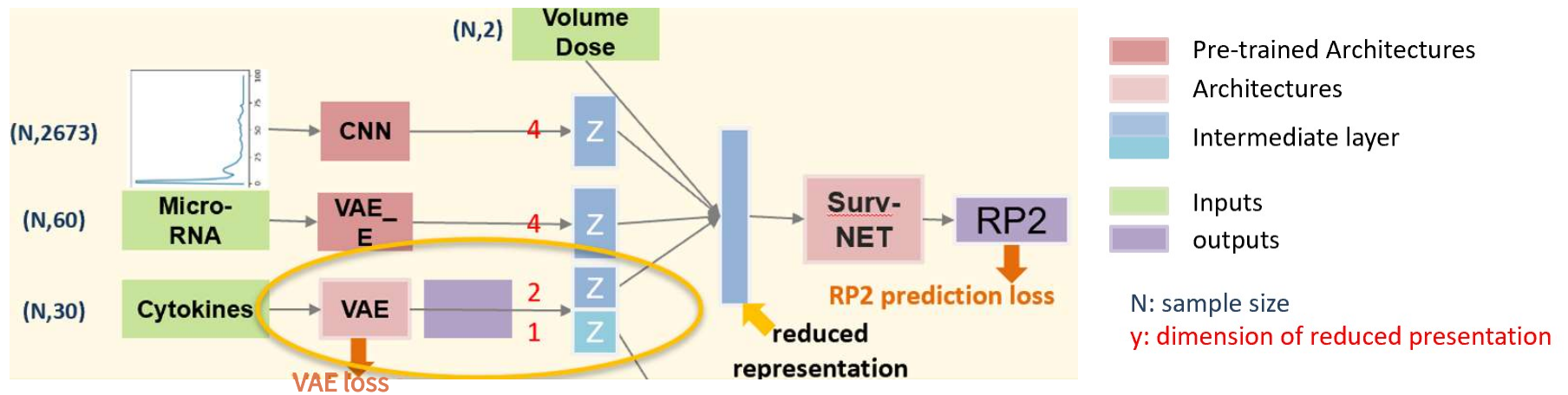
- log-likelihood function for an individual with failure in interval  $j$  is defined as,

$$l = (1 - P_{T_j}) \prod_{i=1}^{j-1} P_{T_i}$$

- $l$  for an individual without experiencing events through interval  $j$

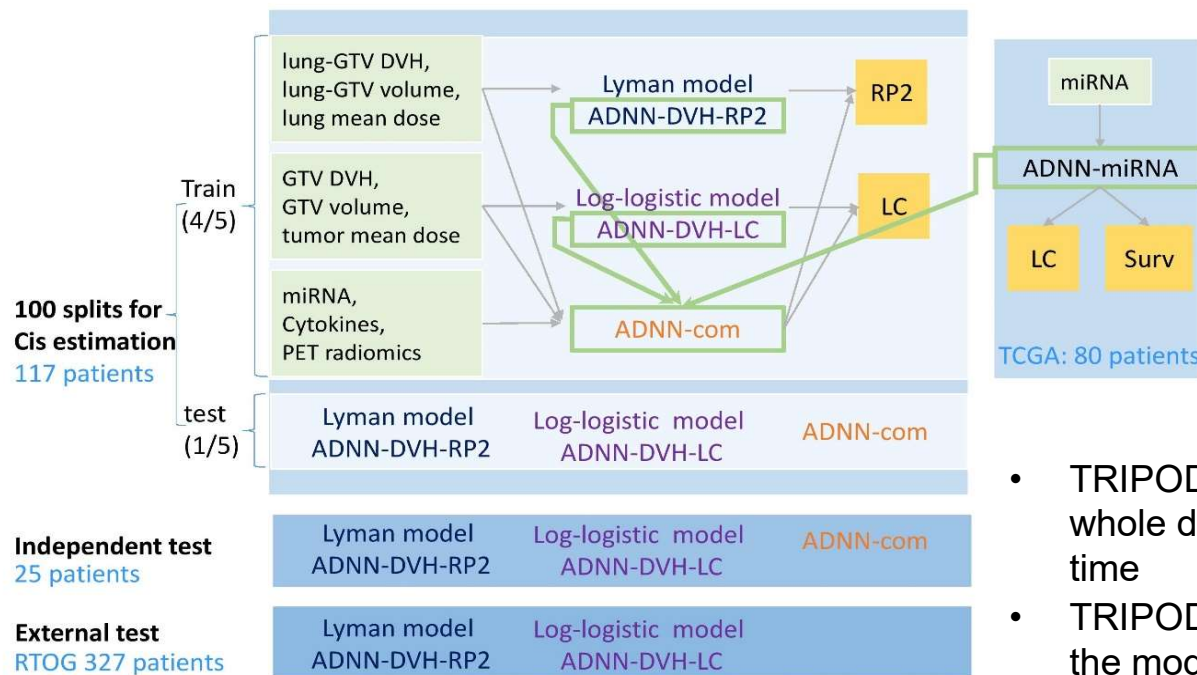
$$l = \prod_{i=1}^j P_{T_i}$$

## Case 2 Prediction model: prediction of RP2 in NSCLC



$$l_{RP2} = l_{RP2\_prediction} + l_{VAE\_loss}$$

## Case 2 Validation scheme: prediction of RP2 in NSCLC

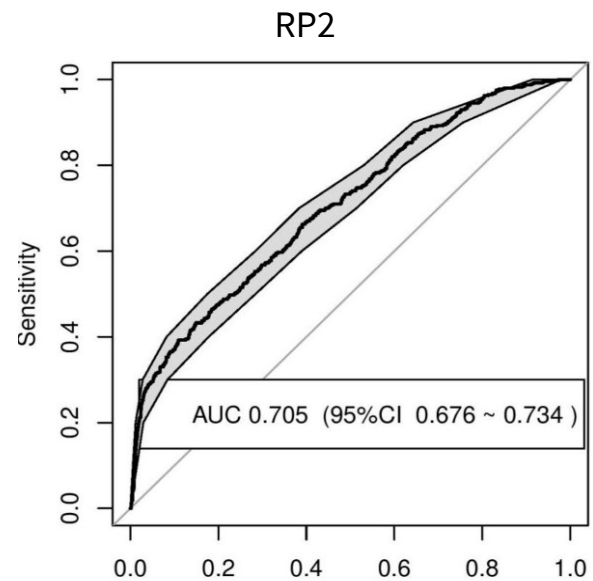


- TRIPOD level 2 type a: dividing the whole dataset into 2 groups: a random split

- TRIPOD level 2 type b dividing the whole dataset into 2 groups based on time
- TRIPOD type 3 validation evaluating the models on an independent external data set

## Case 2 Model evaluation: prediction of RP2 in NSCLC

Model evaluation on UM 117 patients	
C-index (95% CI)	RP2
Lyman model	0.613 (0.583-0.643)
ADNN-DVH	0.660 (0.630-0.690)
ADNN-com-joint	<b>0.705 (0.676-0.734)</b>
Independent test on 25 newly-treated patients	
Lyman model	0.588
ADNN-DVH	0.667
ADNN-com-joint	0.691
RTOG 0617	
C-index	RP3
Lyman model	0.736
ADNN-DVH	0.762



## Case 2: Interpretability of the model –Grad-CAM

- Grad-CAM can highlight (assign higher values to) regions in an activation map that are important for a decision of interest

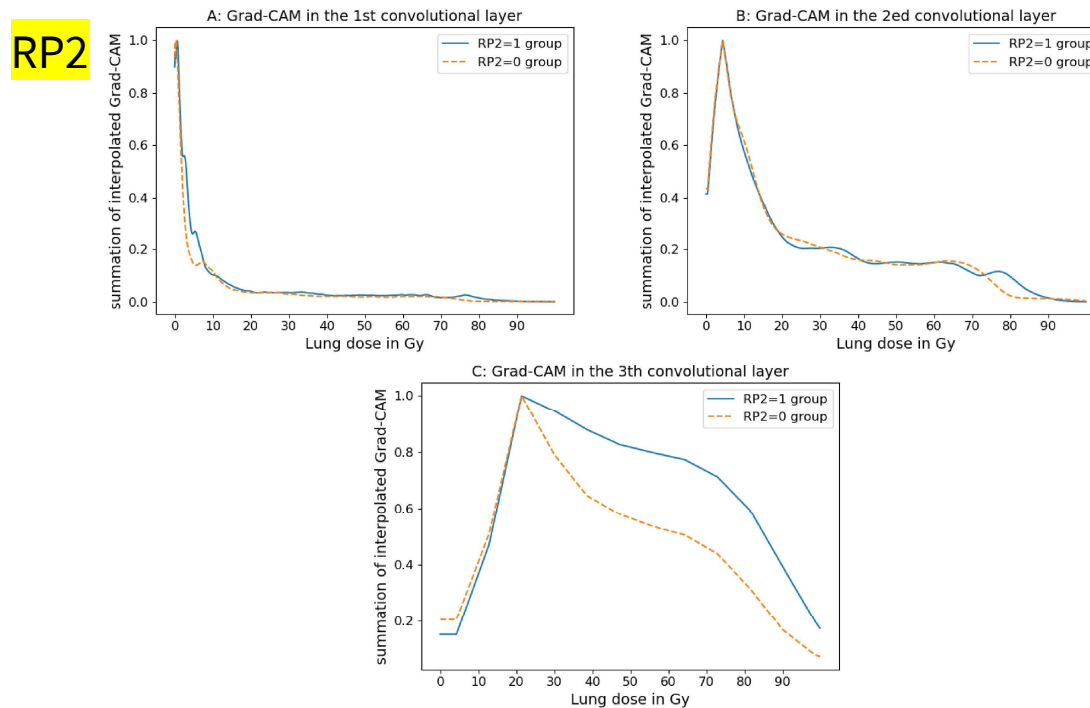
$$L_{Grad-CAM}^c = ReLU\left(\sum_k \alpha_k^c A^k\right)$$

$$\alpha_k^c = \frac{1}{Z} \sum_i \sum_j \frac{\partial y^c}{\partial A_{ij}^k}$$

- $c$  denotes an arbitrary output;  $A_k \in \mathbb{R}^{u \times v}$  is the  $k^{th}$  feature map with height  $u$  and width  $v$ ;  $\alpha_k^c$  is the weight of the  $k^{th}$  feature map in discriminating class  $c$ .
- The weight  $\alpha$  is defined as gradients of score for class  $c$ ,  $y_c$  with respect to feature maps  $A_k$  of a convolutional layer followed by a global average pooling.

## Case 2: Interpretability of the model –Grad-CAM

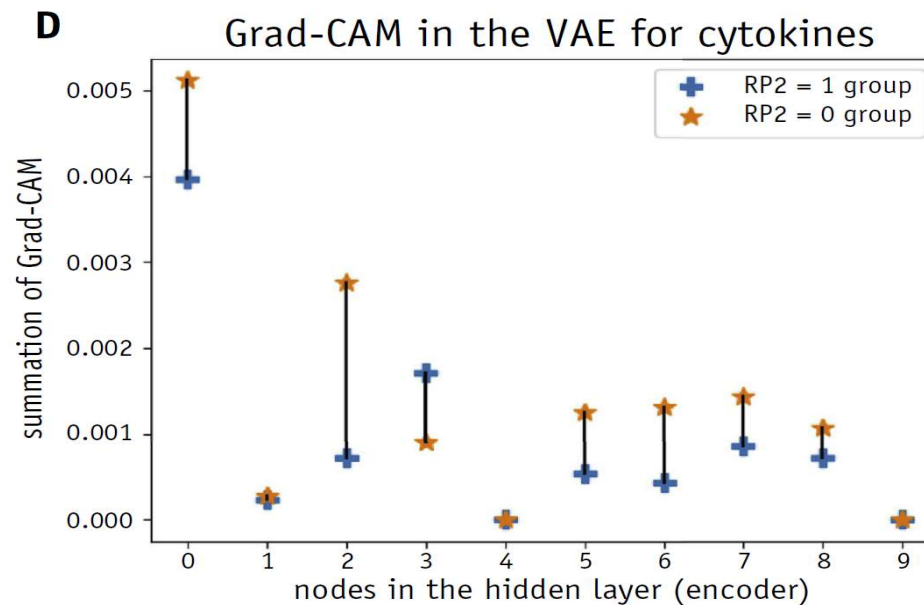
- Grad-CAM shows that deep learning-based outcome models gradually learn that dose regions near 20Gy in DVH are crucial for predicting radiation pneumonitis.



## Case 2: Interpretability of the model –Grad-CAM

- Cytokines were found to contribute more to RP2 prediction.

RP2







Thank you!

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